

UNITED STATES OF AMERICA
CENTERS FOR DISEASE CONTROL

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NATIONAL INSTITUTE FOR OCCUPATIONAL
SAFETY AND HEALTH

+ + + + +

ADVISORY BOARD ON RADIATION AND
WORKER HEALTH

+ + + + +

77th MEETING

+ + + + +

THURSDAY
MAY 26, 2011

+ + + + +

The meeting convened at 8:30 a.m.,
Central Daylight Time, in the Crowne Plaza St.
Louis-Downtown, 200 North Fourth Street, St.
Louis, MO, James M. Melius, Chairman,
presiding.

PRESENT:

JAMES M. MELIUS, Chairman
HENRY ANDERSON, Member
JOSIE BEACH, Member
BRADLEY P. CLAWSON, Member*
R. WILLIAM FIELD, Member
RICHARD LEMEN, Member
JAMES E. LOCKEY
WANDA I. MUNN, Member

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PRESENT: (continued)

ROBERT W. PRESLEY, Member

GENEVIEVE S. ROESSLER, Member

PHILLIP SCHOFIELD, Member

PAUL L. ZIEMER, Member*

TED KATZ, Designated Federal Official

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ELLISON, CHRIS, DCAS
GLOVER, SAM, DCAS
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1 P-R-O-C-E-E-D-I-N-G-S

2 CHAIRMAN MELIUS: Good morning
3 everybody. We'll get started now. Relatively
4 -- as I told you relatively short agenda for
5 this morning.

6 MEMBER MUNN: We're here for the
7 party.

8 CHAIRMAN MELIUS: Here for the
9 party? Is there a party later? I was going
10 to say we're all probably out at the airport.

11 By the way, if any of you are interested,
12 Mark Griffon did make it out of town last
13 night, so he made it to Washington.

14 Few hours late, but he emailed me
15 late and said that he -- he did make it. We
16 had saved a place at dinner for him thinking
17 that he would be coming back and join us. But
18 he did make it to that.

19 This morning we have just one
20 agenda item. But first, Ted, do your --

21 MR. KATZ: Right. We have a very
22 short agenda here. We're just doing quality

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1 of science review, the ten year program
2 review. But let me check on the line and see
3 if we have Board Members with us.

4 MEMBER ZIEMER: Paul Ziemer here.

5 MR. KATZ: Welcome, Paul.

6 MEMBER CLAWSON: Brad Clawson.

7 MR. KATZ: Welcome, Brad. Any
8 other Board Members? Very good. Let's get
9 going.

10 CHAIRMAN MELIUS: Okay, this
11 morning we're going to talk about one -- one
12 report that on the quality of science, part of
13 the ten year review.

14 And Doug Daniels was good enough
15 to change his itinerary and come into -- just
16 that be able to come in and present to us
17 today. I think it's a interesting report, and
18 I thought it would be like helpful in some of
19 the things we need to consider as well as give
20 us a chance to ask questions and -- and
21 understand it better.

22 So, Doug. Thank you. Welcome.

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1 MR. DANIELS: Well, thank you.
2 And I'd like to thank everyone for inviting me
3 here. I had a wonderful day of travel last
4 night. And that's the first time I've ever
5 traveled 300 miles, at 1,400 miles. So it was
6 great. Fantastic.

7 But I'm glad to be here this
8 morning --

9 CHAIRMAN MELIUS: Where'd you come
10 through?

11 MR. DANIELS: Well, I flew from
12 Cincinnati to -- to St. Louis via Louisiana.

13 CHAIRMAN MELIUS: Well, thank you
14 for taking -- taking the trouble. Lew came
15 via Peoria.

16 MR. DANIELS: My name is Robert
17 Daniels. I am a NIOSH employee. I'm not
18 assigned to the Division of Compensation
19 Analysis and Support. I work with a -- a
20 colleague, Dr. Henry Spitz, University of
21 Cincinnati professor of Nuclear Engineering,
22 to do the quality of science element of the

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1 ten year program review report.

2 Just briefly on this slide, Dr.
3 Howard initiated this program review in
4 February of last year as part of his -- our
5 commitment to the highest quality science and
6 NIOSH programs, and also to recognizing the
7 importance of program transparency and the
8 need to be responsive to stakeholders and
9 members of the public and claimant.

10 So -- so it was an effort put in
11 place to improve the program. The quality of
12 science was a key element of this program
13 review. There are several facets to the
14 review.

15 The one we're talking about today
16 is the review on the quality of science, which
17 is a rather broad term. So the -- at the
18 time, there were many questions on using
19 exposure proxies and dose reconstruction. And
20 so we thought that the best focus for our
21 review was to also look at methods of indirect
22 exposure assessment.

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1 As you know, NIOSH is charged with
2 providing reasonable estimates of dose to
3 cover employees under the Act. So for us,
4 reasonable, we determine to mean well based in
5 science, obviously is an important tenet as
6 well as timely and fair.

7 And that's the essence of NIOSH
8 dose reconstruction. NIOSH is charged with
9 evaluating the completeness of the individual
10 monitoring data for -- for claimants and
11 providing remedies when there are gaps in that
12 information.

13 And that therein lies the use of
14 indirect methods to fill these data gaps. So
15 the scope and conduct of the review, quality
16 of science, as I said, is a very -- is very
17 broad.

18 We narrowed it to indirect
19 exposure assessment methods, and more
20 specifically, looking at -- at coworker and
21 surrogate data use. Now, the dose
22 reconstruction program makes a distinction

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1 between those where surrogate data is
2 referring the information from facilities
3 other than the covered facility of the covered
4 worker.

5 And coworker data, as you would
6 expect, it's -- it's exposure data from
7 similar workers within the facility. There
8 were two of us working on it, myself and Dr.
9 Spitz.

10 I focused on issues related to
11 coworker models, and Dr. Spitz, working
12 independently, was looking more into the
13 issues of surrogate data. So you could
14 imagine if you read the report, there -- there
15 certainly is a lot of redundancy where we --
16 we talk about the same -- the same things a
17 number of times throughout the report.

