1	IN THE DISTRICT COURT OF CASS COUNTY, TEXAS
2	5TH JUDICIAL DISTRICT
3	
4	EDDIE CAFFEY, ET AL.,
5	Plaintiffs,
6	vs. CAUSE NO. B-150,896AD
7	FOSTER WHEELER CORPORATION, ET AL.,
8	Defendants.
9	
10	
11	
12	DEPOSITION
13	OF
14	JEFFREY H. BASS, M.D.
15	Taken on behalf of the Defendants
16	9:30 a.m., Saturday, May 10th, 2003
17	before
18	Lisa H. Brown, CSR #1166
19	
20	
21	
22	
	COAST-WIDE REPORTERS
23	Court Reporters
	Post Office Box 95
24	Biloxi, Mississippi 39533-0095
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1	The deposition of JEFFREY H. BASS, M.D.,	. 450 2		APPEARANCES (CONT'D):	1 age 4
i	taken on the 10th day of May, 2003, commencing at 9:30		2	RANDOLPH L. BURNS, ESQUIRE	
3	a.m., at the offices of Coast-Wide Reporters, 782		1 -	Edwards & George	
			3	208 N. Market Street, Suite 400	
4	Water Street, in the City of Biloxi, County of		1	Dailas, Texas 75202	
5	Harrison, State of Mississippi, before Lisa Hood		4		
6	Brown, CSR, Freelance Court Reporter and Notary Public		1	Appearing on behalf of the Defendant,	
7	within and for the County of Harrison, State of		5	Owens-Illinois, Inc.	
8	Mississippi.		6	DODERT UNIDIG FOOTING	
9			7	ROBERT VINING, ESQUIRE	
10	APPEARANCES:		1 ′	Aultman, Tyner, Ruffin & Yarbrough	
111	ALEXANDRA BOONE, ESQUIRE		8	400 Poydras Street, Suite 2250 New Orleans, Louisiana 70130	
	Nix, Patterson & Roach		وا	Appearing on behalf of the Defendant,	
12	205 Linda Drive		-	Garlock.	
'-	Daingerfield, Texas 75638		10		
13	Damesticia, Texas / 5050		11	ELIZABETH PHIFER, ESQUIRE	
1,2	Annaging on behalf of the Plaintiffe			Godwin Gruber	
1	Appearing on behalf of the Plaintiffs.		12	1201 Elm Street, Suite 1700	
14	DAMEN CETTER ECOMPE			Dallas, Texas 75270	
15	DAVID M. SETTER, ESQUIRE		13	Appearing on behalf of the Defendant, W.W.	
1	Socha, Perczak, Setter & Anderson		14	Granger via telephone.	
16	Denver Financial Center Tower 1		15	DOUGLAS C. HEUVEL, ESQUIRE	
	1775 Sherman Street, Suite 1925		۱"	Thompson & Knight	
17	Denver, Colorado 80203		16	1700 Pacific Avenue, Suite 3300	
18	Appearing on behalf of the Defendant.		1	Dailas, Texas 75201	
	Jones Blair.		17		
19				Appearing on behalf of Defendant, Honeywell	
20	MICHAEL E. WHITEHEAD, ESQUIRE		18	via telephone.	
1-	Page, Mannino, Peresich & McDermott		19	TOTAL PROOFER PROFES	
21	759 Vieux Marche' Mall		ا م	JOHN ESCOVER, ESQUIRE	
1 ~ '	Biloxi, Mississippi 39530		20	Watson Rossick	
1 22	Biloxi, Wississippi 39330		21	301 Congress, Suite 1350 Austin, Texas 78701	
22	A		22	Appearing on behalf of the Defendant, Austin	
1	Appearing on behalf of the Defendant,		22	Company via telephone.	
23	Rockbestos.		23	Company via receptorie.	
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	JEFFREY H. BASS, M.D.,	1	A. I will try.
2	having been produced and first duly sworn, was	2	Q. And I'll try to let you finish your answer
3	examined and testified as follows:	3	before I talk over you.
4		4	A. Thank you.
5	EXAMINATION	5	Q. The reason we need to do that is the court
6	BY MR. SETTER:	6	reporter can only take down one of us at a time. She
7	Q. Doctor, my name is Dave Setter. We met	7	can't take down two people talking. Is that okay?
8	briefly before the deposition. If you'll do me a	8	A. Understood.
9	favor, first for identification purposes, tell me your	9	Q. I need you again to answer out loud instead
10	name.	10	of these nods or uh-huhs.
11	A. Jeffrey Howard Bass.	11	A. Right.
12	Q. Sir, you are a physician?	12	Q. Great. If at any time, Doctor, you need a
13	A. Yes, sir.	13	break just let me know.
14	Q. Dr. Bass, would you tell me your Social	14	A. Okay.
15	Security Number, please?	15	Q. And the only thing I ask is if there is a
16	A. 571-88-0760.	16	question pending, I want you to answer the question
17	Q. For identification purposes also, what's	17	before we take a break.
18	your home address?	18	A. Sounds fair.
19	A. 410 Rue, R-U-E, Chateauguay,	19	Q. Now, I understand you were deposed recently
20	C-H-A-T-E-A-U-G-U-A-Y, Ocean Springs, Mississipp	120	I believe January 30th of this year; is that right?
21	39564.	21	A. It was in January, sounds about right.
22	Q. Thank you. Doctor, how old are you?	22	Q. Here is my question. Have you been deposed
23	A. Forty-two.	23	since?
24	Q. Now, I understand you've been deposed	24	A. No, I have not.
25	before; is that correct?	25	Q. Have you testified at trial since January
L			Q. 110.0 you common us man amount,
	Page 7		Page 9
1	A. That is correct.	1	30th this year?
2	Q. Let me make sure we go through a couple of	2	A. I have never testified in any trial.
3	ground rules so we're clear about what we're doing	3	O So other than the denocition on January
			Q. So other than the deposition on January
4	here. First and foremost, if you answer one of my	4	30th, you also had another deposition, I believe, back
5	here. First and foremost, if you answer one of my questions, I'm going to assume you understand the	4 5	
5 6	questions, I'm going to assume you understand the question?	5	30th, you also had another deposition, I believe, back in 1998; is that correct? A. That is correct.
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other than California and Mississippi?

25 worked at since July 1st of 2000, and it reflects the

1		T	· · · · · · · · · · · · · · · · · · ·
1.	Page 14		Page 16
	A. No.	1	group involves doing emergency department medical work
2	Q. For example, have you ever been licensed	2	as a physician?
3	specifically in Texas?	3	A. That is correct.
4	A. No.	4	Q. Are you practicing as an internist?
5	Q. I notice that you went to med school at	5	A. No.
6	Baylor; is that correct?	6	Q. Have you practiced as an internist since you
7	A. That is correct.	7	left California?
8	Q. You didn't obtain a license in Texas back	8	A. No.
9	then?	9	Q. So the last time you held a job as an
10	A. No, because I did my internship and	10	internist was when you were working at the
11	residency in California and you have to complete an	11	Buenaventura?
12	internship before you're eligible for state license,	12	A. Buena Ventura Medical Clinic, that's
13	and I was already in California by that time.	13	correct.
14	Q. That raises an interesting question,	14	Q. Subsequent to that time, you were doing your
15	Doctor. As a resident, do you need to have a license	15	residency in the emergency room department, I'm sorry,
16	in the state that you are doing your residency?	16	the University of Mississippi emergency room residency
17	A. I was required to, yes.	17	program, correct?
18	Q. In California?	18	A. That is correct.
19	A. Yes.	19	Q. And you did that from 1997 until 2000?
20	Q. How about in Mississippi?	20	A. Correct.
21	A. I believe so. I'm not a hundred percent	21	Q. And I understand from the prior deposition
22	sure. But once you finish your internship, you're	22	that you started working with Healthscreen, Inc.
23	required to I think most states will require you to	23	sometime around March of 1998; is that correct?
24	get your license to continue with your residency.	24	A. That is correct.
25	Q. Rather than put it in the hypothetical, you	25	Q. And were you working with Healthscreen at
	Q. Taution than put it in the hypothetical, you		Q. This word you working with Housing order at
	Page 15		Page 17
1	Page 15 did a residency here in Mississippi?	1	Page 17 that time as a resident?
ì	Page 15 did a residency here in Mississippi? A. Yes.	1 2	that time as a resident?
1 2 3	did a residency here in Mississippi? A. Yes.	1 2 3	that time as a resident? A. While I was an emergency department
2	did a residency here in Mississippi?		that time as a resident? A. While I was an emergency department resident, yes.
2 3	did a residency here in Mississippi? A. Yes. Q. In emergency room medicine? A. That's correct.	3	that time as a resident? A. While I was an emergency department resident, yes. Q. And was that a part-time position with
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2 3 4 5 6	did a residency here in Mississippi? A. Yes. Q. In emergency room medicine? A. That's correct. Q. Did you get a license in Mississippi at the time that you became a resident in Mississippi?	3 4 5	that time as a resident? A. While I was an emergency department resident, yes. Q. And was that a part-time position with Healthscreen or full-time position? A. Part-time.
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Emergency Room Group in July of 2000 in Pascagoula,

how many hours a week on average did you work for

A. Pretty close to the same amount maybe

Q. Would that also be the case going back to

1998 and 1999, an average of five to ten hours a

Healthscreen, let's say in the year 2000?

slightly more, maybe five to ten hours max.

A. Well, the residency director's name was Dr. Luanne Woodward, and the chief of emergency medicine was Dr. Robert Galli, G-A-L-L-I. Q. Was that the case the whole time you were

22 23 doing your residency, or did those positions or people 24 change, I should say? 25

A. They did not change.

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cancer.

stating that he feels asbestos caused his colon

break that down. With respect to the first month when

you were physically examining folks and doing

dictation, I believe is what you said?

A. Yes.

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- Q. How much were you being paid per day by Healthscreen?
 - A. Two thousand dollars.
- Q. And if I understood correctly, you only did this for a period of a month?
 - A. Correct.
- 9 Q. And how many days approximately for that 10 first month did you do that?
- A. I believe I did four days. 11
- 12 Q. And is that the only time you've actually 13 physically examined patients on behalf of 14 Healthscreen? 15
 - A. There were a couple other specific instances where one person needed to be examined, so I went to 16 the Healthscreen office in Jackson. I was living in Jackson at the time and I just examined that one patient, and at that time I charged them fifty dollars for the physical exam and fifty dollars for the dictation.
- 22 Q. All right. Now, setting aside those 23 occasions, how much did you get paid by Healthscredr23 24 for doing only the dictation reports?
 - A. Fifty dollars per report.

generally what overall?

A. Any conceivable abnormal findings that they had on x-ray or pulmonary function testing, physical exam, could easily be explained by some other medical condition they had so that I felt I could not attribute their findings to asbestosis, or some people had just completely normal exams.

Q. So one of the ways that you would make that determination, there would be something indicative in their history that would obviously explain their condition being related to that condition which was not related to an asbestos-related condition?

A. Correct.

Q. If that made sense.

A. Yes. If you'd like, I can give you an example.

Q. Sure.

A. One patient that stuck in my mind, was relatively young, had a history of tuberculosis, had a gunshot wound to the chest, had some pleural scarring from the gunshot wound, had some interstitial changes from the tuberculosis, so he had a very abnormal chest x-ray, but he had -- he only worked in the shipyard for about three months, did not have an adequate latency period, and had an abnormal pulmonary function

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Q. Would it be fair to say that you have seen over six thousand -- I shouldn't say seen. Let me back up. Strike that.

Would it be fair to say that you have written reports on over six thousand individuals?

A. Yes.

Q. Would it be fair to say that you've looked at or physically examined around the neighborhood of a hundred individuals on behalf of Healthscreen?

10 A. I'd say between a hundred and a hundred and 11 fifty.

12 Q. Now, I believe in your prior deposition you were talking about the issue of negatives or instances 13 14 where you would find an individual did not have an 15 asbestos-related condition. Do you recall that? 16

A. Yes.

17 Q. And I believe your testimony at that point was around one to two percent of the individuals that 19 you had written reports on were determined by you not to have an asbestos-related condition?

A. That is correct.

22 Q. Has that changed?

23 A. No.

24 Q. And the basis for them being determined that they didn't have an asbestos-related condition was

test. So felt like I could not attribute, even though 2 he had abnormal findings on both x-ray and pulmonary 3 function testing, I just did not feel that I could 4 explain that by asbestosis. 5

MR. PPANSKE: We know a few doctors who could.

MS. BOONE: I'll object to that. MR. PRANSKE: Not from your firm. BY MR. SETTER:

Q. Doctor, I gather it's very important from what you do with Healthscreen to have as much information as you can concerning other alternative causes that should be ruled out as opposed to asbestos-related conditions?

A. Absolutely. The more information I have, the more accurate a determination I can make.

Q. Well, wouldn't it be important then, Doctor -- let's see. Healthscreen doesn't do bronchodilators, do they?

A. No, we do not.

Q. Wouldn't it be important to rule out obstructive airways disease or reversible airway obstruction with bronchodilators to help you make that determination as to whether someone has a condition other than an asbestosis-related condition?

1 A. Well, bronchodilators help with obstructive 2 disease in determining whether the obstruction is reversible or not reversible, but restrictive disease is somewhat different in that if you have restrictive 5 lung disease, your lung volumes are low, and a bronchodilator is not going to change your lung volumes. What it will change is how rapidly you can 8 expel air.

Q. And that's fair, Doctor. I understand your answer. So are you telling me that asbestosis or asbestos-related conditions are only a restrictive or exhibit a restrictive impairment pattern?

13 A. You can have combined restrictive and obstructive lung disease. And also some people with 14 asbestosis, especially early in the disease may not 15 exhibit a restrictive pattern at all. They may only 16 have a diminished diffusion coefficient or they may 17 even have normal pulmonary function tests and just ah18 19 abnormal chest x-ray if it's early in the course of the disease. 20 21

21 Q. Right. And here's where I'm going with it, Doctor. If there is any component of obstruction that 22 22 23 you believe is significant for making a conclusion or 24 diagnosis of asbestosis, wouldn't it be helpful to 25 make sure that we're ruling out reversible airway

feel better.

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Q. But isn't the sole purpose of Healthscreen is basically to evaluate and diagnose individuals on behalf of attorneys?

A. Yes.

O. All right. So you're not really interested in treating these individuals whatsoever in terms of your function with Healthscreen?

A. That is correct. We do not treat these people. We do not give medical advice. We do not prescribe medications. It's the equivalent of doing a physical exam for a life insurance company. All we're doing is collecting information. We're not treating them.

