

# Implementation Science Research at NIOSH

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The findings and conclusions in this report are those of the author and do not necessarily represent the views of the National Institute for Occupational Safety and Health, Centers for Disease Control and Prevention.

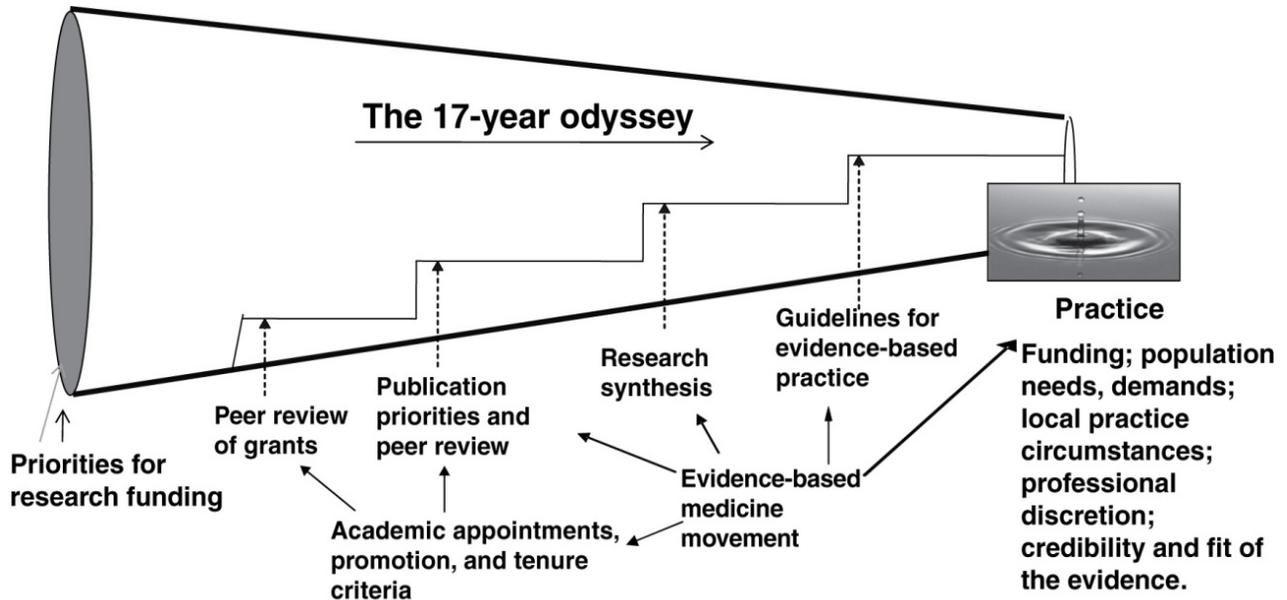
## Presentation overview

- The research-to-practice gap in public health
- Implementation science : what is it and why does it matter?
- Implementation (translation) research at NIOSH: Research for impact
- Future directions and opportunities



**What is implementation science?  
Why does it matter?**

# The “leaky” research-to-practice pipeline



Green LW, Ottoson JM, Garcia C, Hiatt RA [2009]. Diffusion theory and knowledge dissemination, utilization, and integration in public health. *Ann Rev Public Health* 30:151–174, <https://doi.org/10.1146/annurev.publhealth.031308.100049>.

Balas EA, Boren SA [2000]. Managing clinical knowledge for health care improvement. *Yearb Med Inform* 9(1):65–70.

# Implementation science (IS): A cross-cutting translational science

“Study of methods to promote the adoption and integration of evidence-based practices, interventions, and policies into routine health care and public health settings to improve our impact on population health.”

—National Cancer Institute

# Efficacy, effectiveness, and implementation research questions

- Does this intervention work under optimal conditions?
- Does this intervention work under real world conditions?
- When, where, how, with whom, under what circumstances, and why does this intervention work?