18 That's to be expected given the
19 fact that we were actually working independent
20 for most of the time on the report until we
21 brought it together in a single document.

22 It has been reviewed. It's still

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1 draft. I consider it still draft anyway,
2 because the public -- the docket's still open
3 for public comment. So -- so as we get public
4 comment, I've been making revisions.

5 I think the latest revision has
6 been posted on the -- on the docket for -- for
7 continued review. And however, it's -- it's
8 not finalized yet. It was reviewed by my
9 management team as the internal review.

10 We did have some scientific peer
11 review on it. We did not have any review or
12 comment from members of Office of Compensation
13 Analysis and Support. So it's -- it's
14 independent of that office.

15 And of course, public comment is
16 ongoing. So the structure of the report is --
17 is -- there are three key elements. The first
18 is the general program where we discuss our
19 findings regarding the scientific basis of --
20 of the dose reconstruction program's use of
21 indirect exposure assessment methods, the
22 quality of the documentation that's used in

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1 conducting those reconstruction, and the
2 review process that is part of that system.

3 The second part was -- was
4 specifically looking at external radiation
5 coworker analysis. So again, I'm parsing
6 things down to look very narrowly at a single
7 component of the dose reconstruction program,
8 which is external radiation coworker analysis.

9 We looked at the scientific
10 methods that were used as well as we
11 replicated a model that was used by the NIOSH
12 dose reconstruction program for -- for the Oak
13 Ridge gaseous diffusion plant.

14 The third element was public
15 comment. We reviewed a number of comments
16 that were received in regard to the -- the
17 program review. This is prior to the first
18 publication of the draft report we have now.
19 And I summarized those comments in the -- in
20 the back portion of the -- of the report to
21 give you an idea of stakeholder concerns.

22 And then there is a summary of the

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1 findings and recommendations. And then
2 finally, there is an appendix on surrogate
3 data use in the end of the report.

4 So, general findings, first it's
5 noteworthy that the dose reconstruction
6 program has made a number of accomplishments
7 since its beginnings. There have been over
8 24,000 dose reconstructions at the time of the
9 report.

10 The report is -- is getting close
11 to a year old now. So certainly that number
12 has increased since. The group itself has
13 made several advancements in exposure
14 assessment methods.

15 And they've made these methods
16 available to other researchers outside of dose
17 reconstruction. So they have contributed to
18 the scientific literature in a number of ways.

19 And many of the methods that were developed
20 essentially in support of the compensation
21 program are now being used in other sciences.

22 So that's a key accomplishment.

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1 They gathered an enormous amount of
2 information on the U.S. Atomic Weapons Workers
3 program. I do believe there's hundreds of
4 thousands of images.

5 I think the last count was 300,000
6 images on the Department of Energy documents
7 that have been collected in support of this
8 program as well as other key sources of
9 information.

10 That will be useful for science as
11 well as compensation. And they've developed
12 and published over 100 technical documents on
13 dose reconstruction, and made these documents
14 available to the public and other researchers.

15 General findings on authority, it
16 was obvious that epidemiologic studies also
17 rarely benefit from complete exposure
18 information. So it wasn't a stretch to see
19 that many of the methods that are used under
20 dose reconstruction were developed during
21 epidemiologic studies.

22 And they basically have started

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1 with those methods and enhanced them
2 specifically to support individual dose
3 reconstruction for compensation purposes. So
4 there's a lot of similarities in the science
5 with regard to methods of indirect exposure
6 assessment.

7 There's a firm foundation within
8 the Act for using the supplement data for
9 indirect exposure assessment. The use of
10 information from coworkers is clearly
11 authorized.

12 And although it's not specifically
13 stated in the Act, the use of data from other
14 facilities, it -- it seems to be referred to
15 such that you can provide data to complement,
16 but not supplant to plan information from --
17 from preferred sources.

18 So there's a hierarchical tree of
19 data used. And where there are gaps it seems
20 perfectly acceptable, at least from a
21 scientific perspective, to use data from other
22 facilities and other workers to fill these

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1 gaps in our information.

2 General findings and documentation
3 of the -- the program itself uses a -- a
4 process that's similar to standing -- standard
5 operating procedures that you would see in a
6 high functioning industrial setting.

7 There's a very layered structure
8 of policies, plans and procedures. They have
9 systems in place to standardize the use of
10 terms and the format of the documents. The
11 documents are internally reviewed prior to
12 issuance.

13 There are sign offs. There are --
14 they are controlled. Nevertheless, given the
15 vast number of documents and the vast number
16 of document authors, there were some
17 inconsistencies between documents.

18 And the content of documents, in
19 some cases, varied markedly, even though they
20 had similar uses. So -there could be room for
21 improvement in future revisions to maybe clean
22 some of that up.

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1 One other noteworthy component of
2 this was we noted that even those these are
3 controlled documents that are -- and industry
4 settings, standard operating procedures are
5 routinely reviewed and revised, given the
6 dynamics of a system.

7 We would expect that those
8 reconstruction could be dynamic as well. And
9 so we -- we thought that perhaps revisions, in
10 some cases, were infrequent and there could be
11 improvements made there.

12 Methods, it's very clear that in
13 dose reconstruction, there's a graded approach
14 applied that attempts to balance precision and
15 accuracy with fairness and efficiency. So
16 there's a give and take with respect to the
17 scientific rigor that's done for dose
18 reconstruction.

19 It's also clear that when in doubt
20 there's always attempts made for claimant
21 favorability and decisions and assumptions
22 that are made. However, even though claimant

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1 favorability, in most cases, could be
2 intuitive, it has rarely been quantified in
3 NIOSH dose reconstruction.

4 So we feel that there is room for
5 improvement in this area to where they could
6 start looking at trying to quantify a margin
7 of claimant favorability in certain
8 circumstances.

9 Better assessment of bias may
10 greatly improve the competence of the program
11 and reinforce assertions of claimant
12 favorability. What I'm speaking of here is
13 it's recognized in the case of NIOSH dose
14 reconstruction in contrast to epidemiologic
15 research.

16 We're interested in risk to
17 individual. So small biases could play a
18 large role in adjudication. So I think it's
19 important to -- to give more emphasis in
20 trying to quantify these biases.