Q. All right. And then are you, in your mind, making a diagnosis or not, Doctor?

A. Yes.

Q. So you are making a diagnosis, but you're not going to the extent of doing what a treating physician would?

A. No, we're not checking to see what's going to make them feel better. We're just checking to see is the disease there or is it not there. If it's reversible that would be something the primary physician would be interested in because, as I said,

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obstructive lung disease?

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A. Well, obstructive lung disease can be reversible or irreversible. It's always helpful to know if you have obstructive lung disease complicating 4 the picture.

Q. Okay. Would you agree with me that it would be a better practice to go ahead and get bronchodilators if you're going to make this type of evaluation or diagnosis of an asbestos-related condition?

A. To tell you the truth, I don't think it makes that big a difference. The purpose of 12 13 determining if someone has reversible disease with a 14 bronchodilator is for therapeutic, so that if you are 15 treating the patient you want to know if this disease is reversible with a bronchodilator because if it is, you're going to prescribe them a bronchodilator and make them feel better.

19 But we do not have a doctor-patient 20 relationship with these patients in that we are not treating them. We are not trying to make them feel 21 22 better. We are simply evaluating them to see what 23 type of disease they have. So for us, for our 24 purposes, it really doesn't matter. It would matter to the primary care doctors trying to make the patient

Page 33 because they're trying to make them feel better.

O. And if you're diagnosing these individuals, are you doing so by holding yourself out for vulnerability to be sued for malpractice?

A. Yes.

Q. Do you carry malpractice with Healthscreen'

A. No, because we are not treating patients.

Q. But you are diagnosing them, right?

9 We are for legal purposes.

Q. And you're shooting them with x-rays; are you not, Doctor?

A. I do not.

O. Healthscreen does?

A. Healthscreen, at the request of attorneys, does do some -- takes some x-rays.

Q. Who orders the x-rays that are shot by Healthscreen?

A. I believe the attorneys request them and the patients consent to them.

Q. Well, you were the former medical director, were you not, of Healthscreen?

A. Yes, I was. 22

> Q. Are you telling me no physician prescribed an x-ray that was shot by Healthscreen?

A. The physicians do not prescribe the x-rays,

Page 34 no, we do not, because we are not treating them. This is strictly an evaluation process that the patient agrees to. And, you know, the attorneys say, You need an x-ray. The patient agrees to have it done, and 5 Healthscreen does it. 6

Q. All right. Doctor, in your practice as an emergency room physician, do you have to prescribe an 7 x-ray?

A. I order them.

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Q. Is that not the equivalent of a prescription?

A. No, a prescription is for a medication.

Q. All right. Isn't it true, Doctor, that an individual cannot have an x-ray shot of him without orders from a physician in the State of Mississippi?

A. I am not all that familiar with the laws. I know in our hospital, it is our hospital policy that only a doctor who has hospital privileges at the hospital can order an x-ray in my hospital. Now, what 19 happens outside of hospitals I cannot answer.

Q. Back in California when you were doing emergency room work there in California, did you as the physician have to order the x-ray as well? In other words, no one could just walk in and get an x-ray without at least Dr. Bass or a doctor at that

A. No, I did not.

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Q. Were you also responsible for recruiting physicians to work with Healthscreen?

A. Yes, I was.

Q. Were you paid any type of fees or compensation in terms of that recruitment work above and beyond what we talked about already?

A. No, I was not.

Q. Did the three hundred and thirty-seven thousand dollars include that type of work or those type of tasks?

A. The three hundred thirty-seven thousand dollars was strictly for my work on patient reports. The additional responsibilities you could say I did voluntarily. I just figured they were paying me extremely well and we had a good working relationship and we just sort of worked as a team.

Q. What I'm gathering is you would recruit doctors for Healthscreen and that was just part of the

A. Yes, because it was so busy that I couldn't do all the work myself, so they needed some extra help, so I helped them find some other doctors.

Q. All right. Can we break down per year roughly what you made in 1999 and 1998 doing this

hospital ordering the x-ray?

A. At my hospital or my clinic, you would have to have doctor privileges at my hospital or at my clinic in order to order one.

Q. Now, with Healthscreen you're telling me that the attorneys are the ones ordering the x-rays; is that right?

A. As far as I know, yeah. They refer them to us, and if the patient agrees to have it done, then 10 Healthscreen will do it.

Q. And as the former corporate director --

12 A. I'm sorry. Can I add, Healthscreen only started doing x-rays recently. Many of these people 14 had x-rays done elsewhere, and I don't know who 15 ordered those.

Q. One of your positions with Healthscreen was 17 director of corporate planning; was it not?

A. Not director of corporate planning. I was 18 19 medical director, and as medical director part of my 20 responsibilities included helping with corporate 21 planning.

22 Q. Back -- well, at any point in time with 23 Healthscreen did you also receive in addition to this per-day or per-diem amount or per-report amount, an type of bonuses?

1 work for Healthscreen?

> A. In 1998, I believe I made approximately sixty-five thousand dollars; 1999, approximately seventy thousand dollars.

O. And has that been about the same amount in the year 2000?

A. Yes.

Q. Sixty-five to seventy thousand or so?

A. About seventy thousand in 2000.

Q. And how about 2001, how much did you make?

A. Let me think. Might have been closer to eighty in 2001.

Q. And then 2002 would have been?

A. It was, I think, about fifty-five thousand dollars.

Q. And then year to date you haven't made anything?

A. Correct.

Q. All right. Let's just hang on for a second here. I'll keep going. We'll mess with the exhibits later.

Did you ever have any type of written agreement or contract with Healthscreen?

A. The only written confirmation would be I signed a form stating that I'm an independent

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Q. Did you submit any invoices to Healthscreen?

A. I examined the medical records and did

Q. Out of the total business of Healthscreen, how many folks do you think Healthscreen has seen?

A. I could not begin to tell you.

reports on that many people, yes.

Q. Would it be more than the sixty-six hundred that you looked at?

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A. Yes, I have seen definitely much less than fifty percent of the people. They have many other doctors that work for them.

Q. All right. Since your last deposition, you did note that at one point you were the medical director for Healthscreen, but you are no longer in that position?

A. That is correct.

Q. When did that change?

16 A. When I moved away from Jackson in July of 16 17 2000.

Q. Do you know who took over in your place as 18 medical director?

A. Yes, Robert Magee.

Q. Is he still in that position at 21

22 Healthscreen?

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A. No, he left about a year ago.

24 Q. So Magee was doing it from 2000 through

25 sometime in --

A. Through probably July -- through June 30th 1 2 of 2002. 3 Q. And then who took Magee's place? 4 A. Actually, I don't think there is one right 5 now. 6 Q. There's no medical director right now?

A. I think they're just sort of more anarchy.

There may be one, but I'm not aware. 8

9 Q. Did Magee end up finishing a residency as 10 yourself and move on?

11 A. Yes. Robert Magee did a pulmonary 12 fellowship and moved on.

13 Q. Would it be fair to say that at least until 14 you started working back down on the Gulf Coast -- I 15 shouldn't say back down -- when you started working 16 down here in Pascagoula --

A. And Ocean Springs.

18 Q. -- and Ocean Springs but before doing that, basically this work with Healthscreen was supplemental 19

income while you were doing your residency? 20 21

A. Correct.

22 Q. And that's what you were doing is making a 23 little money on the side in addition to your residency

24 money by working with Healthscreen?

A. Yes.

issues and I would help them with that. But I did not

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A. Yes.

15 Q. Back in March of 1998, had the company -was the company up and running when you were consulted 17 by the three owners initially?

A. The company was in existence, but I was the first doctor, so it started running -- I mean, they first started seeing patients after they hired me.

Q. And here's is my question. That's fair. Before you started, to your knowledge they had not

23 examined or did a report on anybody?

24 A. That is correct. I worked the very first 25 day that they saw patients.

Page 46 Q. In other words, for example, Dr. Shackleford wasn't out there seeing patients?

A. No, she was not. She was strictly administrative.

5 Q. Did she ever go see patients on behalf of Healthscreen?

A. No, she did not, not one.

O. How about Dr. Petrini?

A. No. 9

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10 Q. Did she ever do pulmonary function tests on 11 individuals?

A. No. Dr. Petrini is a Ph.D in pulmonary 12 13 function testing, and she basically instructed all of 14 the technicians how to perform proper pulmonary function tests and helped make sure that all the doctors were reading the tests properly and 16 17 established protocols for testing and did quality 18 assurance to make sure that the tests were of the 19 absolute highest quality and reproducible.

20 Q. Does she continue to do that or is she still 21 in that role?

22 A. Yes, she does.

23 Q. In fact, she's the president of

24 Healthscreen, isn't she?

A. Well, she is one of the two owners, yes.

had a flexible schedule so that he could work

midweek. And I was the only board-certified internist

3 that Dr. Shackleford knew that didn't have to work

4 Monday through Friday full time, so she asked me if 5 I'd be interested.

Q. And did you have some initial organizational meeting with Dr. Shackleford and Dr. Petrini and Mr. Bergstrom?

A. Most of my contact initially was with Dr. Shackleford, but I guess you could say we had informal meetings with Dr. Petrini and Paul Bergstrom just so we all could come to agreement on how it was going to be run.

Q. And during those initial meetings, were there any lawyers involved in those meetings as well when you were setting up this organization?

A. Not directly, no.

Q. Okay. Indirectly were they involved in the setup of this organization? When you said not directly, what does that mean?

A. Well, Karen Shackleford's husband is an attorney who has done asbestos cases. And I know that's what -- she formed the company in response to the fact that she kept hearing her husband complaining about how unhappy he was with the companies that were

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Q. But isn't she the corporate president, to your knowledge?

A. I don't know. She could be.

Q. All right. Now, Dr. Karen Shackleford is someone that did her emergency residency with you? 5

A. That is correct. She was one year ahead of me in the program.

Q. Do you know where she is at now in terms of 8 9 her practice? 10

A. I do not.

Q. Is she up in Jackson?

12 A. I believe she's living in Jackson.

Q. Do you know where she practices?

14 A. No, I do not.

Q. Or if she practices?

A. I do not.

Q. Dr. Shackleford and Dr. Petrini and Mr.

18 Bergstrom, did all three of them approach you about 18

19 your position at Healthscreen initially?

20 A. Dr. Shackleford is the one who got me 21 involved.

22 Q. Tell me, Dr. Bass, how did it all come about 22

23 that you got involved with Healthscreen? 24

A. Well, they had established this company and 24 25 they needed to find a board certified internist who

out there doing pulmonary function tests. And when she got tired of listening to him complaining, she

asked him how much he was paying them. And when he

told her, she told him, I can set up a company to do that. Now how much he helped her, I don't know. But

I'm sure he had something to do with it.

Q. Did you ever meet with Steve Shackleford in the early months of the setup of Healthscreen?

A. I met him a couple of times.

Q. Did he ever meet with you and others at Healthscreen as part of a business meeting?

A. No.

Q. So if I can go back to your earlier statement, this is a brain child of Karen Shackleford because her husband was the plaintiff asbestos lawyer and he said, Eureka, you know, Karen, I got these testing entities out there and I pay them all kinds of money. And Karen came back and said, Gee, I can do that?

MS. BOONE: Objection to the form.

21 BY MR. SETTER:

Q. Is that right?

A. To the best of my knowledge, yes.

Q. So this is the Shackleford screening entity, 25 right?

MS. BOONE: Objection to form.

2 A. Not anymore. 3

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BY MR. SETTER:

Q. Well, that's how it got started?

A. That's how it got started, but she did it as her own company. It was not Steve's company. It was Karen's idea because she felt she could do a good job of it. And she was bought out quickly in large part because they were having difficulty attracting 10 business from other attorney firms because -- strictly because she was a Shackleford and they were afraid

11 12 that -- I guess they didn't want Steve Shackleford to 13 know what was going on in their firms because he was a

14 competitor. So that's why she was bought out and 15 taken out of the company, and they very rapidly had nothing to do with it anymore. 16

Q. And do you know who forced that issue for her to be bought out? Who was behind that?

19 A. I think it was -- to the best of my 20 knowledge, it was the other owners and Jack Jamison 21 who realized the company wasn't going to make it 22 because they weren't getting any referrals.

23 Q. From a marketing standpoint, it didn't look 24 too good to have Steve Shackleford's wife being a 25 principal owner; is that correct?

Marci to get on board with Healthscreen as part of this brilliant idea by Karen and Steve Shackleford to do screenings for lawyers?

A. Like I said, it was a brilliant idea of Karen's, not of Steve's. Marci was the very first person she approached to put it together because she knew that Marci had a Ph.D in pulmonary function testing, is probably one of the world's foremost authorities in it, and so that's the first person she went to to form the company.

Q. And she needed somebody with real expertise about how to do pulmonary function tests and how to train technicians and doctors in terms of the administration and interpretation of pulmonary function tests?

A. That's exactly right.

Q. And that was a business objective because Karen was concerned with not having an individual of that type of expertise would affect the company by any means? I don't understand why it was necessary to have Dr. Petrini involved. That's my real question. Why was it necessary to have someone like Dr. Petrini?

A. Because she wanted to have the absolute best quality pulmonary function tests available and she knew that Dr. Petrini, who also was a personal friend

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A. That is correct.

Q. And the other plaintiffs attorneys didn't think that was too swift as well, so the owners decided we better buy out Karen and change that relationship?

A. Yes.

Q. And as a result of doing that, they -- did 7 8 they have Paul Bergstrom involved from the get-go of 9 did they put him in at a later date?

10 A. Yes. Paul was involved from the very 11 beginning. He was critical. He's a retired corporate 12 executive, so he's really the guy who was involved in 12 putting the corporate structure together. 13

Q. But Paul is Karen Shackleford's father, correct?

A. That is correct.

17 Q. Now, going back a little bit about the ins 18 and outs and startup of this business, do you know 19 when Dr. Petrini got involved with Healthscreen? W 20 she on board when you were consulted with Karen 21 Shackleford?

22 A. Karen, Marci and Paul were the three 23 original people. They started it, Jack Jamison was 24 the first person hired to run the company.

Q. Do you know if Karen went out and recruited 25

of hers, was one of the best anywhere. Dr. Petrini's 1

job at University of Mississippi Medical Center, in addition to being primarily researcher, she teaches 4

the medical students and the pulmonary fellows how to read pulmonary function tests. If she's going to run a company where the primary objective is to examine

people and perform pulmonary function tests, she wanted to have a foremost authority running the show making sure the tests were the best quality possible.

Q. Right. And she wanted to be sure she had an

individual who knew how to do tests and how to interpret the software and so on and so forth?

A. Exactly.

Q. An expert in pulmonary function tests, so to speak?

A. Correct.

Q. Do you know if Dr. Petrini had been at the University of Mississippi -- well, let me go back. How long do you think Dr. Petrini was with the University of Mississippi before she got involved with Healthscreen?