Nilsen P, Bernhardsson S [2019]. Context matters in implementation science: a scoping review of determinant frameworks that describe contextual determinants for implementation outcomes. *BMC Health Serv Res* 19:1–21, <https://doi.org/10.1186/s12913-019-4015-3>.

Stange, Breslau, Dietrich, Glasgow [2012]. State-of-the-art and future directions in multilevel interventions across the cancer control continuum. *J Natl Cancer Inst Monogr* 2012(44):20–31, doi: 10.1093/jncimonographs/lgs006.

# Important considerations for IS

## *Context*

- Multilevel complexity
- Adaptability
- Representativeness and reach
- Equity
- Relevance
- Generalizability
- Scalability and sustainability

# Implementation Science Research at NIOSH

# Pipeline issues in occupational safety and health (OSH)

- Effective OSH research programs are not broadly adopted and implemented; Research “sits on the shelf.”
  - For instance, only 17% of U.S. fishing safety research has been adopted in workplaces to benefit workers\*
- Many challenges; gaps persist
- These gaps have serious implications for the safety and health of the global workforce



\*Lucas DL, Kincl LD, Bovbjerg VE, Lincoln JM. Application of a translational research model to assess the progress of occupational safety research in the international commercial fishing industry. *Saf Sci* 64:71–81, <https://doi.org/10.1016/j.ssci.2013.11.023>.

# Implementation (translation) research at NIOSH: A brief history and timeline

**1994**  
Intervention  
Effectiveness  
Research  
Conference

**2004**  
Research-  
to-Practice  
(r2p)

**2009**  
National  
Academies  
of Science  
report

**2016**  
NIOSH  
Translation  
Research  
Program

**1996**  
National  
Occupational  
Research  
Agenda  
(NORA)

**2006**  
Second  
Decade of  
NORA

**2013**  
Burden,  
Need,  
Impact  
workgroup  
("impact  
science")

**2019**  
NIOSH  
Capacity  
Building  
Plan

## We have heard this before ...

- “NIOSH is encouraged to fund translation/implementation research in the future.”
- “Underrepresented is evidence that the knowledge has had widespread uptake by relevant user groups, and most importantly, resulted in adherence to best practice recommendations.”



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# NIOSH Evaluation Capacity Building Plan, 2021–2025

## Implementation (Translation) Research Workgroup

### Key Learning Question:

*How can NIOSH use implementation (translation) research to successfully adopt, implement, and sustain NIOSH solutions?*

### Key Learning Activity 1:

Refine definition and develop supporting materials for implementation research  
*(Complete by end of FY23)*

### Key Learning Activity 2:

Develop implementation research materials to build capacity within NIOSH  
*(Complete by end of FY25)*

# What's in a name?



VA Quality Enhancement Research Initiative  
EVIDENCE INTO PRACTICE



**SAMHSA**  
Substance Abuse and Mental Health  
Services Administration



**AHRQ**  
Agency for Healthcare  
Research and Quality

NIH National Institute of Environmental Health Sciences  
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Research

## Implementation Science in Environmental Health

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## Toward More Precision in Implementation Science in the Age of COVID-19

June 26, 2020 by Mindy Clyne, David A Chambers, Division of Cancer Control and Population Sciences, National Cancer Institute, Rockville, Maryland and Muij J. Khoury, Office of Genomics and Precision Public Health, Centers for Disease Control and Prevention, Atlanta, Georgia

[Implementation science](#) (IS) is “the study of methods to promote the adoption and integration of evidence-based practices, interventions, and policies into routine health care and public health settings to improve the impact on population health.” The various factors that must be taken into consideration in designing, conducting, and evaluating IS studies dictate an inherent “precision” to ensure the success of evidence-based practice implementation within studied populations. These include but are not limited to

- consideration of the different stakeholders involved;
- the context in which an intervention takes place;
- identification of appropriate implementation strategies;
- the multi-level, multi-sectoral, and dynamic nature of implementation; and
- the need to consider scale-up and sustainability.