21 So in the -- in the end of the
22 report there was series of specific findings

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1 and recommendations. We had two on
2 documentation. There were two on peer and
3 stakeholder review. And there were seven on
4 methods validation.

5 I'm briefly going to go over these
6 methods a little bit now. They're quite
7 detailed in the report. So in documentation,
8 we found that the system provided documents
9 that were clear and concise and relevant to
10 the points of views.

11 However, we did note several, or
12 not several, there were errors and
13 inconsistencies among some of the documents.
14 One of the key findings, at least with regard
15 to documents, is the fact that they use a
16 hierarchical system of records where they have
17 a parent Technical Basis Document.

18 And then in turn, they derive more
19 site specific information from that. And they
20 will refer back to the parent, which is a good
21 approach to -- to eliminate redundancy in
22 documentation.

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1 However, it's also -- there are
2 pitfalls there to where you can carry on
3 inconsistencies in children documents, or
4 perhaps you revise the parent and not revise
5 the documents that are referring the parent.
6 And so you have inconsistencies.

7 So we thought they could improve
8 upon that by developing a system to monitor
9 layered documents and effectively revise
10 documents. Have a way to trip which documents
11 are affected by revision of another.

12 Revisions lack timeliness, and in
13 some instances appeared unresponsive to
14 concerns raised in previous reviews. Again,
15 this goes back to the revision process.

16 One of the things we found was as
17 in any scientific process, there's a very
18 deliberate manner in which certain science
19 issues are resolved between the Board and the
20 Division of Compensation Analysis and Support
21 staff, as well as the Board's contractor,
22 which is great.

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1 That process itself has really
2 benefitted the quality of certain documents.
3 But it does slow the revision process down.
4 Another problem that appeared during our
5 review is there was a concern that certain
6 revisions could trigger more work, even if
7 that revision really didn't play a key role in
8 dose reconstruction or the dose estimates that
9 are provided under that document.

10 So what I'm saying here is that
11 it's recognized that if we make changes to our
12 methods that we have to evaluate the impact on
13 the program from those changes.

14 And there's a very deliberate
15 process in doing that, which if the worse
16 substantive changes, which would require
17 reopening a claimant's file, then there is a
18 process in place to do that.

19 But on the other hand, when there
20 are revisions that are necessary, which are
21 minor technical inaccuracies, let's say, that
22 are well known, that have been identified by

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1 stakeholders or other members of the public,
2 there's a reluctance to make those changes
3 early on, waiting for more substantive changes
4 later because it invokes this process of
5 reevaluating the claims.

6 So that doesn't seem to be an
7 efficient way of handling certain non-
8 substantive revision. It would seem prudent,
9 especially given the fact that these documents
10 are available and these inconsistencies have
11 been identified by claimants and other members
12 of the public that we could better revise
13 those in a more timely manner without, you
14 know, waiting for the final substantive
15 revision.

16 And of course, another -- another
17 finding was that many of these documents have
18 not been reviewed since they've been first
19 issued. Some of these documents have gone
20 five or six years and haven't been revised or,
21 to our knowledge, reviewed for revision.

22 So although several documents,

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1 well over 130, let's say, documents have been
2 reviewed by the Board, and well over 500
3 findings have come as a result of those
4 reviews, and a lot of those documents have
5 been revised, there still are a great number
6 that are left to be reviewed and revised.

7 So our key recommendations were to
8 put in place some sort of process to recognize
9 interrelationships between documents and avoid
10 these transfers of technical inaccuracies that
11 we found on our review.

12 We suggest including periodic
13 reviews by subject matter experts to uncover
14 inconsistent and erroneous text. And we
15 suggest avoiding delays in correcting
16 technical inaccuracies, especially if they
17 really clearly have no impact on the
18 claimant's dose estimates.

19 The review process -- the current
20 review process for dose reconstruction
21 documentation is internal only, although the
22 documents are all available for review by the

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1 Board. And the Board has reviewed many of
2 them.

3 So there is no requirement for
4 external scientific or stakeholder review. We
5 noted that many of the documents have
6 benefitted from the Board's review, although,
7 as I mentioned before several have not been
8 reviewed.

9 Information is inconsistently
10 sought from stakeholders and only after
11 publications. So we were a little bit
12 concerned in the instance where we -- it
13 seemed in the sake of expediency.

14 We published a number of documents
15 to get the process going. And then after the
16 documents were available there were comments
17 received by former workers and other members
18 of the public, which suggested that we could
19 have done a better job.

20 So at this point it seems like
21 there was advantages, at least from a
22 scientific perspective, to get more feedback

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1 prior to publication. Now that we have a
2 working body of documents, it would seem the
3 emphasis should be placed on getting that
4 feedback and organizing that feedback to where
5 we can effect revision as needed.

6 Right now there's a weekly define
7 process for comment resolution. When I say
8 that that's mostly in regard to public
9 comment. We'll receive several comments from
10 the public and former workers.

11 And they're handled individually,
12 usually by a letter. It would be better to
13 track these, if possible, in a more efficient
14 means, and to see if it's necessary to effect
15 changes to these documents based on the new
16 information that's provided.

17 This was a problem with another
18 dose reconstruction program from DETRA. They
19 also had a number of comments about weekly
20 taking advantage of worker input.

21 So here's an opportunity to
22 improve the signs by improving the use of

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1 worker input in the -- in the current
2 documentation. We recommend that you seek
3 external peer review on science documents that
4 have not been reviewed by the Board.

5 So as I said before, there a
6 number of documents that haven't been
7 reviewed. It would seem to be wise to look
8 for independent scientific peer review on
9 those documents as a means to sort of catching
10 up and cleaning shop, with respect to external
11 science review.

12 Expand reviews to systematically
13 solicit input from peers and stakeholders on
14 important scientific individuals prior to
15 publication. Again, better use of -- of
16 information from former workers and other
17 members of the public.

18 And develop a more formal process
19 to handle comment resolution. That would
20 readily document the resolution that has been
21 made, the actual comment, the source of the
22 comment and what changes have been made.