A. I have no idea, but she had been there a number of years before this started.

Q. When -- go ahead.

A. I know Dr. Petrini was there when Dr.

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B-Read my folks," would you be able to do that?

A. You mean could I give you the names of some

Q. Have you made any type of reports whatsoever

to the Centers for Disease Control concerning your

Page 58 findings with respect to your work at Healthscreen?

A. I personally have not.

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- O. Do you know if anyone has on behalf of Healthscreen?
 - A. Not that I'm aware of.
- 6 Q. Do you know a Dr. John Evans?
 - A. He is a B-Reader.
 - Q. Is he one of the individuals that was involved with Healthscreen?

A. I would not say he was involved with Healthscreen. I would say he has done B-Readings oh11 some reports that have been sent to me, and so -- I mean, he is a B-Reader that I have read -- I have read 13 some of his materials on some of our patients, but I do not believe that he was directly employed by Healthscreen.

16 Q. Going back to my earlier question, who would 17 you recommend for B-Readers? If I were an attorney 18 coming to Healthscreen and I asked you as the medicall9 director or the owners for recommendations of 20

20 21 B-Readers, who would you recommend?

22 A. There are many good ones that I've read 23 reports from that I believe are good. Dr. Evans, Dr.

Phillip Lucas, Dr. Dominic Gaziano (phonetic). 24

25 There's more. There's lots more. Dr. Richard Levinel 25 A. If they are a NIOSH certified B-Reader, yes.

Q. And if there's a conflict or a difference of opinion, for example, in the three cases or five cases we're going to talk about today in terms of -- let me just pose a hypothetical. For example, let's assume for the sake of argument that Dr. Levine or Dr. Segarra says this individual has a 1/0 with no pleural abnormalities, on the one hand, and a different doctor who is also a NIOSH B-Reader says they are completely normal.

Presented with those circumstances, Doctor, would you agree that you would not be able to reach any type of diagnostic conclusion with that conflict of medical opinion?

A. I would not be able to reach an opinion with regards to the B-Read report. I would still also rely on other information such as the pulmonary function testing, physical exam. But with regards to the B-Read record, I would have to say I can't make a conclusion.

Q. So if we had B-Read reports that are in conflict, we would scratch out the radiological element or variable to this calculus formula of diagnosis?

A. Correct.

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to name a few.

Q. You're not a B-Reader yourself?

A. No, I'm not.

4 Q. In fact, for the evaluations that you do for 5 Healthscreen, if I understand correctly, you never see 6 the x-rays?

A. That is correct.

- Q. All you look at in terms of the radiological 8 9 issue is someone else's B-Reading?
 - A. Their official report, correct.
- 11 Q. So your whole reliance on the finding of 12 x-ray changes is dependent upon what a B-Reader has 12

13 found that has been submitted to Healthscreen or

that's been obtained by the lawyers and submitted to

15 Healthscreen, better stated?

A. That is correct.

17 Q. So you're solely dependent upon the B-Read that's been selected by the plaintiff's attorney for 18 19 the radiological changes; is that right? 20

MS. BOONE: Objection to form.

A. I rely on the B-Read that's provided to me. BY MR. SETTER:

23 Q. But you don't independently review the film, 24 so if I provided you B-Reads done by, for example, defense doctors, would you rely on those as well?

1 Q. All right. And to make that clear, in other 2 words it's a wash, and therefore, Dr. Bass, who has not looked at the x-rays, will say, I can't really 4 make an issue or make a determination of the 5 radiological changes because of this conflict of 6 opinion? 7

A. That's correct.

Q. And you're not a radiologist, so you would defer to some other mechanism to resolve that conflict other than you?

A. With regard to the radiology reports, yes.

Q. And if an individual is normal upon the physical examination and has, in essence, normal pulmonary function testing, under those circumstances then you wouldn't be able to reach any type of opinion other than the fact that the individual maybe had an occupational history with latency?

A. If they had a completely normal pulmonary function test, completely normal physical exam and conflicting radiology reports, yes, I would not be able to make the statement.

MR. SETTER: Let's go off the record and take a break. We've been going for a little over an hour.

16 (Pages 58 to 61)

(Whereupon, Exhibits 4-6 were marked.)

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BY MR. SETTER:

Q. Doctor, off the record we went and had copies made of the various files that you produced, the three files for Larry Drosche, George Doelitsch and Pat Lehmann. And we have now marked those respectively, for Mr. Drosche Number 4. It's a multi-page exhibit for Mr. Drosche. We'll come back through that.

For purposes of identification Deposition Exhibit Number 5 are copies of your file that you produced of George Doelitsch. That's a multi-page exhibit as well. We'll come back about that.

I'm handing you now Deposition Exhibit Number 6, which is the file of materials that you produced today for Pat Lehmann; is that correct?

A. That is correct.

Q. Going back to our discussion concerning 20 Healthscreen I'm curious when was Jack Jamison brought 20

on? Was he already on board when you started? 21 22

A. Yes.

23 Q. What is Mr. Jamison's background and 24 experience, to your knowledge?

A. When Healthscreen hired him he was fresh --

be written down on the PFT read sheets.

Q. Is there, for lack of a better term, a chief pulmonary function technician at Healthscreen?

A. No, not that I'm aware of. I believe -- I don't know, but I don't think so.

Q. Who is Mr. Larry Pickering? Do you know 6 7 him?

A. I believe he is one of the technicians.

Q. Is he not the head technician or you just 10 don't know?

A. I don't know.

Q. Jamison would know that better?

A. Yes, he would.

14 Q. Who works for Jamison? What type of 15 administrative staff does Jamison have? Does he have 16 an office manager, receptionist, typist, that type of 17 thing?

A. Jack Jamison is for all practical purposes the head honcho. He has -- there are receptionists, there are techs. I guess if there were an office man -- he usually had the office manager

22 responsibilities. I guess they've gotten so busy he's 23 delegated a lot to his wife, Sue Jamison. I guess I

would call her the office manager now, although I do 24 25

not know if that's her official title. Then there are

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freshly retired from the Air Force. He had spent twenty years there and he had some sort of administrative position, which I don't know what it

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Q. So he was in some type of military Air Force position as an administrative --7

A. Yes. To the best of my knowledge, the way Jack got hired is Paul Bergstrom, who is retired military himself, went to the Air Force base and said, Who do you have that's a great administrator that's retiring now? That's who I want to run my company.

Q. And what Air Force base did Paul go to?

A. I believe it was Keesler.

14 Q. Okay. And at the time that Healthscreen 15 started, were there pulmonary function technicians 16 already on board, to your knowledge? 17

A. No, Jack Jamison hired all the technicians.

18 Q. I assume by the time you first started doing physical examinations you were doing pulmonary 19 function technicians with somebody? 20

A. Yes.

22 Q. Who was the first technician or group of 22 technicians that were on board with Healthscreen whea3 23 24

24 you started?

A. I don't remember their names, but they would 25

secretaries and there are transcriptionists, but the

transcriptionists work out of their homes. Q. That raises a point that I'm curious about.

With respect to the reports that you do, absent the first month of operations with Healthscreen, I assume that you are doing your dictated reports in a location

7 other than at the screening? 8

A. That is correct.

Q. In other words, they go in and screen and do their testing and a file of materials is somehow transmitted to you for review, and then you dictate your report; is that correct?

A. That is correct.

Q. How do you receive these reports? Are they mailed to you? I shouldn't say reports, these files. Are they sent to you or transmitted to you by somebody at Healthscreen?

A. They are sent to me by overnight mail, Federal Express or UPS.

Q. I just raised -- for example, take a look at Deposition Exhibit Number 4. Maybe this will help out my issues. For Mr. Drosche, for example, he's tested on May 8th, 2001, correct?

A. Correct.

Q. And he had a chest x-ray done January 18th,

at the time of the exam because he was still in

residency.

Q. And there's a form that is part of the --

25 just so I understand, I guess there's a work history.

medical history, physical examination form that is altogether in one document?

A. Yes.

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Q. But the work history portion would be filled out by someone other than a physician when things were very busy?

A. Correct.

Q. And the other people that would fill out that work history form were whom?

A. Essentially receptionists who were trained by Jack Jamison and the Healthscreen people to what questions to ask. It's a form that sort of got check mark boxes to check on, and it just says -- it just asks them, you know, basically who did you work for; from what year to what year; what was your job title; what were your job responsibilities; did you come in contact with these particular materials; how did you

18 contact them; was it with your bare hands; what type 19 of tools did you use when working with these; did you

20 have direct exposure or was it bystander exposure to

21 someone next to you who was working with it, that type 21 22 of stuff.

23 Q. But we don't have that form for these three 24 individuals with us today, do we?

A. Not today, no.

Q. And you just would there be other materials that would be in the files other than this work history, medical history, exam forms?

A. There would be a form called a QA sheet that the techs fill out. It's one page. Basically if there's a problem with the test, it gives an explanation of what was wrong. And I think I have one of them here. I think it was on Mr. Lehmann.

Q. So we're looking at --

A. I think we have one example of one of those sheets.

Q. And Deposition Exhibit Number 5 is Mr. Lehmann's file, correct?

A. 6. It's Exhibit 6, and here is that sheet. This is a QA sheet here.

Q. Why don't you keep it on there. So the last page of deposition Exhibit 6 is a QA sheet. Is there also something called an unacceptable test comments?

A. Occasionally, if there is an unacceptable test. That only appears when there is an unacceptable test result, so not always but sometimes.

Q. But that would also be part of the file you would review in preparing your report?

24 A. Yes.

Q. All right. Can we ask for the QA sheets and

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MR. SETTER: All right. Counsel, do you know if that is going to be produced in this case?

MS. BOONE: That would be something that Healthscreen has. I don't know that that's even something they produced to us. I don't have it. We don't have it in our file.

BY MR. SETTER:

Q. Doctor, could you make a phone call and see 9 10 if we can get that form today?

11 A. We cannot get it today because there's no 12 one in the office today. It's Saturday. 13

Q. How about this, Counsel? Doctor, if the 14 wouldn't mind doing it, the court reporter will give you her card. If you will make that phone call on 16 Monday, and then we'll designate those work history, medical history, and exam form -- it's one form -- as subsequent deposition exhibits to this deposition

18 19 depending on where we end up with the last deposition 19

20 exhibit numbers. And I'll ask somebody to remind to 20 21 do so that we can make the record clear. In other

22 words, if we end with ten exhibits, then they will be

Deposition Exhibits 11, 12 and 13. 23 24

Would you be so kind to do that, Doctor?

A. I'd be happy to.

unacceptable test sheets if they exist for these three 2 individuals, and we will likewise make those

3 deposition exhibits?

A. Yes.

Q. And you'll send those to the court reporter on Monday or Tuesday, as soon as you can possibly do that?

A. Certainly.

Q. Thank you. Would there also be something --I believe in your prior deposition you said that these individuals that the lawyers send to Healthscreen sign some type of form permitting Healthscreen to do x-rays?

A. It permits us to evaluate them and states in there -- it's primarily a disclaimer on Healthscreen's part stating that we do not have a doctor-patient relationship. We are not here to treat your problems. We are not here to do a full history and physical of all your medical problems. All we're doing is an evaluation to see if you have evidence of asbestos-related disease.

Q. Would you do me a favor on Monday or Tuesday and ask Healthscreen for copies of those for these three individuals as well?

A. Yes, I will.

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you do it?

better terms, to go ahead and administer a pulmonary

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function test?

A. No.

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3 Q. Is there any documentation in these files about these individuals being ordered by a physician 5 to perform a pulmonary function test? 6

Q. In your experience with Healthscreen, has

there ever been any type of blanket written order by

you, Dr. Shackleford, or even Dr. Petrini telling the

technicians sort of a standing order, for lack of

A. Not that I am aware of.

Q. When you were in the get-go or the startup, I should say, of Healthscreen, did Sheila -- I'm sorry -- did Marci Petrini, Karen Shackleford, and Mr.

10 Bergstrom already possess pulmonary function

11 equipment?

> A. When I was hired, they had just purchased the equipment. They had already purchased some pulmonary function testing equipment.

Q. Do you know who ordered that equipment?

A. I believe it would have been -- well, Jack Jamison would have written the order, but Marci

17 18 Petrini would've been the one who would have directed

him and told him what type of equipment to order. 19

20 Q. Doctor, do you know whether or not a 21 pulmonary function machine that does lung volumes with 21 22 nitrogen washout and diffusion capacity with carbon

23 monoxide is a regulated medical device?

A. I do not know.

Q. Do you know whether the Federal Drug

a minimum board certified in internal medicine for the

doesn't he just write the report? Why do they need

A. They need me to do it because I was board

understanding the person doing the report had to be a

2 report to be acceptable to the attorneys, or

certified in internal medicine, and to my

3 preferentially someone trained in pulmonary medicine.

But my understanding was as a minimum they had to be 4

5 board certified in internal medicine. 6

Q. Do you know if Dr. McKenzie is licensed in the State of Texas?

A. I do not know.

9 Q. Do you know if these individuals were, in 10 fact, tested in the State of Texas?

A. I do not know where they were tested.

12 Q. We would have to go back to Healthscreen and 13 determine where the test location was?

15 Q. For these three individuals, could you 16 obtain that information from Healthscreen as well?

A. Certainly.

Q. Would you provide that as one of the additional exhibits so we know exactly where they were tested for Mr. Lehmann on May 8th, Mr. Drosche on May 8th, 2001, and for Mr. Doelitsch on May 9th, 2001?

A. Yes, I will.

Q. Thank you. Doctor, do you know if you -let me back up. When you were doing these physical examinations and work history reports, medical history

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Page 78 reports, and the physical examination reports in the first month you worked with Healthscreen, you would obviously meet with these individuals that you were 3 testing for Healthscreen?

- A. Yes, I would.
- 6 Q. Would you at that point in time render any 7 type of opinions about their health and tell them your opinions at that point?
- 9 A. No.

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- 10 Q. Okay. Would these doctors such as Dr. McKenzie, would he evaluate these individuals and as a 11 matter of practice advise them in any way about their 12 13 health?
- 14 A. All of the doctors at Healthscreen who performed history and physical examinations are given very explicit instructions not to provide any health advice whatsoever, not to prescribe any medications 17
- 18 whatsoever. The most powerful thing we allow them to 19 do or say if a patient brings up a question is say,
- 20 That sounds interesting, you should check that out
- 21 with your personal physician; or in the event of an
- 22 emergency, such as chest pain or something, they would 22
- 23 call 911 for them, but they are not to provide any 24 medical care or advice.
- 25 Q. If they found some type of condition that

1 A. With regards to inoculations, no. If we 2 felt as we were examining a patient that they had 3 pneumonia, we would recommend that they go to their 4 doctor or to the closest hospital immediately.