Implementation Science

the [NIEHS Strategic plan](#) (Promoting Translation – Edge to Action) supports research to develop, test, evidence-based prevention and intervention reduce or avoid exposures and their resulting The NIEHS supports the use of implementation s. To improve environmental public health through the take, sustainment and spread of evidence-based practices, and policies that prevent or mitigate iures and support environmental health equity.

## Implementation Science?

Implementation science is the study of methods to promote the

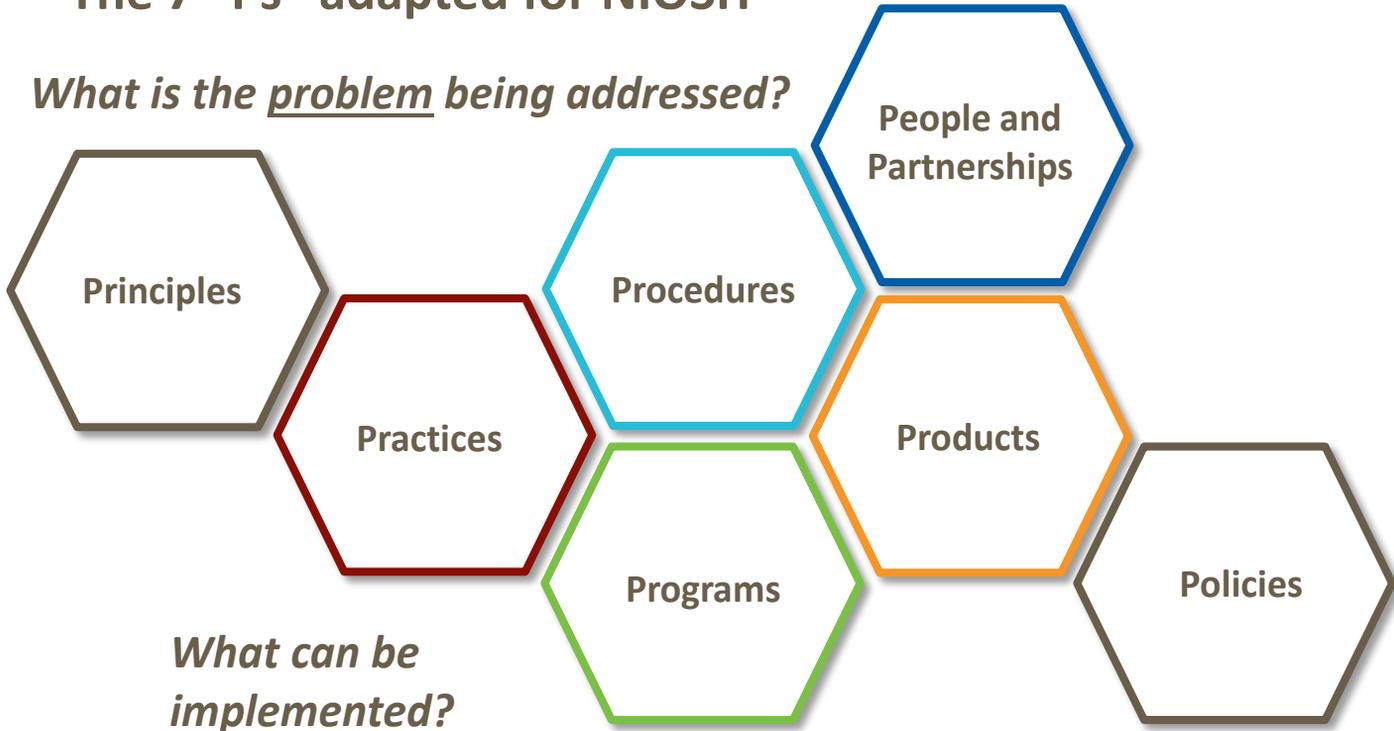
# Implementation research for NIOSH

## Implementation research (previously Translation research):

- Studies the processes by which **promising interventions** are disseminated, adopted, implemented, sustained, and scaled equitably in real-world settings.
  - Uses models, methods, and measures to **systematically identify, develop, evaluate, and refine strategies** (to support these processes).
  - Applies to all workplaces and workers, especially those who are disproportionately affected by OSH hazards and risks.