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1 Methods. Dose estimates from
2 independent modeling were comparable, but on
3 average less than the dose reconstruction
4 results. So what I'm talking about here, we
5 did a replication model of the K-25 coworker
6 study, and using the methods that are outlined
7 by the Division of Compensation Analysis and
8 Support, but using other data sources and
9 other means to complete that replication.

10 And in essence, we got the same
11 answers. We got the same estimates that DCAS
12 came to in their models, although on average
13 they were less than. So the conclusion is
14 that their coworker models are reproducible,
15 and supported their claim of claimant
16 favorability.

17 However, we did note that there is
18 room for improvement in these models. Some
19 models lack information on source data
20 assumptions, statistical methods and
21 limitations.

22 These types of things, I think,

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1 would be readily identified in scientific peer
2 review. Validation was inconsistent or absent
3 from some models.

4 So I think from a take-home
5 message, if I really wanted to stress any
6 facet of this report, the most important
7 finding was that a great number of things have
8 been done in support of the program in
9 expeditious manner and keeping with timely and
10 efficient dose estimates for covered workers.

11 However, the time might be now to
12 focus more on validating these methods. There
13 has been limited work done in the -- in the
14 indirect exposure assessment methods that have
15 been used in trying to validate the margin of
16 safety, if you will, for claimant
17 favorability.

18 I think that's the key here. How
19 bounding is bounding? So if I were -- on this
20 slide if I were to just emphasize one point,
21 it would be the validation was inconsistent or
22 absent and where there is room for improvement

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1 in this area.

2 So of course that goes back to
3 recommendations as I just said. We think you
4 could -- we could do a lot more in assessing
5 the validity of these estimates. And there
6 were some wonderful comments raised by Dr.
7 Richardson on this area, suggesting using some
8 of the modeling that has been done in
9 epidemiologic research as a gold standard, if
10 you will, and making comparisons.

11 And that's somewhat what was done
12 in this report. But it gives an idea of how
13 much the bias is away from the null, assuming
14 that we do have claimant favorability in our
15 dose estimates.

16 So we think that we could do more
17 in quantifying the coverage anomalies and
18 limitations in the data that are selected, you
19 know. In any model, the model is only as good
20 as the data that's going in it.

21 So there should be some more
22 discussion in these coworker models and some

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1 more review -- some more critical review on
2 the data that are being used.

3 Examine between and within worker
4 variance components of the coworker models.
5 What I'm speaking of here is a lot of the
6 coworker models are based on standard
7 statistical models, which rely on dose
8 distributions.

9 And what isn't really clear is the
10 fact that those distributions within a worker
11 group, let's say millwrights compared to an
12 office worker, are going to differ.

13 So there's opportunities to
14 improve the estimates based on looking at
15 different strata. And so we're suggesting to
16 look at those between worker strata as well as
17 looking at within worker, because the
18 statistical models may assume there's no
19 correlation from year to year for a worker.

20 And in fact that's not the case.
21 In some cases it has been identified that some
22 workers are dose-prone. So you really need to

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1 consider correlations.

2 I think intuitively when we look
3 at the external coworker model, which the
4 premise is you take the 95th percentile of
5 each year. And if you were to sum those up
6 over all years, that would be a conservative
7 estimate.

8 But there are ways that you can
9 judge the amount of conservatism in that
10 estimate, based on looking at these different
11 strata. Use well defined gold standards.

12 Again, this goes back to the issue
13 of using epidemiologic information as sort of
14 a gold standard to do your comparisons and to
15 judge validity. And it goes back to what I
16 said very early on in the discussion, quantify
17 the degree at which claimant favorability is
18 achieved.

19 You know, we talk about it all the
20 time. It's inferred. Some of the estimates
21 are clearly claimant-favorable estimates, yet
22 we haven't really spent enough time, I

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1 believe, in trying to quantify that claimant
2 favorability.

3 At the very end of the report --
4 oh, sorry. Excuse me. Okay. At the very end
5 of the report I tried to summarize the
6 stakeholder comments that were on the docket
7 at the time that I did the report.

8 And in essence, and these
9 certainly aren't surprising, but it was
10 recognized that dose reconstruction is a
11 lengthy and complicated process. And we know
12 that. We know that it's very difficult to do
13 individual dose reconstruction in a way that's
14 simple to understand.

15 So I'm not quite certain how much
16 we can work to improve upon that. But it is
17 recognized that that, of course, is an issue
18 with the claimants. And then the second one
19 is comments were wary of differences and
20 facility and jobs that may be inadequately
21 addressed in current models, using coworker or
22 surrogate data.

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1 So this goes back to really two
2 issues. One issue is the use of input from
3 the workforce in the models that have been
4 developed. Have we assessed all the
5 scenarios? Are there other scenarios that are
6 put out by the workforce that may not be
7 covered under the current model?

8 Those types of things, a
9 systematic approach to that, and weeding out
10 those things would improve this bullet, I
11 believe. And the second thing is a judgement
12 on claimant favorability.

13 If we're going to assert that we
14 are claimant-favorable, then some efforts to
15 validate these dose estimates in a means to
16 quantifying that claimant favorability would
17 go a long way in doing that.

18 So with that I believe that was
19 the end of my slide. And thank you.

20 CHAIRMAN MELIUS: Thank you. And
21 Wanda, then Jim.

22 MEMBER MUNN: Mr. Daniels, I want

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1 to thank you for the obvious effort that you
2 and Dr. Spitz have put into this. I have so
3 much to say about it that I would delay the
4 departure of about 90 percent of this Board if
5 I were to actually launch into it.

6 And I hesitate to do that,
7 specifically because I have not given the
8 original document the amount of study that I
9 need to do. But the tension that is
10 frequently spoken of here, with respect to
11 timeliness as opposed to completed science, is
12 more than amply demonstrated by your notes
13 here.

14 It raises an enormous number of
15 questions, not the least of which from some
16 perspectives would be how would you propose to
17 do some of the things that you are suggesting
18 be done here?

19 For example, the quantification of
20 how favorable is favorable, boggles the mind
21 when one begins to imagine how one would
22 address that question. I have a very simple

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1 question to begin with. This is an easy one.