Q. Now these physician who are doing the physical examinations and completing at least the medical history portion of these forms, is it fair to say that most of them were residents that were not board-certified internists or pulmonologists?

A. Most of them, yes.

Q. So they were doing that type of work as some type of part-time work in addition to doing their residency?

A. Correct.

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15 Q. And that's because, as you stated earlier, 16 they were available and flexible with their schedules 17 and they could travel during the week, unlike a 18 full-time internist could?

A. Correct.

20 Q. Are there any pulmonologists that are 21 associated with Healthscreen other than Dr. Magee, who was not a pulmonologist at the time but in his 23 pulmonary fellowship?

A. There were others who were pulmonary fellows.

Page 79

needed almost immediate treatment but not necessarily

2 911 treatment, what would a doctor for Healthscreen 3 do?

A. He would recommend that the patient see his physician immediately about the problem.

- Q. Would you do any type of evaluations of these individuals for smoking cessation?
 - A. No.
- 9 Q. Would you then tell these patients or not tell these patients that they need to quit smoking?
 - A. No.

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- 12 Q. No one would tell a smoker that they should 13 cease smoking then?
- A. We were not in the business of taking care 14 15 of these patients. We are strictly gathering
- 16 information. 17 Q. And working for the lawyers, right?
- 18 A. Yes.
- 19 Q. Would you tell these individuals that they
- needed to be followed up immediately, for example, if 21 you found a case of tuberculosis?
- 22 A. If we felt they had tuberculosis, yes. 23
 - Q. Would you do any type of evaluation for
- 24 pneumonia problems and immediate inoculation concerns 24
 - for pneumonia?

Q. Okay. Were there any actual pulmonologists, board-certified pulmonologists associated with

Healthscreen?

A. Not that I'm aware of.

- Q. Who, other than Dr. Magee, were some of the pulmonary fellows?
 - A. Donna Casell (phonetic).
 - Q. Is she up in Jackson, Mississippi?
- 9 A. Yes, to the best of my knowledge.
 - Q. Who else?
 - A. Dr. William Edmonson.
 - O. Where is he located?
 - A. Also in Jackson, the last I heard.
- 14 Q. Anyone else?
- A. I believe they did hire a couple other 15
- pulmonary fellows, but I do not know their names. 16
- 17 Also I'd like to make one statement. I do believe
- 18 that some of the other doctors doing dictations are 19
- either pulmonary fellows or possibly board-certified 20 pulmonologists out of Birmingham, but I don't know
- 21 their names and I don't know their exact
- 22 qualifications. But Jack Jamison had mentioned to me
- 23 that he had gotten some of them involved.
 - Q. Is that a recent --
- 25 A. Within the past year or two.

1	Page 82		Page 84
1	Q. All right. Now, with respect to Dr.	1	Q. For example, we'll use Kendall McKenzie.
2	Petrini, I understood that she initially trained the	2	You don't know whether or not he's licensed in Texas
3	pulmonary function technicians?	3	or not?
4	A. Yes.	4	A. Correct.
5	Q. Does she do that type of training on an	5	Q. And if we go to other states such as I think
6	ongoing basis with the technicians?	6	well, let's go back. Do you think Healthscreen has
7	A. She does continuing education if she feels	7	done work, for example, in the State of Florida?
8	if she feels test results are not adequate or if	8	A. I'm not sure.
9	she thinks there's a problem with a tech, she will	9	O. How about in the State of New York?
10	have a session with them to try and get them to	10	A. They definitely have in New York.
11	improve their tests. She's also trained Jack so well	11	Q. How about the State of California?
12	over the years that Jack now takes care of a lot of	12	A. Yes, they have.
13	that because he's very comfortable at it.	13	Q. All right. What other states off the top of
14	Q. Do you know if the technicians get graded or	ŀ	your head do you think Healthscreen has done work?
15	reviewed as to the number of unacceptable tests they		A. The majority of the states, more than half.
16	perform?	16	Q. For example, Ohio?
17	A. I believe they are, but I can't tell you	17	A. I think so.
18	with absolute certainty how it is done.	18	Q. West Virginia?
19	Q. Have you ever been brought in to a review of		A. I believe so.
20	a technician?	20	Q. Michigan?
21	A. No.	21	A. Not sure.
22	Q. Have you ever written up a technician in	22	Q. Illinois?
23	terms of how they have performed tests?	23	A. Not sure. I know they did Hawaii, Arizona,
24	A. No.	24	New Mexico, Arkansas.
25		25	Q. Colorado?
123	Q. Do you know who is it in the Healthscreen	23	Q. Colorado:
	Page 83		Page 85
1	Page 83 organization that receives the unacceptable test	1	Page 85 A. Yes.
1 2	organization that receives the unacceptable test	1 2	
			A. Yes.
2	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier?	2	A. Yes. Q. Nevada?
2 3 4	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test	2 3	A. Yes. Q. Nevada? A. I think so.
2 3	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy	2 3 4	A. Yes.Q. Nevada?A. I think so.Q. Washington and Oregon?
2 3 4 5	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a	2 3 4 5	A. Yes.Q. Nevada?A. I think so.Q. Washington and Oregon?A. Yes.
2 3 4 5 6 7	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a copy of it.	2 3 4 5 6	 A. Yes. Q. Nevada? A. I think so. Q. Washington and Oregon? A. Yes. Q. Didn't they do some work up there for Nix
2 3 4 5 6	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a copy of it. Q. Doctor, when you were doing these physical	2 3 4 5 6 7	 A. Yes. Q. Nevada? A. I think so. Q. Washington and Oregon? A. Yes. Q. Didn't they do some work up there for Nix Patterson?
2 3 4 5 6 7 8	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a copy of it. Q. Doctor, when you were doing these physical exams early on for Healthscreen, do you know what	2 3 4 5 6 7 8	 A. Yes. Q. Nevada? A. I think so. Q. Washington and Oregon? A. Yes. Q. Didn't they do some work up there for Nix Patterson? A. I don't know who they did the work for.
2 3 4 5 6 7 8 9	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a copy of it. Q. Doctor, when you were doing these physical exams early on for Healthscreen, do you know what states you did those in?	2 3 4 5 6 7 8 9	 A. Yes. Q. Nevada? A. I think so. Q. Washington and Oregon? A. Yes. Q. Didn't they do some work up there for Nix Patterson? A. I don't know who they did the work for. Q. Maine?
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2 3 4 5 6 7 8 9 10	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a copy of it. Q. Doctor, when you were doing these physical exams early on for Healthscreen, do you know what states you did those in? A. Louisiana and Mississippi. Q. Since then, from the reports you have	2 3 4 5 6 7 8 9 10 11	 A. Yes. Q. Nevada? A. I think so. Q. Washington and Oregon? A. Yes. Q. Didn't they do some work up there for Nix Patterson? A. I don't know who they did the work for. Q. Maine? A. Yes, I believe they were in Maine. Q. Kentucky?
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a copy of it. Q. Doctor, when you were doing these physical exams early on for Healthscreen, do you know what states you did those in? A. Louisiana and Mississippi. Q. Since then, from the reports you have written, do you know where the individuals have been tested for Healthscreen, what other states? A. All over the United States, almost all states. Q. And do you know if the physicians who are writing the reports for Healthscreen other than you	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18	A. Yes. Q. Nevada? A. I think so. Q. Washington and Oregon? A. Yes. Q. Didn't they do some work up there for Nix Patterson? A. I don't know who they did the work for. Q. Maine? A. Yes, I believe they were in Maine. Q. Kentucky? A. I'm not sure. Q. Missouri? A. I think so. Q. How about outside the United States other than Hawaii? A. I am not aware of any countries outside the United States.
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a copy of it. Q. Doctor, when you were doing these physical exams early on for Healthscreen, do you know what states you did those in? A. Louisiana and Mississippi. Q. Since then, from the reports you have written, do you know where the individuals have been tested for Healthscreen, what other states? A. All over the United States, almost all states. Q. And do you know if the physicians who are writing the reports for Healthscreen other than you are licensed in all those other states? A. I do not know.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	A. Yes. Q. Nevada? A. I think so. Q. Washington and Oregon? A. Yes. Q. Didn't they do some work up there for Nix Patterson? A. I don't know who they did the work for. Q. Maine? A. Yes, I believe they were in Maine. Q. Kentucky? A. I'm not sure. Q. Missouri? A. I think so. Q. How about outside the United States other than Hawaii? A. I am not aware of any countries outside the United States. Q. And who would know where Healthscreen has tested the most? Who would be the most knowledgeable
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a copy of it. Q. Doctor, when you were doing these physical exams early on for Healthscreen, do you know what states you did those in? A. Louisiana and Mississippi. Q. Since then, from the reports you have written, do you know where the individuals have been tested for Healthscreen, what other states? A. All over the United States, almost all states. Q. And do you know if the physicians who are writing the reports for Healthscreen other than you are licensed in all those other states? A. I do not know. Q. Do you know if the residents who are doing	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	A. Yes. Q. Nevada? A. I think so. Q. Washington and Oregon? A. Yes. Q. Didn't they do some work up there for Nix Patterson? A. I don't know who they did the work for. Q. Maine? A. Yes, I believe they were in Maine. Q. Kentucky? A. I'm not sure. Q. Missouri? A. I think so. Q. How about outside the United States other than Hawaii? A. I am not aware of any countries outside the United States. Q. And who would know where Healthscreen has tested the most? Who would be the most knowledgeable about that?
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	organization that receives the unacceptable test comments or unacceptable test sheets that we were talking about earlier? A. Well, if there is an unacceptable test sheet, it comes to me as I do it and they keep a copy of it at Healthscreen, and Marci Petrini will get a copy of it. Q. Doctor, when you were doing these physical exams early on for Healthscreen, do you know what states you did those in? A. Louisiana and Mississippi. Q. Since then, from the reports you have written, do you know where the individuals have been tested for Healthscreen, what other states? A. All over the United States, almost all states. Q. And do you know if the physicians who are writing the reports for Healthscreen other than you are licensed in all those other states? A. I do not know. Q. Do you know if the residents who are doing the physical examination are licensed in the state in	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	A. Yes. Q. Nevada? A. I think so. Q. Washington and Oregon? A. Yes. Q. Didn't they do some work up there for Nix Patterson? A. I don't know who they did the work for. Q. Maine? A. Yes, I believe they were in Maine. Q. Kentucky? A. I'm not sure. Q. Missouri? A. I think so. Q. How about outside the United States other than Hawaii? A. I am not aware of any countries outside the United States. Q. And who would know where Healthscreen has tested the most? Who would be the most knowledgeable about that? A. Jack Jamison or Sue Jamison.

A. I believe it has space for the pulmonary function equipment. I don't know if they keep the equipment in there. They usually travel in big vans and have the pulmonary function equipment in the back of that, but they might keep it in the x-ray thing. I'm not sure. Q. Here's where I'm going. Is that trailer

mainly for x-ray as opposed to also doing pulmonary function tests in the trailer?

A. It is mainly for x-ray.

Q. All right. So the pulmonary function tests 12 are done someplace else?

A. No, they're done in the same location.

14 Q. But not in the trailer?

A. Not in the trailer.

Q. I just want to make sure I'm clear. Let's 17 go before the year 2000. We don't have a trailer.

18 Isn't it true that Healthscreen did most of its

19 testing of these individuals before the year 2000 in

20 motel rooms?

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guess.

A. Yes.

A. Yes.

home or a trailer?

A. Correct.

x-ray equipment?

25 function testing equipment?

A. Yes.

that at the get-go or the beginning?

Q. Roughly what year?

A. I think it was about 2000.

A. It was not at the beginning.

location?

A. Frequently.

22 Q. And as a doctor, the doctor would examine

23 them in the very room the doctor was staying in for

24 the night?

A. Sometimes.

MS. BOONE: Objection to form.

A. I'm not sure. I believe on occasions there were paralegals there.

Q. Do you recall the names of any paralegals that would be there for Healthscreen or for the lawyers, I should say, that were traveling with Healthscreen?

A. I do not recall their names.

Q. Do you know any of the attorneys that

22 Healthscreen did work for other than Nix Patterson?

A. The names of the corporations?

24 O. The law firms.

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25 A. Some of them. One was Lundy and Davis. One

23 (Pages 86 to 89)

Page 95

Page 94 particularly these plaintiffs who have filed suit? 1 2 A. No. 3 Q. Have you read any depositions of any other physicians involved in the asbestos litigation? 5 A. No. 6 O. Or technicians? 7 A. No. 8 Q. To get ready for this deposition, did you meet with anybody? 10 A. I spoke to Ms. Boone yesterday. 11 Q. And did you -- well, was that over the telephone? 12 13 A. Yes. 14 Q. How long was that conversation? 15 A. About fifteen minutes. Q. And how were you notified that this 16 17 deposition was going to occur? 18 A. The people at Healthscreen notified me that 18 19 their law firm wanted me for a deposition. 20 Q. Who particularly notified you from 21 Healthscreen? 22 A. Jack Jamison. 23 Q. How long ago was that? 24 A. I guess about a month ago.

Q. Did you have any conversations with Mr.

Jamison about the substance of the deposition?

A. That's all, that they wanted me for a

10 summaries on the patients that I was going to be

Q. Or was it just that there was going to be a

Q. Did you ask Mr. Jamison for copies of any

Q. Did you ask Mr. Jamison to send you in

addition to your summaries the pulmonary function

Q. What else did you ask him to provide?

Q. So you are the one that made the

Q. But you didn't ask him to provide the other

A. Actually, the stuff that was brought with me

A. Yes. I asked them to send me the copy of my

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14 tests?

deposition?

deposition.

deposed about.

A. Yes.

A. The B-Reads.

19 materials I asked you about?

25 today was sent by the Nix law firm.

A. Correct.

23 to Mr. Jamison?

records?

24 25 1 4 5 6 7 9 10 11 12 15 16 17 18 A. Fiber for cc years? 19 Q. Fiber per cc year. 20 A. I've heard of it, yeah. Q. What's a fiber per cc year? A. A fiber per -- it would be how many fibers per how many cc's of lung tissue per how many years of exposure. Q. And are you aware of whether there is a

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- threshold for asbestosis of twenty-five fiber per cc
- 2 years at which you would have a risk of two or greater from an epidemiological standpoint?
 - A. I was not familiar with that number.
 - Q. Have you ever reviewed anything by the Environment Protection Agency about that number?
 - A. Not from the EPA, no.
 - Q. Does it sound reasonable to you, based upon your training and experience, that one would generally 9 not be expected to have a sufficient reliable history of exposure unless he had an exposure of at least twenty-five fiber per cc years?
 - A. I really can't say.
- 14 Q. Are you aware of whether the Royal -- the 15 commission for -- the Royal Ontario Commission for Canada has also adopted the twenty-five fiber per cc 16 17 year standard as the dose threshold for asbestosis?
- 18 A. I was not aware of that.
- 19 Q. Are you aware whether that's been also adopted by the World Health Organization at the 20
- 21 Helsinki Conference in 1997?
- 22 A. I was not.

