

PHEP Budget Period 3 Reporting Requirements and ORR Implementation – April 2022 Update

CDC has modified its PHEP program reporting requirements for Budget Period 3 (BP3) and adapted its PHEP Operational Readiness Review (ORR) implementation plan. Rather than assessing all-hazards readiness across the 15 public health emergency preparedness and response capabilities as originally planned, CDC's PHEP ORR implementation approach in BP3 will focus on capturing COVID-19 pandemic response data.

BP3 Reporting Requirements

CDC has significantly reduced BP3 submission requirements and will allow recipients to use COVID-19 response activities to meet PHEP program requirements where possible. In BP3, the 62 PHEP recipients must submit documentation regarding:

- Critical contacts, partner planning, and workforce development and training,
- PHEP benchmarks,
- Emergency operation center activations,
- Annual PHEP exercise with access and functional needs partners, and
- Select new operational data elements focused on the COVID-19 response.

To evaluate the PHEP COVID-19 response in BP3, CDC developed the Vaccination for Critical Workforce Groups and Disproportionately Impacted Populations (VAC) and Pandemic COVID-19 Incident Response (RSP) forms. These forms are designed to collect data about each jurisdiction's ability to respond to an actual pandemic. All 62 PHEP recipients must submit their COVID-19 pandemic response data in BP3, even if they have already met their current five-year operational requirements, to enable CDC to conduct a national evaluation of the PHEP COVID-19 response.

Recipients will submit all required data through the PHEP ORR Reporting and Tracking System (PORTS) except benchmark data, which will be submitted via PERFORMS.

- Critical Contact Sheets (CCS) – existing ORR descriptive form
- Partner Planning Sheets (PPS)– new ORR descriptive form
- Emergency Operation Center Activations – modified ORR form
- Vaccination for Critical Workforce Groups and Disproportionately Impacted Populations (VAC) – new ORR operational form
- Pandemic COVID-19 Incident Response (RSP) – new ORR operational form

Successful submission and CDC review of COVID-19 response data will fulfill the current annual PHEP exercise (vulnerable populations) with access and functional needs partners and the PHEP five-year program requirements regarding:

- Pandemic influenza functional and full-scale exercises and
- Joint functional exercise with emergency management and health care coalitions.

PHEP ORR BP3 Submission Process and Timeline



Recipients must self-report ORR quantitative data by **June 30, 2022**. Recipients will self-assess program performance on relevant forms by selecting responses from a drop-down menu of options. In addition to the required ORR forms, recipients are encouraged to upload by **June 30, 2022**, as much supporting evidence as available to substantiate their responses. By **October 31, 2022**, recipients must upload any additional supporting evidence necessary to substantiate their earlier responses.

Required Elements and Data Collection Status for BP3

Form	Element	Status
Descriptive	Critical Contact Sheet (CCS)	Existing ORR form; recipients must review and update as needed
	Partner Planning Sheet (PPS)	New ORR form
Operations	Pandemic COVID-19 Functional Exercise: Vaccination for Critical Workforce Groups and Disproportionately Impacted Populations (VAC)	New ORR form created for COVID-19 response evaluation
	Pandemic COVID-19 Incident Response (RSP)	New ORR form created for COVID-19 response evaluation
	Emergency Operations Center Activations	Modified ORR form; successful submission and CDC review of PPS, VAC, and RSP data fulfills this requirement
	Annual PHEP Exercise	Existing ORR form; successful submission and CDC review of PPS, VAC, and RSP data fulfills this requirement
	Pandemic Influenza: Critical Workforce Group Functional Exercise	Existing ORR form; successful submission and CDC review of PPS, VAC, and RSP data fulfills this requirement*
	Pandemic Influenza Full-Scale Exercise	Existing ORR form; successful submission and CDC review of PPS, VAC, and RSP data forms fulfills this requirement*
	Joint Functional Exercise with Emergency Management and Health Care Coalitions	Existing ORR form; successful submission and CDC review of PPS, VAC, and RSP data fulfills this requirement*
	LRN-B Biological Sample Testing	Benchmark reported in PERFORMS
	LRN-C Chemical Sample Testing Using Core and Additional Methods	Benchmark reported in PERFORMS
	LRN-C Lab Specimen Packaging and Shipping Exercise	Benchmark reported in PERFORMS

*Also resets timeline for next five-year cycle.