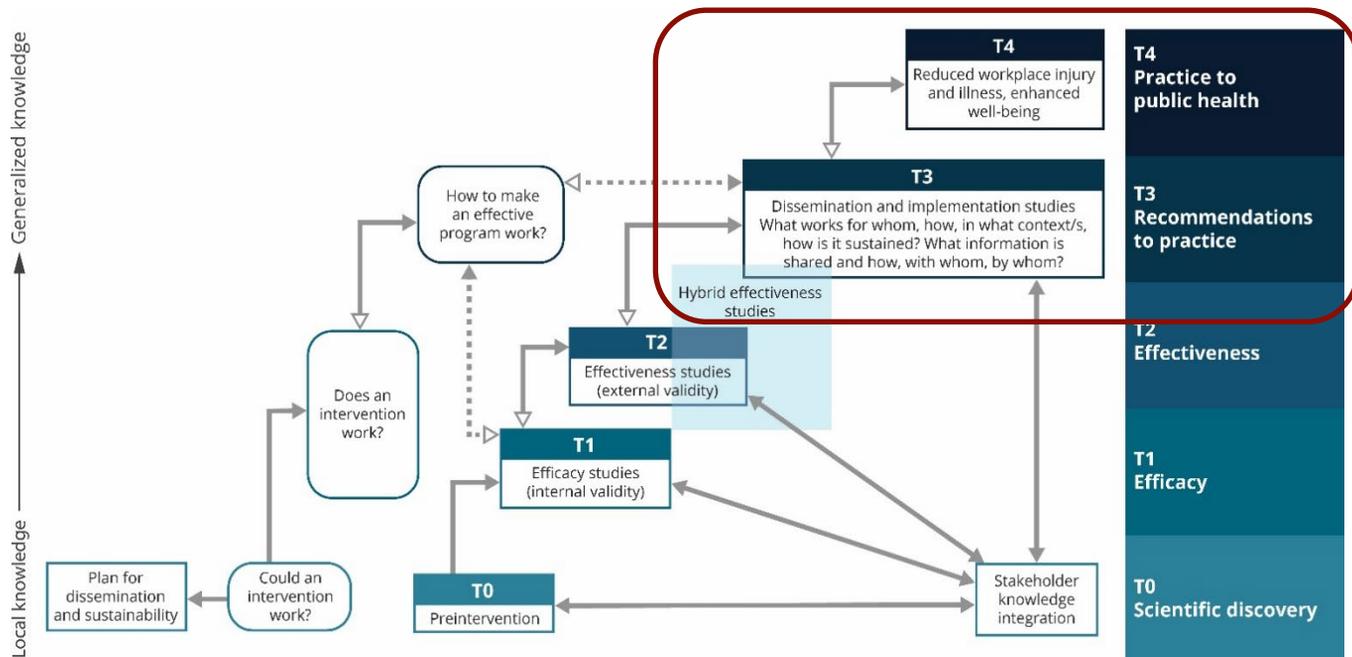
# The 7 “Ps” adapted for NIOSH

*What is the problem being addressed?*



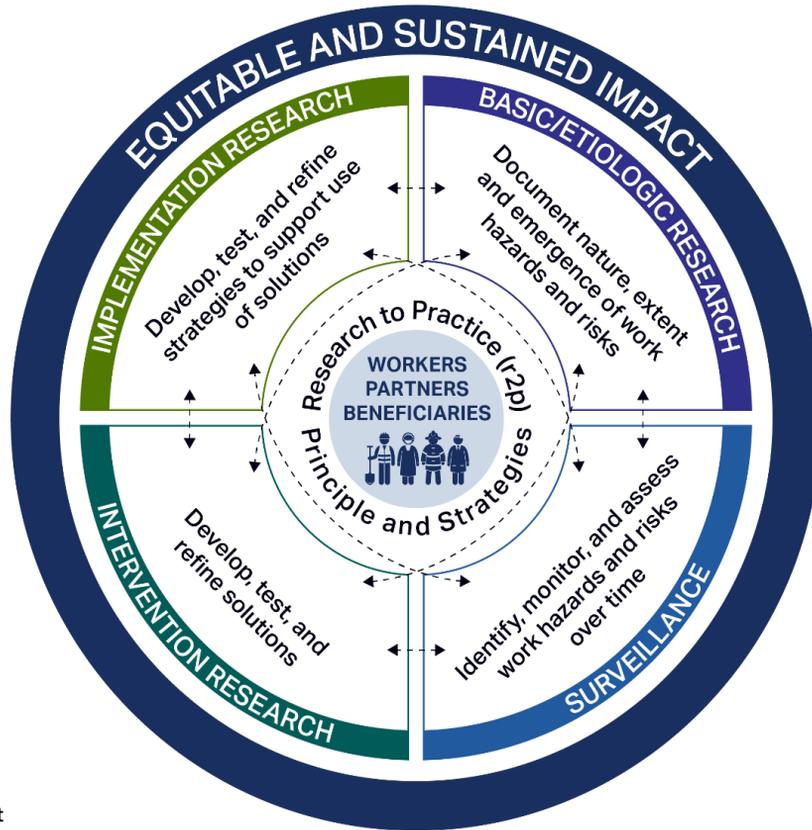
*What can be implemented?*

# The research continuum in OSH [Guerin et al. 2022]



Guerin RJ, Glasgow RE, Tyler A, Rabin BA, Huebschmann AG [2022]. Methods to improve the translation of evidence-based interventions: A primer on dissemination and implementation science for occupational safety and health researchers and practitioners. *Saf Sci* 152:1–18, <https://doi.org/10.1016/j.ssci.2022.105763>.

# NIOSH Research for Impact Framework

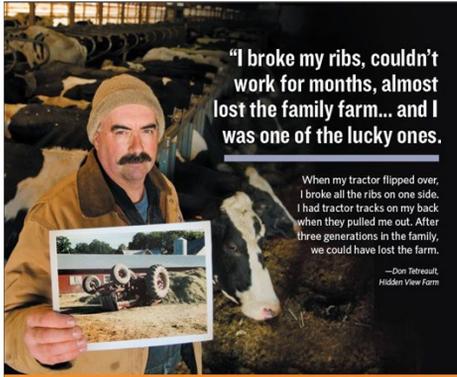


Draft

Credit: NIOSH Visual Communications Team and the NIOSH Implementation Research Workgroup

# Where does implementation research fit in at NIOSH?

- Conduct “T3” implementation studies
  - Systematically identify, develop, evaluate, and refine strategies that support disseminating, adopting, implementing, sustaining use, and scaling of proven interventions into workplace settings
  - Example: Tinc et al. (2020)
- Integrate implementation science concepts throughout all stages of NIOSH research; Plan from inception for implementation, dissemination and impact



**“I broke my ribs, couldn’t work for months, almost lost the family farm... and I was one of the lucky ones.”**

When my tractor flipped over, I broke all the ribs on one side. I had tractor tracks on my back when they pulled me out. After three generations in the family, we could have lost the farm.

—Don Tetreault,  
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Without the proper protection, it could happen to you. More than half of rollover injuries require a trip to the hospital and result in 70 lost workdays due to the injury. 1 in 7 farmers involved in tractor overturns are permanently disabled.

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[www.facebook.com/nioshrollroptobaccofarmers](http://www.facebook.com/nioshrollroptobaccofarmers)



Tinc PJ, Jenkins P, Sorensen JA, Weinehall L, Gadomski A, Lindvall K [2020]. Key factors for successful implementation of the national rollover protection structure rebate program: A correlation analysis using the consolidated framework for implementation research. *Scand J Work Environ Health* 46(1)85–95, doi:10.5271/sjweh.3844.