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- 23 O. Do you know who Dr. Irving Selikoff is?
- 24 A. I've heard the name.
- 25 Q. What is his role in terms of your

Q. How many more, order of magnitude?

- A. A few hundred.
- O. You've seen a few hundred outside of Healthscreen with asbestos-related conditions?
- A. In Pascagoula because Pascagoula has the Ingalls Shipyard, which is the second largest shipyard in the United States. They have all sorts of asbestos-exposed workers and we are, at Singing River Hospital, the primary care provider for patients from Singing River -- from the shipyards. So since I've moved down there, I have seen all sorts of people in the emergency department who have been diagnosed with asbestosis. I am not the person who diagnosed them
- O. They were previously diagnosed by some other entity or physician?
 - A. Right.
- Q. Over at Singing River Hospital, who is the 18 19 head of pulmonology?
 - A. That would be Dr. Timothy Hebert.
- 21 Q. And who is the head of the pulmonary 22 function laboratory at Singing River Hospital?
 - A. I don't know.
 - Q. At Singing River Hospital do they perform pulmonary function tests?

Page 99

familiarity with him?

- A. I've just seen him name on papers, but I couldn't tell you exactly where or what his position is.
- Q. Do you know whether Dr. Selikoff is involved with asbestos issues?
- A. I don't know. I just remember seeing the name somewhere.
- 9 Q. Do you know or have you ever heard of a Dr. Samuel Hammer? 10
 - A. Samuel Hammer?
- 12 Q. Yes.
- 13 A. No.
- 14 Q. With respect to your background and 15 training, if I understood correctly, you have seen personally a handful of individuals with 17 asbestos-related conditions outside of Healthscreen?
 - A. Yes.
- 19 Q. And that would be out of literally thousands 20 of individuals that you have treated, outside of 21 Healthscreen you've only seen a handful that had an 22 asbestos-related condition?
- 23 A. That was before I moved down to the 24 Mississippi Gulf Coast. I've seen quite a few more 25 since I've been living here.

Page 101

- A. I believe they do.
- Q. As an emergency room doctor, do you ever have pulmonary function?
- A. I never order pulmonary function tests from the emergency department. It's not considered an emergency test.
 - O. So it's an outpatient issue or something --
- A. Right. It'd be something the pulmonologist or general internist would order. It's just not an emergency issue.
- Q. Do you know what predicted values they use at Singing River Hospital for the pulmonary function tests?
 - A. No, I do not.
- Q. Going back to the issue of a reliable history of occupational exposure to asbestos, would you agree that an individual would need to have an exposure more significant than just holding an asbestos product in his hand for one day?
 - A. Yes.
- 21 O. And would you agree he would need to have an 22 exposure on a daily basis for at least some number of 23 years?
 - A. Usually. I have read reports of some people who have very intense exposures for just a few months

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that many years later developed evidence of disease. But the overwhelming majority of people with asbestos-related disease have been exposed for much greater times.

Q. Would you agree with me that the general rule in your mind would be an individual would have to work day in, day out, eight hours a day with an asbestos-containing product for at least a period of some years to have a significant occupational exposure?

A. Usually, yes.

12 Q. All right. And the only exception would be 13 individuals who worked for periods of month with great 14 excessive doses of exposure?

A. Yes.

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16 Q. What type of individuals would that be, the 17 latter category; what type of trades?

A. Perhaps boilermakers, pipe fitters, people who work in enclosed spaces with large amounts.

19 Q. For individuals who are production workers 20 21 in product factories, such as aluminum plants, would 22 you expect them to have a significant occupational 23 exposure to asbestos by being merely a production 24 worker?

MS. BOONE: Objection to form.

production workers who made paper products?

A. Yes.

Q. So basically anybody that's in any type of industrial facility doing production work would have a sufficient occupational exposure in your view as long as at some point there is some asbestos dust being generated by someone?

A. If they were working -- if they were working in an area where there's a large amount of asbestos materials, people working on them and there's a large amount of asbestos fibers in the air, it would be possible for them to develop signs of disease.

Q. It would be possible, but would it be probable?

A. I would say possible.

Q. You would agree with me it's not very probable, though?

A. I can't give you exact numbers. I'd say probably less than fifty percent.

Q. All right. Because you do need some frequent exposure, correct?

A. Yes.

O. You need a sufficient dose?

24 A. Yes.

Q. And it needs to be of a long enough

Page 103

A. For what period of time?

BY MR. SETTER:

Q. For their career.

A. If they were doing it for long periods of time, yes.

Q. Let's take aluminum workers making aluminum 6 product not doing insulation work. They're not doing 7 anything like that, but they are production workers making aluminum products. Do you believe that's a 10 sufficient occupational history, Doctor?

A. If there is a large amount of asbestos dust 12 in the air, if there's a lot of -- if they're working 13 in close proximity to other pipe fitters, places where 14 they're in an enclosed environment where there may 15 a lot of ambient exposure in the air, I would say it 16 would be possible.

17 17 Q. How about if an individual worked in a 18 18 production facility that made tires. Making tires 19 would be the individual's job. Would that be the same 19 20 answer? 20

21 21 A. If there was a large amount of 22 asbestos-insulated steampipes and people working on 22 23 the pipes, if it was a very dusty environment and they 23 24 were there a long time, it would be possible. 25

Q. And would that be the same for any

duration?

A. Correct. And an adequate latency period.

Q. And an adequate latency period. Back on the issue of ruling out other causes, we talked about the bronchodilators and how you don't believe that's necessary to rule out the obstructive component. I was just unclear about that.

Do you consider obstruction as part of your evaluation for asbestos-related conditions or not?

A. Yes, but obstruction can be reversible or irreversible, so the fact that it's reversible doesn't mean that there's obstruction or not. It's there, but the question of is the obstruction reversible, it's strictly a matter of therapeutics. It's a matter of how am I going to treat this patient to make him feel better. It's not a matter of evaluating whether obstructive disease is present or not.

Q. Okay. But if we found that the individual did have reversible airways disease and it resolved the problems you see on the pulmonary function test in terms of the obstructive component or the mixed obstructive-restrictive component, wouldn't that be of some interest to you?

A. It would be of interest to me if it improves their airflow, but if their lung volumes are low, they

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22 Q. Do you know whether that would do that? You22 23 could use an exercise test to rule out restriction? 24 A. I'm not familiar with using it to rule out 25 restriction.

them to have an asbestos-related condition; isn't that 23 right? 24 A. About two percent of sixty-five hundred 25 would be about what; about a hundred and thirty.

the exception of about a hundred, you found all of

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1 2

in or rule out restriction, correct? A. Correct. Q. You're not familiar with exercise testing to rule out or rule in obstruction?

Q. You're not familiar with it at all to rule

A. To rule in or rule out -- they use exercise testing to evaluate their function and to see if it

changes with exercise, and that can sometimes give you an idea that they have -- you know, if it gets better or worse with their obstruction. I don't know if they

11 use that to rule out obstruction. 12 Q. All right. Fair enough. At Healthscreen, 13 in any event, we don't do exercise testing? You don't

14 see Healthscreen doing that; they've never done it? A. Correct. 15

Q. Did you ever recommend that they do it?

A. No. 17

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A. I do not, no.

18 Q. Why?

19 A. Because my job is to make -- is just to

20 determine based on the information I'm given if there

21 is evidence of restrictive or obstructive, or both or 22 neither.

23 Q. Doctor, really, isn't your job just

24 basically to come in there for the six thousand five

hundred individuals you've seen to confirm the B-Read

Q. So the answer to my question is, that is correct?

A. Between a hundred and a hundred fifty, yeah.

Q. So out of the sixty-six hundred individuals you've seen, you've seen only about a hundred and fifty, is that right, that didn't have an asbestos-related condition?

A. Yes. But I would like to add that these people have been prescreened before they were referred to Healthscreen, so the normals are for the most part

11 taken out of the pool, and the people sent to 12 Healthscreen are only people that the attorneys

already feel like they have adequate evidence that

there is evidence of asbestos already present. So 15 basically, the people that I find that do not have

evidence of asbestos are mistakes because they only 16 17 really send us people that they're pretty confident

already have the disease. 18 19

Q. So basically, you're there to confirm what the lawyers have determined with their B-Readers as somebody having an asbestos-related condition?

22 MS. BOONE: Objection to form.

23 BY MR. SETTER:

Q. Is that right?

A. I'd say that's --

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- 1 O. A fair characterization?
- 2 A. Yeah, it's fair.
- 3 Q. As we stated earlier, if we had conflicting
- B-Reading reports for those sixty-five, sixty-six 4
- 5 hundred individuals, you wouldn't be able to rely on the radiographic component as part of your diagnostic 6 analysis, correct? 7
- 8 A. That is correct.
- 9 O. Have you ever asked Healthscreen to ask the lawyers -- the clients of Healthscreen are the
- 11 lawyers, right? 12 A. Yes.
- 13 Q. Have you ever asked Healthscreen, Maybe, guys, we ought to ask those plaintiffs lawyers for the 14 B-Reading reports by the defendants? Have you ever 15 15 thought about doing that? 16
- A. I have asked Healthscreen that we be 17 18 provided with all B-Read reports available.
- 19 Q. Have you been provided by Healthscreen defense B-Reading reports? 20
- A. I don't know. 21
- 22 Q. You've only got the B-Reading reports that 23 you think the lawyers have provided, correct?
- 24 A. Correct.
- 25 Q. The lawyers who are the clients of

Q. With respect to the work that you do for Healthscreen, do you in fact consider it a diagnosis of an asbestos-related condition?

A. Yes.

Q. With respect to Deposition Exhibit Number 4. as an example, for Larry Drosche, Mr. or I should say Dr. McKenzie, Kendall McKenzie -- and I think he did all three reports, Doctor. He also signed off on the report, correct?

A. Correct.

O. Do you know why he has to sign off on that report?

A. Why, because he was the one who did the report, so he's signing it. It's sort of a legal document, so he's signing, this is what I found.

- Q. But the reason you have to sign the report is you are the board-certified internist and he's not?
- A. Correct.

Q. At least at this time.

A. My understanding is he's signing saying his physical exam is what he did to the best of his ability, and I'm signing because I did the summary and I'm signing that's what I did to the best of my ability.

Q. With respect to these reports, and let's

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Healthscreen?

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- A. Correct.
- Q. Would you agree with me that before you see anyone for Healthscreen, whether it's you or someone else that's working for Healthscreen in terms of physician, that that individual would first be signed up with a lawyer and represented by a lawyer?
 - A. Yes, for the most part.
 - Q. Well --
- A. I don't know if there may be -- there may be 10 11 exceptions, but to the best of my knowledge, that's 12 how it works.
- 13 Q. As you sit here today, you don't know of any 14 exceptions to that rule?
- 15 A. I believe there were some times where Healthscreen was present at actual screenings and I 16 17 don't know if those patients were signed up with the attorneys before or on that day. 18
 - Q. So the only difference would be whether they had a preexisting relationship with a lawyer as opposed to a contemporaneous relationship?
- 22 A. Correct.
- 23 O. In other words, they were getting signed up 24 as they were getting tested?
 - A. Possibly.

just stay with Deposition Exhibit Number 4, would you agree with me that some of the last parts such as the

assessment, prognosis and the last sentence, at least in what I've seen seem to be, for lack of better terms, boilerplate language?

A. You mean template.

Q. Okay, template. Is that fair?

A. Yes, with just minimal changes depending on the individual.

Q. Does somebody actually draft these reports for you, Doctor, and then you review and sign them?

A. No, I drafted them myself.

Q. Do you have a signature stamp, Doctor --

14 A. No.

Q. -- that you use with Healthscreen?

A. No, I sign them all by myself by hand.

O. As part of the template, would the history be part of the template as well that someone else puts together at least in draft for you for the occupational history and the social history, surgical history, medications, that type of thing?

A. I actually developed the template myself for 22 23 the history and physical form and it's got check marks 24 on -- you know, it's an open spot for where did you 25 work, what were your responsibilities. That's open.

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- But with regard to what type of materials were you 2 exposed to, it's a bunch of check marks, you know, how 3 were you exposed, was it ambient exposure directly by hand or bystander exposure, those are check marks. 4
 - O. Let me make this easier so I understand, Doctor. Let's just assume that for the sake of argument we have the complete file for Larry Drosche before us today but we don't have your report. Okay?

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- Q. Give me an example of what you would say into the dictaphone for Mr. Drosche to the transcriptionist? What would you tell the transcriptionist to do?
- 13 A. I would be reading off the history and 14 15 physical form. At the top, it would say -- first, I would look in the back on the pulmonary function test. 17 It says how old they were. I would say, "This is an X-year-old man or woman who worked," and I look at the 18 18 19 top of the history and physical, "for this company 20 from these years, and this was their job title and 21 this was their job responsibilities." Then I would 22 look at the columns where boxes are checked to say, 23 "He came in contact with these types of asbestos 24 materials." And then I would say, "He manipulated

these materials with these tools." And I would say,

1 B-Reading and read it essentially word for word, and then we'll have the pulmonary function tests. I will 3 read the numbers on the summary sheet and I will check 4 the back of the test to make sure they look reliable, 5 and then I will give my own personal interpretation of 6 the pulmonary function tests. And then I give the 7 assessment and prognosis. 8

- Q. The assessment and program noises are pretty boilerplate templates?
- A. Basically templates, and I say use the standard assessment except make this change or that change, and the standard prognosis with this change or that change.
- Q. Thank you. With respect to these residents such as Kendall --
 - A. I'm sorry. Can I interrupt one more time?
 - Q. Sure.
- A. Occasionally someone doesn't fit into the standard assessment or prognosis and I'll say, "Forget the template," and I'll just dictate it word for word.
- Q. Thank you for doing that. I appreciate it and that will help us when we go through these to expedite the process.

Back with Dr. Kendall McKenzie, do you know how much the residents were paid by Healthscreen to do

"His exposure was, you know, ambient or bystander or 1 direct."

And then below that, it will say he smoked and there will be a box, X amount of cigarettes for X many years; and whether or not he's still smoking or quit; and if he quit, when he quit. Then I'll say, "Past medical history," and that's just an open box for them to fill in all their medical problems. And then there's a box for what medications they're taking. There's a box for what surgeries they've had, and then there's a review of systems and there's check 11 boxes on that. Do you have shortness of breath or cough, or do you get short of breath on exertion; if so, how far can you walk before you have to stop. Do 14 you have swelling in your legs or chest pain, or various things like that. Do you have any rashes.