2 Over on your general findings
3 authority you said epi studies rarely benefit
4 from complete exposure information. Are you
5 saying they rarely enjoy complete exposure
6 information?

7 Am I misreading the word benefit?

8 I cannot imagine how one would not benefit
9 from complete exposure information if one
10 could only get it.

11 MR. DANIELS: Well, I agree with
12 your statement at the end there. Yes, what I
13 meant to say was that --

14 MEMBER MUNN: The last one.

15 MR. DANIELS: Right. An
16 epidemiologic study, especially an
17 occupational epidemiologic study, we very
18 rarely have complete monitoring information on
19 any individual.

20 MEMBER MUNN: That's all I needed
21 to hear. The use of the word benefit was what
22 raised the question in my mind. Why would it

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1 not benefit. You're saying you seldom enjoy
2 that --

3 MR. DANIELS: That's correct.

4 MEMBER MUNN: That plethora of
5 information that we would all like to have.
6 Did either of the preparers go so far as to
7 suggest some metric by which this assessment
8 of quantity of bias could be addressed?

9 MR. DANIELS: Right. That's a
10 very good question. And I do understand the
11 difficulties that I raise by suggesting
12 improved validation of these methods.

13 It's impossible to truly validate
14 because we don't have true dose. However,
15 putting that aside, if you really look at what
16 was done through the report, I took a very
17 crude approach to validating the K-25 external
18 coworker model.

19 What I did was I replicated the
20 model with another data source and compared
21 those results to measured value. And then by
22 looking at that, I can judge whether or not

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1 you would expect, in the case of the coworker
2 model that is based on a claimant favorability
3 that the values would be biased high from the
4 coworker model compared to my model.

5 And they were. So that was a very
6 crude approach. What I'm suggesting could be
7 done is more detail -- is given the fact that
8 in epidemiologic analysis, let's say the
9 Savannah River cohort for example.

10 There was a cohort study. And
11 there was great efforts made in doing dose
12 assessment and constructing exposure estimates
13 for every individual at Savannah River, based
14 on their measured data as well as missed dose
15 from non-measured doses.

16 That could be a gold standard,
17 which could be used as a basis for comparison
18 to estimates derived from a coworker model.
19 That would be one way of determining, you
20 know, if there is claimant favorability in the
21 estimates, and to what degree.

22 Now, certainly there is

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1 uncertainty in that estimate of claimant
2 favorability. But at least you get an idea.
3 Are we talking about a factor of two or are we
4 talking about a factor of three?

5 It would be, I think, important to
6 at least try to get our arms around that to
7 some extent. So there are a number of methods
8 that could be used to independently -- and I
9 would suggest that this would be done
10 independent of -- not within DCAS, but perhaps
11 look at other persons to take a crack at
12 validating their models.

13 And I think that would go a long
14 way in assurances of claimant favorability.
15 So that's just one example. I think, you
16 know, that was the reason why we replicated
17 the K-25 coworker model, was first off, wasn't
18 reproducible.

19 And I get the same numbers. And
20 second off, are the estimates accurate? And
21 when I say accurate, in the context of biased
22 high, biased away from the null. So that's

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1 kind of what were trying to do with that part
2 of the analysis.

3 CHAIRMAN MELIUS: Okay, Gen?

4 MEMBER MUNN: Oh, I haven't
5 anywhere near stopped. However, as I -- as I
6 said to begin with, this could go on from this
7 chair for a long, long time. And I don't want
8 to do that. There were several more questions
9 that I have -- if we run out of time. Go
10 ahead.

11 CHAIRMAN MELIUS: We had said we'd
12 go to 10:30.

13 MEMBER MUNN: Go ahead.

14 CHAIRMAN MELIUS: But I wanted --
15 I assumed you were just going to do one
16 question.

17 MEMBER MUNN: No. I have about
18 eight. But that's --

19 CHAIRMAN MELIUS: You can submit
20 more to the record.

21 MEMBER MUNN: We won't attempt to
22 do that. I'll provide written comments.

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1 CHAIRMAN MELIUS: Gen?

2 MEMBER ROESSLER: I think one of
3 the really high points in this whole program
4 has been in the advances in science that have
5 come about through this, particularly in
6 retrospective dose assessment.

7 I think you call it exposure
8 assessment. But I'm going to -- I'm going to
9 call it dose assessment. And in fact, I think
10 we should recognize the peer review
11 publications that have come about as a result
12 of some of the science.

13 And I'm familiar with the ones
14 that have appeared in Health Physics. My
15 question is have there been publications in
16 other peer reviewed journals?

17 MR. DANIELS: Yes. Of course you
18 are referring to the one special series that
19 was published in the Health Physics B

20 MEMBER ROESSLER: Well, I'm -- in
21 particular. But there have been other ones
22 too.

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1 MR. DANIELS: Certainly. And as
2 well, the recent report by the NCRP on dose
3 reconstruction has a large section devoted to
4 dose reconstruction for compensation purposes,
5 which is largely a result of the work that the
6 Division of Compensation Analysis and Support
7 has done.

8 CHAIRMAN MELIUS: Henry?

9 MEMBER ANDERSON: My question was
10 most of your slides here in presentation
11 focused on coworker models. And I'm more
12 interested in the surrogate data use. And if
13 you could give us some examples of other
14 surrogate data use.

15 NIOSH has industry-wide studies
16 that, you know, have studied across all sorts
17 of industries. I'm just not that familiar
18 with that surrogate data -- using data at one,
19 you know, chemical factory has been assigned
20 to do epi studies at another chemical factory
21 manufacturing the same products and things
22 like that.

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1 So what were your comments
2 regarding surrogate? Coworker is pretty well
3 recognized and has been used. But going
4 afield for surrogate data is somewhat unique,
5 I think, to this program.

6 MR. DANIELS: Yes. It's very
7 interesting you say that, because in the
8 exposure assessment sciences they really don't
9 distinguish between surrogate and coworker
10 data. It's all forms of indirect exposure
11 assessment.