# Examples of integrating implementation research into NIOSH research

- **Basic/etiologic**
  - Research how to design personal protective equipment (PPE) that accounts for a wider range of body shapes and sizes, ensuring that PPE are accessible and effective for all workers.
- **Intervention**
  - Develop protocols that minimize the impact of research activities on partners while generating meaningful and actionable outcomes.
- **Surveillance**
  - Align the method used for collecting surveillance data with the preferences and priorities of those providing and consuming the data.

# You don't need to be an implementation scientist!

**Pragmatic, easy to use tools to integrate an implementation research (and health equity) perspective into research activities**



## **Adoption**

Where will the intervention be applied and who will apply it?



## **Reach**

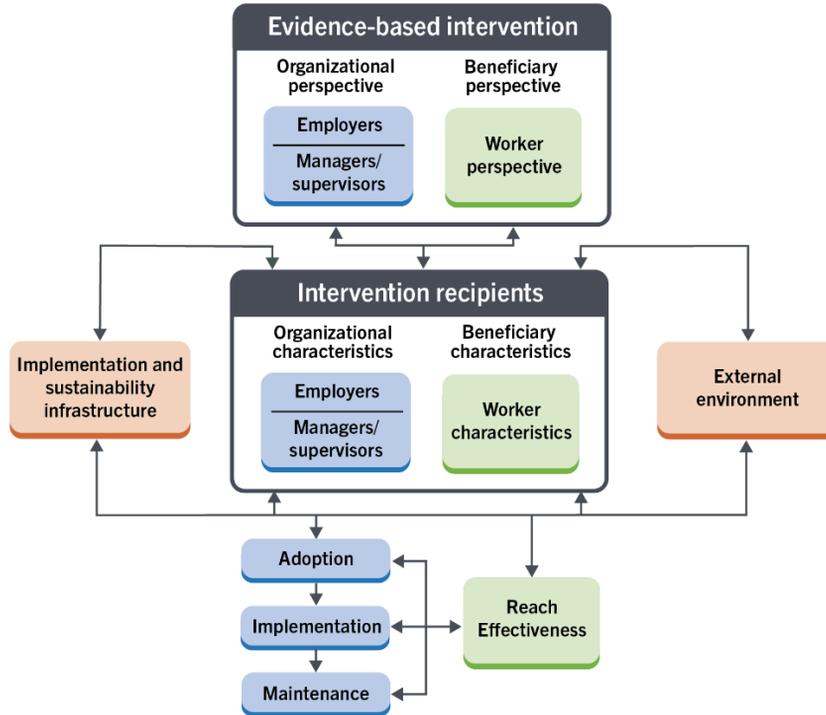
Who (workers/employers) are intended to benefit, and who participate and are exposed to the intervention?



## **Maintenance**

When will the intervention be operational? How long will it be sustained (workplace level)? How long are the results sustained (worker level)?

# The Practical, Robust, Implementation and Sustainability Model (PRISM) for Occupational Safety and Health



Adapted from Feldstein and Glasgow [2008]; Guerin RJ, Glasgow RE, Tyler A, Rabin BA, Huebschmann AG [2022]. Methods to improve the translation of evidence-based interventions: A primer on dissemination and implementation science for occupational safety and health researchers and practitioners. *Saf Sci* 152:1–18, <https://doi.org/10.1016/j.ssci.2022.105763>.

## Next steps

### FY23

- Identify implementation research models and tools tailored for NIOSH
- Hold listening sessions with NIOSH Divisions/Labs/Offices; capture findings
- Rename (“officially”) the Translation Research Program the Implementation Research Program
- Revise and update webpages



## What do you think?

- Are the proposed activities clear and actionable?
- Are there other efforts/activities to consider to advance implementation research at NIOSH? What are we missing?
- How do we define success?





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For more information, contact CDC  
1-800-CDC-INFO (232-4636)  
TTY: 1-888-232-6348 [www.cdc.gov](http://www.cdc.gov)

The findings and conclusions in this report are those of the authors and do not necessarily represent the official position of the Centers for Disease Control and Prevention.