Then after that is the physical exam. The physical exam, there are also check boxes for the doctors to say, you know, do they have any abnormal 19 jugular venous distention; what do their heart sounds 20 sound like, what do their lung sounds sound like; are 21 there any abnormalities on the abdominal exam. What22 do their extremities look like; what are pulses like; do they have clubbing; do they have rashes.

Then after that, I basically take the

these physical exams and medical histories?

- A. Back at the time when I was medical director, they were paid a thousand dollars a day regardless of how many patients they saw.
- Q. And roughly how many patients would they see in a day? Do you know?
- A. Anywhere from twenty to forty, probably average about twenty-five.
- Q. Did you get any type of percentages from those screening doctors who did the exams?
 - A. No.
- Q. In other words, did you get part of that thousand dollars per day or anything like that?
 - A. Not one penny of it.
- Q. Back on the template, on the history information, would you make some type of notations about the frequency of exposure as well?
- A. Basically it would say, Did you have heavy, light, moderate exposure, frequent or -- well, actually, no. It was just like heavy, moderate, or light exposure.
- Q. But we wouldn't get into the frequency? In other words, did this happen once a week or once a month, those type of frequency questions?
 - A. It's not explicit in the history and

25 Q. Yes. Do you know what a time-weighted Page 119 average is for occupational exposure? 1 2 A. I would assume time-weighted average is the 3 average amount of exposure you have over a certain 4 period of time, yes. 5 Q. Do you know what the permissible exposure 6 limit is for asbestos these days? 7 8 Q. Do you know whether that's on a 9 time-weighted basis or not? 10 A. I do not know. Do you mind if I take a 11 quick break? 12 MR. SETTER: Sure. We're off the record. 13 (Off the record.) 14 15 16 BY MR. SETTER: 17 Q. Doctor, on these pulmonary function tests that we have for Deposition Exhibits 4, 5 and 6, Mr. 19 Lehmann, Drosche, and Doelitsch, there are notations, 19

are there not, Doctor, on these tests of something

A. An E code is a code telling us whether or

not these tests are reliable, whether they, you know,

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21 called an E code?

A. Yes.

O. What is an E code?

physical. They would usually add that.

A. That is correct.

even know themselves.

this instance?

Doctor?

specific exposures; is that correct?

Q. Would we get into the duration of the

would in fact be exposed to that circumstance?

exposure in terms of how long of a time period they

A. It would have the work dates at that place.

doesn't necessarily mean you've been exposed, right?

Q. But just because you worked at a place

Q. So we didn't really get the duration of

A. That is correct, not specifically. A lot of

these patients can't tell you that because they don't

Q. With respect to the work history forms,

wouldn't it be important to have those available to

us, which I know you're going to ask for, to make a

determination as to how specific Mr. Drosche was ir

A. I think it would be a valuable addition.

Q. All right. With respect to occupational

exposure histories, do you assume a time-weighted

average of exposures? Do you know what that is,

A. The time-weighted exposure?

1 2 3 4 5 9 10 Q. Do you know if the American Thoracic Society 11 has issued a consensus statement about asbestosis? 12 A. Yes, they have. 13 Q. Do you know what year that was? 14 A. I believe it was 1988 or '86. It was in the 15 eighties. 16 Q. And have you read that statement? 17 A. Yes, I have. 18 Q. Do you agree with it? A. I mean, it's a guideline. It's a consensus statement, a guideline issued to people who are 21 evaluating people for asbestos-related disease, and I 22 follow the guidelines in general. 23 Q. Do you know whether the ATS 1986 criteria 24 requires a 1/1 as opposed to 1/0 for diagnostic purposes?

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A. They recommend a 1/1. They do not issue 2 strict guidelines stating that if it's not a 1/1 it 3 does not meet it. They state there are many criteria 4 for diagnosing whether or not asbestosis is present 5 and they have also said explicitly in their statement 6 that it is possible to have asbestosis despite a 7 completely normal chest x-ray. They also said it's 8 possible to have it with a normal chest x-ray and 9 normal pulmonary function testing, although if those are normal, it's very, very difficult for a doctor to 10 11 back up his opinion, so they warn that you should be 12 very careful when making these diagnoses without 13 adequate criteria. But they do not issue strict standards stating that if you don't have a 1/1, you 15 cannot have asbestosis.

O. Thanks. If I understood part of your answer correctly, you're saying technically under the ATS you could have only an occupational history and latency and that could be sufficient to make a diagnosis?

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19 20 A. I wouldn't say it would be sufficient to 21 make a diagnosis. They said it's possible that 22 asbestosis could be present. But if those tests are 23 normal, about the only way to determine would be to do 23 24 an open lung biopsy, which is not recommended because 24 it's potentially dangerous to the patient and it

based solely upon an x-ray of asbestos-related 2 conditions?

A. I disagree. If you have a patient with a history of exposure that's adequate, a documented latency period, a positive chest x-ray, but has a normal physical exam and normal pulmonary function tests, it is still possible to make that diagnosis and it says so in the ATS consensus statement because frequently the chest x-ray will be the first thing to turn abnormal. Frequently or usually the pulmonary function tests will then turn abnormal after the chest x-ray, and frequently you don't see the physical findings until late in the disease.

Q. I understand. But here was my question. Let me try it again. If you only have the x-ray, that's the only information you have, okay?

A. So you don't have a history and physical, and you don't have --

Q. You don't have anything else other than the fact that you're presented with an x-ray. The best you can say is you have lung markings that are consistent with; isn't that right, Doctor?

A. That is correct.

Q. Because that "consistent with" could be also consistent with many other factors?

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specifically says they don't recommend doing lung biopsies strictly for financial reasons.

Q. Here's where I'm going, Doctor. Specifically with what you have done with Healthscreen, if we now say, for lack of better terms, there's a conflict of opinion about the ILO so, therefore, you can consider it, and if we find individuals with basically normal pulmonary function so that's not a factor, then we're left with either findings on a physical exam, latency, or occupational exposure; is that right?

A. Would you say that again, please.

Q. Well, I guess basically what I'm saying is, 14 if there's no pulmonary impairment and the x-rays are not in the picture because there's a conflict, then we're left with what is found on the examination, the history of exposure, and the latency?

A. That would be correct.

19 Q. Would you agree with me that you could never 20 make a diagnosis of asbestosis solely upon the x-rays?

21 A. I don't like to say "never" at any time with 22 regards to medicine.

23 Q. All right. Let me back up. That's a fair 23 24 comment. Would you say it's more likely than not that 24 you shouldn't medically make a diagnosis in most cases 25 A. That is correct.

Q. In fact, I notice in many of your reports you'll have a tendency to say that the asbestos-related condition is consistent with asbestosis based upon the abnormalities on the chest x-ray; is that right?

A. Correct. Well, I read the chest x-rays basically almost verbatim from the B-Read. If the B-Readers say that, then I just translate it directly.

Q. Okay.

A. And then in my own assessment, I go down lower and state.

Q. Going back to Mr. Drosche, for example, on your assessment, you say he has a history, he has a latency, and he has parenchymal abnormalities on x-ray which are consistent with asbestosis?

A. Yes.

Q. And then you talk about diffusion capacity as well that correlates the interstitial radiographic abnormalities?

A. Correct.

Q. And specifically, you don't come in there and say on a diagnostic basis he has asbestosis; you say, at best it's consistent with?

A. I did not say this patient definitely has

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- asbestosis. I said these findings are consistent with asbestosis.
- Q. Thank you. With respect to those error codes, would you want to see any of the trials that are performed that had error codes? I mean, in other words -- let me perfect that question. Would you like to see all the trials performed with all of the error codes?
- A. To tell you the truth, I'd prefer to see the tests that don't have the error codes because those 10 11 are the ones that are considered to be more reliable. If a test isn't reliable due to error codes, then I 12 13 don't really even care to bother looking at it because 14 I don't consider it to be a reliable test.
 - O. Do you know if Healthscreen provides you only the trials that have no errors on the error codes as opposed to all the trials that are performed for the individual?
- A. To the best of my knowledge, they provide me 19 19 with all the tests they've done. But if there are 20 tests with error codes, they usually disable those so 21 they are not factored into the summary. 22
- Q. And specifically, Doctor, what I'm asking is 24 whether you get -- whether you see the trials that are performed on pulmonary function tests that have

A. Yes.

- O. And he was five foot six?
- A. I have six foot four.
- O. Six foot four. I'm sorry, you're right. I 4 read that wrong. Now, on his original test, and I don't know what you've done on Deposition Exhibit 6 Number 6?
 - A. If I can add, if you look at the copies that I brought.
 - Q. Deposition Exhibit Number 6 you're referring to?
 - A. Yes.
 - O. Copies being the PFT sheet?
 - A. If you're looking at the summary sheet of the PFTs, you'll see that I have hand crossed out the numbers under the lung volumes.
 - Q. I see that.
 - A. And written in new numbers. I did that yesterday. This is embarrassing to me as well as to Healthscreen. There was a problem with that test that somehow got by me when I initially dictated it, and I didn't pick it up until I was reviewing this after I had already been notified I was going to be deposed on this person.

I picked that up a couple of days ago and I

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- A. I frequently do. And sometimes patients will do numerous tests and they can never get a test without an error code, and then they just send me the tests that have the error codes. Well, I get the tests with error codes even when they have good tests So, yeah, they frequently send me the ones with and without.
- Q. Would you want Healthscreen to send you all 10 the trials that have been performed or is it okay with you if they delete trials that are being performed on 11 these individuals? 12
- A. It is okay with me if they delete trials 14 that are considered to be -- that have error codes. But even when they're deleted, they would usually be 15 15 on the printout, and then they're just deleted with 16 respect to the summary sheet. But it will usually be 18 on the printout.
- Q. Let's go to Deposition Exhibit Number 6, 19 which is Mr. Lehmann. Mr. Lehmann is a fifty-sever-20 20 year-old individual, correct? 21
- 22 A. He was at the time he was tested on May 8th, 22 23 2001.
- 24 Q. That's right. And he was two hundred thirty-four pounds at that time, wasn't he?

- immediately called Dr. Petrini and had her pull up a copy, and we went over it together and we agreed that there was a problem there. And I'm sort of stunned because this is so unbelievably rare that it could get by all of our different quality assurance measures because we have very strict quality assurance and it's very rare for something like this to happen.
- Q. So you met with Dr. Petrini about this particular test?
- A. Over the a phone a couple of days ago after I reviewed this and said, Uh-oh, there's a problem here.
- Q. Let's pick up and identify what that problem is.
- A. The problem was on the lung volumes. If you go to lung volume page -- it doesn't have a page number. I'll show you the one that has the error codes on it. There are two tests.
- O. Let me describe that for the record. For the record, it would be on Deposition Exhibit Number 6. It would be the third page of the pulmonary function test.
 - A. After the summary sheet.
- Q. I'm sorry. It would be the fourth -- fifth page, and the page you're referring to starts with a

value of TLC and at the bottom has LVol date?

2 A. Yes.

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Q. Is that the page we're referring to?

A. That is the page.

5 Q. All right. So if we look at that page, what 6 do we get? 7

A. We get two different lung volume readings that are -- have a pretty large variation between the two sets of readings with regard to the lung volumes Test one says 6.86; test two says 5.23.

Q. Okay.

A. And they want to pick the best test for the 13 lung volume.

Q. Right.

15 A. And the tech -- there were no error codes 16

listed. And the tech apparently -- after I consulted 17 with Dr. Petrini, it appears the tech disabled the

- 18 wrong test and disabled the better test accidentally, 19
- and so we got the poor lung volume readings. Now 20 this has happened a couple of the times in the past
- 21 with other patients and it's rarely changed my

22 diagnosis, but in this case it does.

- 23 Q. It changes your diagnosis significantly, 24 doesn't it, Doctor?
 - A. It does. Yes, it does.

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- Q. We're not longer restrictive; is that right, Doctor?
 - A. That is correct.
- 4 Q. Okay. Now, let's get to the bottom of what happened here on Deposition Exhibit Number 6, okay? You're telling me Exhibit 6 has two lung volume trials, correct? 7 8
 - A. Yes.
- 9 Q. And you're telling me initially we listed the second lung volume trial as the summary; is that 11 correct?

A. Well, it was a combination of the two. It was an average. But when I went over it with Dr. Petrini, she agreed that that second lung volume was not adequate and they disabled the wrong test and they 15 should have used the good test.

Q. Well, here's the problem I've got, Doctor, and let's go through these one by one. On the summary 18 sheet you have a total lung capacity originally of 5.66 liters, correct? I'm talking on the summary 20 21 sheet for this individual.

A. On the summary sheet, yes. 22

23 Q. Deposition Exhibit Number 6, the first page of the PFTs, before you crossed it out -- it says 5.66 24 where it's crossed out?

the good test and put in a bad test; is that right?

A. Yeah, apparently it was done.

Q. And did you talk to Dr. Petrini about how this was done that you accidentally take out the good test and put in the bad test?

A. She said they hit the wrong button.

Q. Okay. Now getting back to my question about the 5.66, we don't know how that's derived, do we?

A. I don't.

Q. There's also a value there for residual volume of 0.39 that you crossed out?

A. Correct.

Q. Does that show up on the fourth -- fifty page here of the PFT, that value as well for residual volume? It does; does it not?

A. Yes, it does.

Q. 0.39, it comes from the second trial?

A. Correct.

Q. All right. How about any of these other values? The VC for 5.27, where does that come from?

22 A. I don't know where that came from.

- Q. Doctor, could it be we have a phantom trial 24 in here that's altering the values?
 - A. I suppose that's possible.

A. Correct.

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Q. He has no restriction whatsoever?

5 A. That is correct.

6 Q. But we still have a diffusion problem?

Α.

8 O. Are we sure that's accurate and true?

A. Yes.

10 Q. How do you know that, Doctor?

> A. I went over all of these tests with Dr. Petrini.

Q. Okay. And she told you that's true and accurate?

A. And we went over all of them and the tech did everything right on the spirometry and the diffusion; it was a mistake on the lung volumes only.

Q. Okay. Doctor, do you know if you used different predicted sets for diffusion capacity what that would do for this individual, Pat Lehmann, for his diffusion capacity?

A. If we used different predictions of what the --

O. Normal?

A. -- of what normal would be?

Q. Has this happened more than once at Healthscreen?

A. I think it has happened very, very rarely.

Q. All right. Dr. Petrini would be very knowledgeable about this particular test?

A. Extremely knowledgeable.

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Q. Does she have an opinion as to how this exactly happened?

9 A. She said that the tech disabled the wrong 10 test.

Q. And the tech can disable the wrong test. Do 12 you know if there's been training about how to, as you 12 put it, get rid of the good test and put in the bad test at Healthscreen?