12 Exposure proxies. And in some
13 cases, the proxies are coming from, you know,
14 other buildings, other facilities within the
15 industry. One key example is in the petroleum
16 industry looking at benzene.

17 A lot of the exposure matrices
18 that were developed in support of the health
19 effect studies for that industry are based on
20 maybe one facility that actually had some
21 monitoring data.

22 And then they would just as we've

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1 done in dose reconstruction, apply those in
2 similar work locations across the industry.
3 And so it's more common than you would expect.

4 I do list in the report, in the
5 section discussing epidemiologic methods,
6 several studies that have been done using both
7 nearby methods, coworker methods and surrogate
8 data use.

9 So there's a number of examples in
10 there.

11 CHAIRMAN MELIUS: Dr. Lemen?

12 MEMBER LEMEN: To follow up on Dr.
13 Anderson's comments of which go along the same
14 lines that I have, first of all I'd like to
15 say you've put in a lot of work on this. And
16 I appreciate that.

17 And it's a very useful document
18 for the Board to have. As far as surrogate
19 data though, when you state -- I think on page
20 A-12 is just an example that the use of
21 surrogate data to estimate occupational radon
22 exposure for workers who were unmonitored or

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1 inadequately monitored is a conventional
2 practice that is successfully used by
3 governmental agencies in epidemiological
4 studies to determine risk to humans.

5 I wouldn't totally disagree with
6 that. But I would say is that I still think
7 that NIOSH has not understood, in this
8 program, that we're not doing epidemiological
9 studies.

10 What we're doing is compensating
11 people. It may fine to use the surrogate data
12 for an epidemiological study with all the
13 caveats that are connected with that so that
14 the reader can do it.

15 But when we're dealing with
16 compensating individuals in individual
17 facilities, to me I still have a major problem
18 with the surrogate data usage. And I think
19 that it may be a welcome tool to
20 epidemiological studies.

21 But I don't think it's a welcome
22 tool to those that are going to be

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1 compensated. And I'd really like to see this
2 report focus more on the pitfalls of surrogate
3 data than it has. Thank you.

4 CHAIRMAN MELIUS: I mean, another
5 way I thought about that is, because I share
6 some of Dick's concerns, is that I wouldn't
7 necessarily view what's been going on in the
8 exposure assessment in epidemiological studies
9 translate into dose assessment, and for this
10 program, is necessarily the gold standard.

11 But I think the methods that -- I
12 think it may be the silver standard or it's --
13 it ought to be at least as good as that. And
14 the way I thought what your recommendations
15 were very helpful were helping to think about
16 the kind of validation and the kind of
17 evaluation that needs to go on at least
18 achieve that.

19 It ought to include that, because
20 when we have disagreements within the Board or
21 our contractor and DCAS over, it's usually
22 questions of whether it's uncertainty or lack

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1 of information and we're trying to apply a new
2 method or a different approach.

3 And we really haven't undergone
4 the kind of review and validation in a broad
5 sense that would be helpful for that. And I
6 thought that your comments were -- some of the
7 analysis that you were very helpful in that
8 regard.

9 You know, thinking of the example
10 you used on benzene. And actually, in
11 epidemiology you have the same sort of problem
12 we face. It's limited data in a lot of
13 facilities.

14 And if you look at, at least, the
15 criteria the Board came up with, and I believe
16 somewhere with NIOSH came up with for
17 evaluating surrogate data, those criteria for
18 evaluating are similar.

19 You know, how similar are the
20 facilities? Were they built the same time,
21 same kind of industry. I think it's -- may be
22 more variabilities or pretty special

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1 facilities.

2 You know, the DOE facilities, if
3 you're familiar with. But, you know, there
4 are similarities to what may be found in
5 industry. There are other studies. I can
6 think of where, you know, you may be doing
7 epidemiologic study at multiple facilities.
8 You may have good exposure information for
9 three or four. You apply that to the two that
10 don't, you know, that have weaker data.

11 Or you may do it on the basis of
12 who have done a better assessment of a certain
13 part of the workforce or something. And it's
14 clearly a gradation.

15 It's not, you know, yes or no or
16 black and white in terms of evaluating that.
17 But -- but I think some of that thinking
18 transferred over, I think, would be very
19 helpful.

20 MR. DANIELS: I agree. You know,
21 and I do understand your concern about using
22 surrogate data for individual risk assessment,

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1 you know. The slides -- I tried to keep the
2 slides short.

3 But there is a section on the
4 report talking about differences between
5 epidemiologic approaches and individual risk
6 assessment. I think key to this is the fact
7 that, you know, small biases and individual
8 exposure assignments in support of an
9 epidemiologic study really won't play a large
10 role in the outcome of the risk that you get
11 from that health effect study.

12 But that's not true in the case of
13 individual exposure assessment. Small biases
14 could certainly have an effect on
15 adjudication. So when you're working in the
16 tail end of an exposure distribution, as you
17 are in the case of trying to determine
18 bounding doses, you know, a lot of the
19 assumptions that you make in modeling fall
20 apart.

21 And so we got to be wary of that.

22 And that's why, I think, the validation

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1 component is so important, because we aren't
2 working with means and medians anymore. We're
3 working at the tail of distribution. And we
4 need to be sure that what we say is bounding
5 is indeed bounding.

6 CHAIRMAN MELIUS: No, I thought
7 that was a very good way of looking at --
8 looking at that. The other part of your
9 report that I thought was -- was helpful, and
10 I don't know if there's others have reaction
11 to, was sort of the document updating issue
12 and then science and so forth, because I think
13 due, you know, largely to how busy and how
14 much work there is in this program, we've not
15 kept up with that.

16 And I think, frankly, the Board is
17 at fault also. You know, we've -- we've
18 tended to divide up our reviews. We do dose
19 reconstructions reviews. We look at certain
20 issues. We do Site Profiles. We look at
21 certain issues.

22 We do procedures. We look at

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1 certain issues. We don't always pull those
2 altogether. And we often do those reviews in
3 isolation. And we struggle with the issue of
4 continually updating and -- and so forth.