# Additional resources





Contents lists available at [ScienceDirect](#)

## Safety Science

journal homepage: [www.elsevier.com/locate/safety](http://www.elsevier.com/locate/safety)



### Review

# Methods to improve the translation of evidence-based interventions: A primer on dissemination and implementation science for occupational safety and health researchers and practitioners

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Commentary

## Dissemination and Implementation Science Approaches for Occupational Safety and Health Research: Implications for Advancing Total Worker Health

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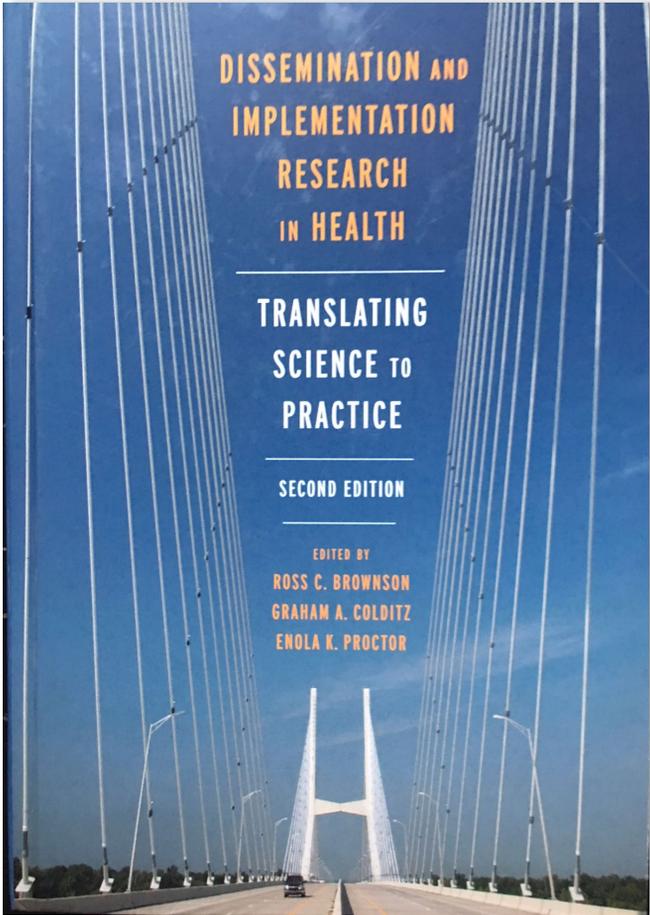
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**Abstract:** Total Worker Health<sup>®</sup> (TWH), an initiative of the U.S. National Institute for Occupational Safety and Health, is defined as policies, programs, and practices that integrate protection from work-related health and safety hazards by promoting efforts that advance worker well-being. Interventions that apply the TWH paradigm improve workplace health more rapidly than wellness programs alone. Evidence of the barriers and facilitators to the adoption, implementation, and long-term maintenance of TWH programs is limited. Dissemination and implementation (D&I) science, the study of methods and strategies for bridging the gap between public health research and practice, can help address these system-, setting-, and worker-level factors to increase the uptake, impact, and sustainment of TWH activities. The purpose of this paper is to draw upon a synthesis of existing D&I science literature to provide TWH researchers and practitioners with: (1) an overview of D&I science; (2) a plain language explanation of key concepts in D&I science; (3) a case study example of moving a TWH intervention down the research-to-practice pipeline; and (4) a discussion of future opportunities for conducting D&I science in complex and dynamic workplace settings to increase worker safety, health, and well-being.

**Keywords:** dissemination and implementation science; Total Worker Health; translational science; occupational safety and health; evidence-based interventions; health equity



**DISSEMINATION AND  
IMPLEMENTATION  
RESEARCH  
IN HEALTH**

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**TRANSLATING  
SCIENCE TO  
PRACTICE**

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**SECOND EDITION**

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