15 A. They are trained not to do that. They are 16 trained to keep the good test and get rid of the bad 17

18 Q. But in doing that training, don't they also 18 19 show them how they could get rid of the good test and 19 20 put in the bad test, just like they did here with this 21 individual? 21

22 A. This was accidental. They are trained. Dr. 23 Petrini is very, very strict and wants -- has made it 24 very clear that we are to do everything aboveboard at 24

25 all times to do the best testing possible. Sometimes

accidents happen. And this happened and that's why I

2 was volunteering that they gave the wrong numbers and 3 that the numbers were not as good as they should have

4 been. We --

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Q. Has Dr. Petrini -- I'm sorry.

A. We do not try to hide anything. We try to 6 be as straight -- a hundred percent honest all the 7 8 time. 9

Q. Would Dr. Petrini be so knowledgeable that 10 she could teach technicians how to get rid of the good 11 test and put the bad test in?

A. If she wanted to do that, she could, but that is absolutely against everything she stands for.

Q. And she has that kind of expertise and 15 knowledge to do it, doesn't she?

A. Sure she would, but she would not do that 17 because she -- it has always been the mission of 18 Healthscreen to do the best quality, most honest 19 testing that can possibly be done and that is what she 20 always drills these techs on.

21 Q. All right. But we have a phantom result 22 showing up and interfering with your conclusions on a 23 diagnostic basis of restriction on this particular

24 individual, Mr. Pat Lehmann, correct? 25

A. That is correct. That is very rare.

Q. Yes.

A. Well, it could change the answer.

Q. And are you familiar with a predicted set called Miller?

A. I've heard of it.

Q. Doctor, if I represented to you that if we used the Miller predicted sets for Pat Nelson Lehmann that he would in fact have a diffusion capacity of eighty-one percent of those predicteds --

A. I would have to take your word for it.

Q. Okay. Let's just assume for the sake of argument that is the case. Would that show that he doesn't have a diffusion capacity problem?

A. I would prefer to consult with Dr. Petrini to see why she used the Crapo/Hsu as opposed Miller criteria. I'm sure she has a reason for it.

Q. Well, the reason is the Crapo predicted values for diffusion capacity are the highest predicted values that exist. Is that one of the reasons she uses them?

A. I wouldn't --

22 MS. BOONE: Object to form.

23 A. I would not know. You would have to ask her 24 BY MR. SETTER:

Q. Isn't that why she does that? That helps

Page 137

Page 138 her clients get the result that they want, that is a

lower diffusion capacity being shown on people tested. 2

MS. BOONE: Objection to form.

A. I would not know. I would have to ask Dr. Petrini.

6 BY MR. SETTER:

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7 Q. Aren't you the doctor? Aren't you the medical doctor? Aren't you the one setting predicted values?

10 A. No, I am not. It is Dr. Petrini who is a 11 Ph.D in pulmonary function testing.

12 Q. Is she a Ph.D in pulmonary function testing 13 or physiology?

A. Pulmonary physiology. Her specialty is 14 15 pulmonary function testing. That is what she does. That's what her thesis is on. As I said, she teaches 17 the pulmonologists how to read PFTs. She's the one 18 who taught me to read PFTs, and her knowledge is 19 vastly superior to mine when it comes to pulmonary 20 function testing.

21 Q. She also taught the technicians on how to 22 not get rid of a good test, but how to get rid of a bad test and put a good test in; is that right? 23

24 A. That's correct. She teaches the technicians 25 how to do proper testing.

was plugged into our computer.

Q. All right. Let's go back over that then. According to Crapo, we would have normal spirometry and normal lung volumes?

A. Yes.

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Q. And for diffusion capacity, if you assume my agreement of using the Miller predicted that it would be normal, that would also be a normal value. What I'm going after, Doctor, is if those were normal spirometry, normal lung volume, and normal diffusion capacity. That's my hypothetical, okay? Just assume that.

A. If we're assuming everything is normal, then everything is normal.

Q. Right, for pulmonary function tests?

A. For a pulmonary function test.

Q. Okay. Then this individual would only have x-ray changes which may be subject to another B-Reader's report as being normal, so we would rule that out as well, correct?

MS. BOONE: Objection to form. BY MR. SETTER:

Q. All right. Let me start over. If we use the Miller predicted values, the diffusion capacity would be normal under my hypothetical, correct?

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Q. With respect to Mr. Lehmann, do you know whether or not he has high cholesterol?

A. Yes, it was written in my medical history.

Q. Would high cholesterol have an effect on diffusion capacity?

A. It should not.

Q. You don't believe it does. Have you ever seen anything put out by the American Thoracic Society about that, Doctor?

A. No.

11 Q. If we had the Miller predicted values as I 12 represented and he was normal, we would then basically 13 have, in light of these changed tests for lung 14 volumes, normal diffusion capacity, normal lung 15 volumes and normal spirometry, assuming my

16 representation about the Miller predicteds? 17 A. I can only assume based on what you're

18 telling me. 19 Q. But that would be true. We have normal

20 spirometry, correct?

A. We do.

22 Q. We have normal lung volumes?

A. Well, according to Crapo/Hsu, we have normal spirometry, normal lung volumes. I don't know what it

would be according to Miller because that's not what

A. If you say so.

Q. So if we have now normal pulmonary function tests, that's no longer an issue for your evaluation for Mr. Lehmann?

A. That would be correct.

Q. If we have an adverse ILO opinion saying that he's normal, you would say that the x-ray evaluation does not become a determination that you can rely upon?

A. That would be correct.

Q. So then for Mr. Lehmann, we're back to what components of significance to you in terms of the issues presented by the lawyers, Nix Patterson?

A. If -- well, first of all, the physical exam by Dr. McKenzie, the extremities say demonstrate clubbing, so he said there was clubbing. Okay. Now asbestosis is not the only thing that causes clubbing. Other diseases cause that, too.

Q. Staying with that, such as what, as some examples?

A. Such as some congenital heart disease, other types of pulmonary fibrosis. Sometimes lung cancer can do it.

Q. Coronary artery disease?

A. Does not cause clubbing. So, the chest

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Q. Okay. And that's all I'm saying, is if we just take those two elements out, the x-rays and the pulmonary function tests, for the sake of argument, then you have no basis to make a diagnosis of asbestosis without them?

12 A. Correct. I would only say that he had an 13 exposure history and a latency period.

Q. You wouldn't expect the finding of clubbing 15 to resolve itself in a later physical examination by 16 another physician?

17 A. I would not expect clubbing to resolve 18 itself; however, clubbing is a subjective finding, and one doctor might say there's clubbing and another 19 20 doctor might say there isn't.

Q. Let's go to Deposition Exhibit Number 5, if 21 22 you don't mind. That's Mr. Doelitsch.

23 A. Okay.

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24 Q. I hope I didn't butcher his name too badly.

Now, the materials that you had for Deposition Exhibit 25

Q. And the B-Read report by Dr. Levine dated

have you read the other materials from the Red River Radiology Associates, Roderick Mitchell, et cetera?

A. Yes, just in the last few days.

Q. Have they changed your opinion?

Q. Let's stay with your report first. Mr. Doelitch is tested on May 9th, 2001, correct?

A. Correct.

Q. You dictate your report May 23rd, 2001 and it's actually signed, presumably by you, at that time?

A. On June 7th.

Q. June 7th, I'm sorry. When would Dr. McKenzie sign these? Would he sign them before or after you signed?

A. After.

Q. Would you dictate the report and then the report would be shipped back to you for signature?

A. Yes. What happens is I dictate the report. I send the tape to the transcriptionist. They

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Number 5 do not include this first page by Roderick Mitchell. That's been given to you by Nix Patterson?

A. Correct.

Q. And then I'm just looking through the exhibits so we're clear. What else has been provided to you, if anything, by Nix Patterson?

A. The pathology report from Scott and White Memorial Hospital about the colon cancer, and let's see what else. The CT scan performed by Red River Valley Radiology Associates, the colonoscopy report there is another pathology report, pathology report on the prostate biopsy.

Q. Let's make this clearer for the record, if you don't mind, Doctor.

A. And a surgery report.

16 Q. The materials that you relied on as part of 17 your evaluation would -- and your evaluation report is 17 dated June 7, 2001, correct? 18

19 A. Correct.

20 Q. Would include only the pulmonary function 21 tests dated May 9th, 2001?

22 A. Yes.

Q. And the January 19th, 2001 radiological 24 report, for lack of a better term, by Dr. Levine?

A. Correct.

transcribe it. They e-mail it to me, the transcribed report. I then download the report, print it out at my home, sign it. I send the report to Healthscreen 4 and then Healthscreen takes the report to Dr. McKenzie 5

to sign. Q. Thank you. Now, with respect to this

individual, George Doelitsch, we reached the conclusion from an impairment standpoint he has obstructive lung disease; is that right?

10 A. That is correct.

> Q. He does not have a restrictive pattern; is that correct?

A. That is correct.

Q. All right. And you make a note for Mr. Doelitsch that he should see his personal physician as soon as possible for a complete evaluation of his chest discomfort?

A. That is correct.

Q. And was this picked up by Dr. McKenzie as part of the examination?

A. It was picked up in the review of systems.

22 Q. But almost a month goes by before that's 23 actually signed off in a report; is that correct?

A. Before it's signed off on a report. Whether or not Dr. McKenzie advised him to see his personal

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physician at the time of the history and physical, I 2 don't know.

- Q. Do you know if your report ever ended up in the hands of George Doelitsch?
 - A. I do not know.
- 6 Q. It would be sent back to Healthscreen and 7 they would send it to?
 - A. The attorneys.
- 9 Q. Where I'm going with that, Doctor, was there 10 any other follow-up by you concerning that concern about his chest discomfort? 11
- 12 A. No.

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- 13 Q. By the way, I don't know if you answered the 13 14 question. I'm sorry if I repeat myself. Do you carry malpractice for Healthscreen's work? 15
- 16 A. No. I do not.
- 17 Q. Does Healthscreen carry any type of medical 18 malpractice?
 - A. I do not know.
- 20 Q. Thank you. Does Mr. Doelitsch have coronary 20 21
- 21 artery disease?
 - A. He had not been diagnosed with it.
- 23 Q. Do you know if his prior stroke condition
- 24 could be part of the reasons that he has chest pain 25
 - that worsens with exertion?

A. It could.

- Q. If he has COPD, for example?
- A. That can definitely lead to a diminished diffusion coefficient.
- Q. Now, if we go to the pulmonary function test, are you familiar with the value called inspiratory vital capacity?
 - A. Inspiratory capacity, yes.
- Q. What is the inspiratory vital capacity for George Doelitsch in Deposition Exhibit Number --MR. BURNS: 5.
 - Q. -- 5?
- A. Huh.
 - Q. 5, Deposition Exhibit Number 5.
- A. Oh, okay. The inspiratory capacity here was listed as 2.22 was his best.
 - Q. I'm sorry. Where are you looking?
- 18 A. Page --
 - Q. I'm sorry. I meant to say inspiratory vital capacity.
 - A. You mean the vital capacity?
 - Q. Inspiratory vital capacity determined on diffusion.
 - A. Oh, on the diffusion. On the diffusion sheet, the inspiratory vital capacity was determined

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- 1 A. Stroke does not typically cause chest pain, 2 but the same problem that can cause a stroke can alsb 2 3 cause coronary artery disease, so it puts him at high
- 4 risk.

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- 5 Q. Fair enough. Would you agree that the 6 pulmonary function tests show an obstructive lung 7 disease on an individual that was a smoker, that is
- 8 Mr. Doelitsch?
 - A. What is the question?
- 10 Q. He's got an obstructive lung issue and he 11 was a smoker, correct?
- 12 A. That is correct.
- 13 Q. Are you saying the obstructive lung issue is 14 attributable to asbestos or are you making a 15 distinction as to what it's attributable to in this 16 report?
- 17 A. I believe his obstructive lung disease is due to his smoking. 18
- 19 Q. It's not attributable to asbestosis?
- 20 A. No.
- 21 Q. That's all I'm asking. I just want to make 22 sure I'm clear. So you know he has obstructive lung 22
- 23 disease and it's attributable to smoking. Would that 23
- 24 also be part of the issue in terms of his diffusion
- 25 capacity problems?

to be 3.34.

Q. And his best inspiratory vital capacity was 3.46; was it not?

A. Correct.

- O. Now, going back to the summary sheet for his PFTs, his vital capacity for lung volume and forced vital capacity is 3.25; is it not, Doctor?
 - A. 3.25, yes.
- Q. All right. What's the difference of a 3.46 for inspiratory vital capacity and a 3.25 for forced vital capacity or the vital capacity on the lung volume?
- A. I believe the inspiratory vital capacity on the diffusion coefficient is done with the diffusion method of nitrogen washout, whereas the vital capacity obtained on lung volumes is done by an equation. It is done in a totally different manner where they take the total lung capacity and subtract the residual volume to get a vital capacity, so they're calculated by two different methods, so that can explain a small difference.
- Q. But my question is this, Aren't they measuring the same thing? Vital capacity is vital capacity is vital capacity?
 - A. Yes, but one is a direct measurement and one

is derived from an equation based on other readings, 2 so they're not going to be absolutely exact, but they 3

should be very close in the same ballpark. 4 Q. Well, wouldn't you agree with me

- statistically a .2 liter difference of that magnitude of a 3.25 versus a 3.46 is probably very significantly different?
- A. Well, the average here, what it does on the DICO is it averages the two best. And the average of 9 10 the two best is 3.34, which I would say is not significantly different from 3.25. That is a minimal 11 11 difference. So I would say they agree with each 12 13 other. They're in the very same neighborhood.
- 14 They're very close.

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- 15 Q. That is the average, but the 3.46 is not 16 within the balance park of 3.25?
- A. They're still -- I think they are still 17 within the realm of laboratory error on any good test. 18 18 19 I don't think that's unreasonable at all. And if you 20 want more information, I'm sure Dr. Petrini can get into a very long dissertation on that for you if you'd 21 22
- 23 Q. Good. I look forward to it. All right. 24 For Mr. Doelitsch, let's go now to the lung 25 volume sheet, if you will, the one with the graphs for 25

test. It didn't even register a total lung volume. It's worthless test.