5 And I think some more systematic
6 approach that would involve, you know, you
7 said not only -- so the current structure of
8 the Board, the Board's contract. But
9 additional, you know, external peer review, I
10 think, would be very helpful to this program.

11 Dr. Ziemer, Brad Clawson you're on
12 the phone? I don't know if you have
13 questions. I'll give you the opportunity
14 then. Got a couple more.

15 MEMBER ZIEMER: Paul Ziemer here.

16 And I just have a couple I'll at least have,
17 you know, one question and make a comment if
18 that's all right.

19 CHAIRMAN MELIUS: Go ahead.

20 MEMBER ZIEMER: Well, first I
21 wanted to thank Dr. Daniels for an excellent
22 presentation. My sort of question right now

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1 is I like the question you raised, how
2 bounding is bounding.

3 In your mind, is that the same
4 issue as the quantitation of claimant
5 favorability? Is that another way of stating
6 it or are you thinking of two different things
7 here?

8 MR. DANIELS: No. That was the
9 same. That's the same.

10 MEMBER ZIEMER: Okay. That's --
11 that's what I thought, but I wanted to make
12 sure that that was just another way of talking
13 about quantitating claimant favorability.

14 The other -- if I can just have
15 one other minor question right now. And this
16 relates to slide 14 and the -- the discussion
17 on -- on what you call the weakly defined
18 process comment resolution.

19 I did notice that you were
20 focusing a lot there on public comment
21 resolution, which we're starting to do a
22 little better, I think, with our matrix of

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1 tracking these.

2 But is -- were your comments here
3 today mainly focused on that sort of thing or
4 what -- what was the -- what was your
5 conclusion in terms of comment resolution as
6 it's formerly done with our contractors and
7 the agencies and the Board?

8 We have a rather elaborate -- it's
9 not necessarily well-defined. It may be
10 weakly defined. But it operates much like
11 peer review in science where you have a -- a
12 give and take and try to resolve specific
13 issues and questions.

14 Did you have any particular
15 comment on that part of the -- of the
16 methodology that is used to resolve scientific
17 issues?

18 MR. DANIELS: Yes. We did look
19 into that, and we noted that the Board tends
20 to resolve -- have scientific debate in
21 resolve issues in a Work Group format. And
22 not all Work Groups are equal. Not all Work

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1 Groups manage themselves the same way.

2 So what happens in some cases is -
3 - is there have been instances where comments
4 have -- have come about, which may have been
5 transferred to another Work Group or may be
6 sitting in a Work Group or may be or not as
7 well documented in that Work Group, the
8 process of resolving them as another Work
9 Group.

10 So there's a lot of, you know,
11 personal -- the Work Groups themselves,
12 there's a lot of individuality in the Work
13 Groups. So what we're suggesting is a better
14 way, maybe would be at least reporting to a
15 centralized place to where you could track
16 these comments and track the resolutions
17 accordingly, and -- and show some, you know,
18 expediency in getting things revised.

19 So -- so that's what we saw in
20 that part B

21 MEMBER ZIEMER: Right. It seems
22 to me that that may be every bit as important

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1 as the process for handling the public
2 comments, many of which in the public arena
3 have to do with how the program operates
4 rather than necessarily scientific issues.

5 But certainly some consistency
6 from Work Group to Work Group in terms of
7 identifying those issues and having a more of
8 a structured process for resolving them. And
9 thank you.

10 CHAIRMAN MELIUS: Brad, do you
11 have questions?

12 MEMBER CLAWSON: Well, yes. I
13 would also like to thank him for bringing his
14 report, because, you know, it's given us all
15 food for thought on this and while we were
16 just talking about of the Work Groups being
17 individual differences.

18 You know, we can always see that
19 and we can always improve. I'd like to echo
20 what Dr. Lemen said that I have an awful lot
21 of issues. I know that we have to be able to
22 use coworker data and so forth like that.

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1 But one of the other ones that
2 bothers me is the coworker data. When a lot
3 of these plants were looking back, 40, 50
4 years, the names have changed and so forth
5 like that.

6 And working in the industry
7 myself, I've seen so many times that you may
8 call somebody a chemical operator or a fuel
9 handling operator or whatever. But their name
10 has changed and their tasks have changed over
11 time of what -- what they actually did and
12 where they went.

13 They sometimes feel that because
14 they can put this name on them and put them
15 into these buildings. But these, you know, we
16 need to spend a little bit more time. And I
17 feel to check out where they've been.

18 I know that we've got to use
19 coworker data. But sometimes we generalize
20 them too much, and I don't think that we
21 really capture what really goes on in there.
22 But I -- I think as -- as what you said, the

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1 Board takes a little bit of criticism on this
2 too.

3 I know this was a NIOSH review.
4 But also two of those areas we can improve.
5 And I appreciate what was brought to us
6 instead. That's it.

7 CHAIRMAN MELIUS: Phil?

8 MEMBER SCHOFIELD: I'd like to
9 think coworker data particularly gives me a
10 lot of -- I'm a little suspect at times on
11 that. But surrogate data, in particular
12 though, because you have the issues of time,
13 distance and shielding.

14 And from one facility to another,
15 even with similar materials there's a good
16 chance of large variabilities, particularly
17 when you're looking back 20, 30 years or more.

18 This becomes a real factor and what people
19 are exposed to, in particular when it comes to
20 their compensation.

21 CHAIRMAN MELIUS: Wanda, would you
22 like the last -- no? Bill?

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1 MEMBER MUNN: I think not.
2 Futile. Thank you.

3 CHAIRMAN MELIUS: I knew you'd
4 never forgive me.

5 MEMBER FIELD: Dr. Daniels, I
6 thank you. I think this is -- this is very
7 helpful to have a fresh look. Someone coming
8 from of sort of the outside and giving it a
9 fresh look and sort of a different
10 perspective.

11 I have a question on slide number
12 seven. I just -- probably just more of a
13 clarification. But at the bottom it says the
14 use of surrogate data is an acceptable
15 scientific approach provided that the data
16 complement but not supplant information from
17 preferred sources.

18 And I'm just wondering for the
19 word supplant, do you mean take the place of?
20

21 MR. DANIELS: Yes.

22 MEMBER FIELD: Okay. And what --

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1 what happens in the case from your reviews if
2 you don't have data to complement, that
3 there's just a lack of data?

4 MR. DANIELS: Well, I think
5 there's a process in place. If you don't have
6 data to do dose reconstruction then that --
7 that process is Special Exposure Cohort. So I
8 think that's what's laid out in the Act. And
9 that would be the direction to go.

10 CHAIRMAN MELIUS: I believe Dr.
11 Wade wants the last comment.

12 DR. WADE: I'd like to just very
13 quickly. Four things. I'd like to Doug
14 personally for his efforts in coming here and
15 being with us. Doug did end his opening speak
16 to the fact that he was focusing on indirect
17 exposure assessments.

18 But I think if you read the report
19 he was commenting upon the quality of science
20 in the program overall. And the lastly, with
21 regard to comments, the external review of the
22 document is open.

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1 So if anyone would like to make a
2 comment or a suggestion, I'm sure that Doug
3 would take that to heart and modify his report
4 based upon what you would say. So I think you
5 have the ability to impact the substance of
6 Doug's report.

7 We then have the ability to impact
8 what John Howard would do relative to the
9 recommendations that Doug makes by commenting
10 upon those as well. So there's opportunity
11 for this process to continue to improve in
12 ways that Board Members might like to see it.

13 And I would ask you to take
14 advantage of that. And thank you again.

15 CHAIRMAN MELIUS: Yes. Yes.
16 Thanks very much. I told Lew that I and maybe
17 others had questions about sort of using
18 internal people to do some of these -- these
19 reviews. And I thought that your report, and
20 in fact, some of the others also sort of
21 showed that someone withing NIOSH could do a
22 fair and, you know, I thought very good

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1 assessment of the program.

2 So in the spirit of peer review,
3 which I think we're used to, but it's not
4 always done in other settings as well. But it
5 was a very good -- good report that you and
6 Dr. Spitz did.

7 And I thought some very good
8 recommendation, very perceptive about -- about
9 the program. And we do appreciate that.

10 MR. DANIELS: Thank you.

11 CHAIRMAN MELIUS: We have anything
12 else? Okay. Yes, Josie?

13 MEMBER BEACH: I just wanted to
14 make sure that we had tasked SC&A for Sandia
15 National Labs. It wasn't really clear to do a
16 -- the Site Profile Review and prepare a
17 matrix.

18 CHAIRMAN MELIUS: Yes, we did it
19 yesterday.

20 MEMBER BEACH: Okay. I just
21 wanted to make sure.

22 CHAIRMAN MELIUS: We set up the

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1 Work Group.

2 MEMBER BEACH: Well, the Work
3 Group was going to be set up at the next
4 meeting.

5 CHAIRMAN MELIUS: Meanwhile we
6 tasked SC&A. Yes.

7 MEMBER BEACH: Just wanted to be
8 clear. Thank you.

9 CHAIRMAN MELIUS: SC&A has already
10 done the Site Profile.

11 MEMBER BEACH: The review would be
12 just do the matrix?

13 CHAIRMAN MELIUS: The matrix,
14 correct. Yes.

15 MEMBER BEACH: Okay. Thanks.

16 CHAIRMAN MELIUS: Good. Anyway,
17 thanks, everybody. And hope everyone makes it
18 out of here fine. And we will see you all in
19 -- for an extended -- possibly extended visit
20 to, hopefully not extended by the weather, but
21 extended by the agenda in the Tri-Cities.

22 MEMBER MUNN: In the Tri-Cities.

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1 And I will make every effort to see that the
2 day prior to our meeting is a tour day.
3 Something quick. Which I think we all should
4 take into consideration in planning our --
5 I'll try to get back to you on that as quickly
6 as possible. But you should keep it in mind.

7 MR. KATZ: I mean DOE is working
8 on setting up a tour --

9 MEMBER MUNN: Yes.

10 MR. KATZ: -- for the day before.

11 So that's -- that's a fact. And folks that
12 are interested in having that tour on the
13 Board, please let me know, as well as folks
14 from SC&A who would like to join that and
15 folks from DCAS.

16 It would probably be good to get a
17 head count of how many people are interested.

18 MEMBER CLAWSON: Hanford has asked
19 me that as soon as we can get a head count
20 they'd appreciate it so they would be able to
21 accommodate how many people want to be able to
22 go.

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1 MEMBER MUNN: Brad, if you're
2 doing this, I'm not.

3 MEMBER CLAWSON: Well, I started
4 this a couple of months ago for the tour. And
5 I've been in contact with our point out there,
6 and she -- we've already got it set up for the
7 day before, but she just wanted to get a head
8 count the closer we got to this so she could
9 make sure if she needs big bus or just a van.

10 So I would really encourage,
11 especially the new Board Members that haven't
12 been there. This is an excellent tour that
13 they do. And Hanford's marvelous site, and
14 they've accomplished a lot of things in their
15 in their life up there. I highly recommend it
16 to anybody.

17 MEMBER MUNN: Brad, why don't you
18 send an email and tell me what you have
19 planned, because I would like to coordinate
20 your plan with what I had anticipated for the
21 rest of the day. Thank you.

22 MEMBER CLAWSON: Okay. I'll be in

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1 contact with you.

2 MR. KATZ: I'd like to be the loop
3 too, please. So let's all get coordinated
4 here on this. Thanks.

5 MEMBER MELIUS: I can just
6 envision the three tour buses crashing into
7 each other meeting at the -- the B

8 MEMBER CLAWSON: We just had a --
9 we just had comments on this about how we're
10 suppose to get together. So I'll let you know
11 when I've got, I believe her name is Spills --
12 Spells or something like that, that I've been
13 dealing with up there.

14 And she basically set up B

15 CHAIRMAN MELIUS: Brad, why don't
16 you do this offline with everybody okay?

17 MEMBER CLAWSON: Okay.

18 CHAIRMAN MELIUS: Get coordinated
19 with them. Thank you. Bye. Meeting is
20 adjourned.

21 (Whereupon, the above-entitled
22 matter was adjourned at 9:35 a.m.)

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