- Q. And we got rid of the one with the highest VC, that's all my point is, correct?
 - A. The point is, that's not a reliable number.
- Q. But we did get rid of the one with the highest VC; isn't that right, Doctor?
 - A. Yes.
 - Q. Thank you.
 - A. Because it was not reliable.
- Q. For FRC, we have a statement there in graphs of 4.11 liters; is that correct?
- A. Where are you looking?
- Q. I'll point for you. I don't know if you can read that copy.
- A. I can't.
 - O. You can't read your copy?
 - A. No.
- 19 Q. I'll show you mine.
 - A. Okay.
- Mine says 4.11 liters. 21
 - A. Yes, that's what it says.
 - Q. Let me have that back. Assume for the sake of argument that it says 4.11, and we'll have to make different copies. In fact, we will do this. I will

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the lung volumes. There you go. We have three trials 1

- 2 there, one, two and three; do we not, Doctor? 3
 - A. Yes.
- 4 Q. Do you see that?
- 5 A. Yes.
- Q. The second trial, we're missing the TLC? 6
- 7 A. Right.
- 8 Q. What's going on there?
- 9 A. That was an inadequate test. The computer 10 did not even register the number because the patient -- I don't know if he had an air leak or he had bad 11
- 12 technique. For some reason, it didn't even measure on 12
- the computer, so they disabled the test. It's just a 13 14 bad test.
- 15 Q. So that's one of those bad tests that we 16 didn't delete?
- A. It was a bad test that did not figure in the 17 18 summary sheet. They deleted it. I mean, it printed 19 out, but for the purpose of determining what his true 20 lung volumes are, that was not used to calculate on 21 the summary sheet.
- 22 Q. Okay. But we've got a 3.29 for the VC on 23 that one, and that's best VC you got on the lung 24 volumes?
- 25 A. Yeah, but that's a completely inaccurate

be happy to mark this as a deposition exhibit so you can clearly see it and the record is very clear maybe,

3 if I can find it. 4

MR. SETTER: Let's mark this as 7.

(Whereupon, Exhibit 7 was marked.)

7 8

BY MR. SETTER:

- Q. Doctor, I'm going to mark Deposition Exhibit Number 7, which is another photocopy of the pulmonary function test for Mr. Doelitsch and specifically, so it shows up in the record, I'll show you the FRC in the graph area of his test is 4.11 liters. Do you see that, Doctor?
 - A. Yes, I do.
- 16 Q. Where is that test? Because the ones I have for trial one, we have an FRC of 3.37 for trial one. 17 18
 - For trial two, what's the value there?
- 19 A. 0.06 that's why that whole test was 20 considered --
 - Q. I understand, but that's not 4.11 liters, is it?
 - A. No, it's not.
- 24 Q. And then the third trial that's shown there
- 25 is 3.85 liters, right?

1 A. That's correct.

- 2 O. So where is the test with the 4.11 liters?
- 3 A. I have no idea.
 - Q. Must be missing that one, too, huh?
- 5 A. I guess.

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- 6 Q. Better call Dr. Petrini up?
- 7 A. I agree.
- 8 Q. Okay. Let's keep these together.
- 9 A. What was that?
- 10 Q. I said, Keep these together. I'm not going 11 to throw them down there so she keeps track of them. 11

12 With respect to Mr. Doelitsch on Deposition Exhibit Number 5, just to finish that up, again if we 13 13 14 take the chest x-ray out from the standpoint that 15 there is a conflicting x-ray B-Read report, then we cannot make any determinations from a radiological 16 16 17 standpoint concerning Dr. -- I mean Mr. Doelitsch?

- A. To clarify, if the chest x-ray report were normal according to a certified B-Reader, with the pulmonary function results that I have here, I would 20 not feel comfortable diagnosing him with asbestosis 21
- 22 O. Fair enough, Doctor. Do you know Dr. 23 Roderick Mitchell, who is the individual who did the 23
- 24 first page of Deposition Exhibit Number 5, did that
- report? 25

2 BY MR. SETTER:

> O. Doctor, off the record we were talking a little bit about some of the materials in Deposition Exhibit Number 4. I just want to clarify it as to

what we have.

The materials that are part of your file would include the first two pages, which is your report, correct?

- A. Yes.
- Q. Then you have a radiological evaluation by Dr. Levine?
 - A. Two pages, yes.
- Q. And then we have a pulmonary function report done on May 8th, 2001, and the first page is a summary sheet?
 - A. Correct.
- Q. The next two pages are dealing with the flow volume loop?
 - A. Yes.
- Q. Two more pages dealing with lung volumes. I'm sorry.
 - A. Yes.
 - Q. And then two more pages dealing with DICO?
 - A. Correct.

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- A. I do not know him.
- 2 Q. He's apparently an internist in Texas.
 - A. In emergency medicine as well, just like me.
- 4 Q. I was curious if you knew him.
- 5 A. I do not.
- 6 Q. Do you know if Dr. Mitchell has ever done 7 any work for Healthscreen?
 - A. I do not know anything about Dr. Mitchell.
- 9 O. Okay. We are moving along. Let's go to 10 Deposition Exhibit Number 4, which is Mr. Larry
- 11 Drosche's records that you have.
- A. Do you mind if we take another quick break 12 before we do that? 13
- 14 Q. Absolutely.
- 15 A. Thank you.
- 16 Q. Before you do that, before you come back,
- 17 same type of question. I think we already identified
- these, but let's make sure we understand which ones 18 19 were part of your file and which ones have been
- provided to you by Nix Patterson. But let's go ahead
- and take a break. You can do that before we come back 21 21
- 22 on?
- 23 A. Okay. Sure.
- 24 25

(Off the record.)

- 1 O. And then in addition -- I don't want to take 2 these out of the exhibit, but we talked about this off
- 3 the record -- we have one, two, three, four, five,
- 4 six, seven, eight, nine, ten, eleven, twelve pages all
- 5 the way down to the Red River Valley Radiological 6
- Associates x-ray examination report, pulmonary 7 function test reports that are in one form or fashion
- 8 a duplication of either lung volumes or diffusion
- 9 capacity for Mr. Drosche?
 - A. Correct.
- 11 Q. And then at the very end of Deposition 12 Exhibit Number 4, we have x-ray examination reports that seem to be four copies of the same thing, if I'm 13 14 correct?
- 15 A. Yes.
- O. From Dr. Steven Clifford? 16
- 17 A. Which is the result of a CT scan.
 - Q. Okay. Did you rely on anything from the CT scan in reaching your opinion in your report?
 - A. No, I did not have that at the time I did my report.
- 22 Q. Okay. As we sit here today, do you rely 23 upon that CT report for any reason?
 - A. No, it was not available to me.
 - Q. As you sit here today, according to the CT

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- findings, the lungs are entirely clear, correct?
- 2 A. It was a normal CT scan.
 - Q. All right. Now for Mr. Drosche, if I
- 3 4 understand correctly, on physical examination, was he 4 5 essentially normal in terms of the physical
- 6 examination findings by Dr. McKenzie?
 - A. Yes, he was.
- 8 O. All right. And we see Dr. Levine has the 9 1/0 with no pleural abnormalities, correct?
 - A. Correct.

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- Q. And then we may have an adverse ILO 11 examination that says he's normal, but we don't have 12
- 13 that for you today. That could change the
- radiological evaluation, correct? 14 15
 - A. Correct.
- 16 Q. In other words, it would make it so you wouldn't have an opinion about radiological issues, 17 18 correct?
- 19 A. Correct, if I had an official B-Read report 20 that was totally normal.
- Q. Now, wouldn't the CT evaluation also help 21 22 you in that regard?
- 23 A. Yes.

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- Q. Doesn't that show it being in conflict with 24
- 25 Dr. Levine in terms of what Dr. Levine found?

Levine's report that it was consistent with asbestosis, then that could explain it.

- Q. However, if you believe Dr. Clifford, then that's not an issue in terms of the asbestos, correct?
- A. If you believe that there is a totally negative x-ray report, then it could be asbestos but you just can't say that that's the cause of it. The American Thoracic Society consensus paper does state that you can see a diminished DICO even due to asbestos even with a completely normal chest x-ray. However, I personally would not put myself out on a limb to say this is clearly due to asbestosis if that's the only abnormality I have.
- Q. Doctor, could we possibly explain this reduced diffusion capacity as a result of testing methodology? Have you looked at that issue?
 - A. As a result of testing methodology?
- 18 Q. Yes.
 - A. Let me get to the DICO page. The DICO tests according to this criteria appear to be reliable and reproducible. Based on these numbers, I would say I feel comfortable saying there is a decreased DICO.
 - Q. Walk me through -- bear with me. Let's walk through this a little bit. Healthscreen is using a nitrogen washout lung volume test, correct?

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- A. It was read as normal.
- Q. Okay. And Dr. Levine found him abnormal and 2 2 3
 - Dr. Clifford on CT found him normal?
- 4 A. Correct.
- Q. So we can't rely or you won't rely on that 5
- information on the radiological basis to form opinions 7 about Mr. Drosche, correct?
- 8 A. Correct.
- 9 Q. All right. On pulmonary function, then we
- 10 find -- you find that they're within normal limits
- with the exception of the diminished diffusion 11
- 12 coefficient?
- 13 A. Correct.
- 14 Q. He has no restriction; is that correct?
- 15
- 16 Q. And he has no obstruction?
- 17 A. Correct.
- 18 Q. The only thing that we can say is he has
- reduced diffusion capacity? 19
- 20 A. That is correct.
- 21 Q. Do you have an opinion as to why he has a
- 22 reduced diffusion capacity? And it's perfectly fine
- 23 if the answer is you don't have an opinion; you just know he has reduced diffusion capacity. 24
- 25
 - A. At this time I do not. If you believe Dr.

A. Correct.

- Q. And as part of that test, the idea is to washout the nitrogen in the lung with oxygen?
- A. Correct.
- Q. To do that, the technician basically fills up the client or patient with O2 as part of the test?
 - A. Correct.
- Q. In fact, you want to get to a level, and I think we were talking about some of these graphs, if you look at the lung volume, for example, for Mr. 10
- Drosche, it says N2 of 0.4 percent. Are you with me 11 12 on that?
 - A. Yes.
 - Q. So that is telling the technician and whoever printed the report at the point they are doing this lung volume testing, they have Mr. Drosche with 99.6 percent O2 in his lung; is that right?
 - A. It says -- it says that there's 0.4 percent N2. I cannot give you a hundred percent guarantee that the rest is pure oxygen because --
 - O. Isn't that the idea on the test?
- 22 A. It's what they're trying to do.
 - Q. They're trying to give him pure oxygen down to the left that the N2 is basically washed out?
 - A. They're trying to do that, but in reality

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the only way to truly give someone a hundred percent 2 oxygen is by putting them on a mechanical ventilator, and we don't do that so there are other contaminants that are in there.

Q. But the idea is to bring down his N2 level 5 to less than one or two percent?

A. Yes.

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Q. And to fill him with oxygen for the remainder to the extent that it can be done?

A. Yes.

Q. With the understanding that you may not 11 eliminate all of the other components in there, 12 whatever trace elements may be there, correct? 13

A. Correct.

Q. All right. So now we take Mr. Drosche and we fill him up with ninety -- you would agree at least 16 16 ninety-eight percent oxygen?

A. I would say as much oxygen as we can get 18 19 into him.

20 Q. Okay. Would it be over ninety-five percent 21 at least?

22 A. To tell the truth, most studies have shown that without a mechanical ventilator, it's hard to get 23 much higher than sixty percent oxygen without a supe24 24

tight seal. The rest would be ambient air. 25

Page 164 supposed to wait when you do one of these lung volume trials before you do another trial?

A. I've read that before, but I've forgotten 4 the number.

Q. For the sake of argument, I'll state to you that it sounds like the ATS requires fifteen minutes. Does that sound reasonable?

A. Yes.

Q. The reason the ATS requires fifteen minutes before you do another test is why?

A. To give time for the nitrogen to wash out.

Q. Really to give time for the --

A. For the ox --

Q. For the oxygen.

A. For the oxygen to wash out.

O. Right. So you fill your lungs back up with nitrogen again. And wouldn't it be true, Doctor, that if you don't let the individual fill his lungs back up with nitrogen and then you do a diffusion capacity test, that having all that to O2 in your lungs will decrease the diffusion capacity?

A. It certainly could.

Q. All right. Now, let's talk about Mr.

Droesh. On the lung volume second page that we have here on the pulmonary function test, it starts with

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O. All right. So that would have some -ambient air is mainly nitrogen, isn't it?

A. Yeah. They got most of it out, so....

Q. So, we now have him filled up with oxygen and what else?

A. Oxygen, nitrogen, and whatever else might be contaminants in the tank.

Q. But the nitrogen is only at 0.4 percent and my point is the rest would be --

10 A. For the sake of argument, we'll say it's 11 oxygen.

Q. Okay. When we're doing this test and we 12 13 fill him up with oxygen, and whether it's sixty or ninety-eight percent oxygen, the idea is to see how much oxygen can displace the nitrogen? 15

A. Correct.

16 Q. And that's because normally most folks have 17 somewhere around twenty, twenty-one percent O2 in 18 18 their lungs, and one way to measure lung volume is to 19 19 20 replace that O2 -- replace the nitrogen, I should say,

with oxygen? 21

22 A. Right.

Q. It's part of this lung volume test?

24 A. Right.

Q. Do you know how long a time period you're

TLC and has LVol Date. Are you with me on that one?

O. Do we have an LVol Time?

A. Yes.

O. Let's go to the DICO test, okay. This is the one that has the DICO at the top and the DICO time at the bottom.

A. Yes.

Q. Can we put in order, at least from these time stamps what happened first, second, third and fourth? That's what I'm going to try to do.

Q. Would you agree with me that for Mr. Drosche, the first thing that was done is a lung volume test at the hour and minute of 1648?

A. Yes.

Q. Then it looks to me, and see if you agree, the second thing done was at 1651, three minutes later, he was given a diffusion capacity test?

A. Yes.

Q. We didn't wait fifteen minutes, did we?

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23 Q. All right. Then the next thing that we did 24 is a lung volume test. No, I'm sorry, another

diffusion capacity test at 1658, correct?

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A. Correct.

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Q. And then the fourth out of the four was another lung volume test at 1704?

A. Correct.

5 O. Doctor, here's where I'm going with this. Would you agree that doing the first lung volume test 6

at 1648 would adversely affect the diffusion capacity for Mr. Drosche when it was done in terms of the

8 9 diffusion capacity, first and second trials, within it

looks like ten minutes? 10

A. Both of them were done within ten minutes. 11

O. Is that right?

A. Yes.

Q. You think that would have an affect on those

15 values?

A. It's possible. 16

Q. Well, did you discuss any of this with Dr.

18 Petrini?

19 A. No.

Q. Did you discuss this test with Dr. Petrini?

21 A. No.

Q. And if you look at the diffusion capacity

for trials one and two -- are you with me? The 23.7 23

and the 24.2? 24

25 A. Yes.

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O. It seems like they get higher when we get

2 further away from that lung volume test? 3

A. Yes, they did.

O. That would kind of indicate that now that 4 5 we're getting more nitrogen in Mr. Drosche's lungs, we're getting a better diffusion; is that right, 6

7 Doctor?

A. Yeah, that could be explained that way.

Q. Would that possibly maybe be the reason that 9 we have a lower diffusion capacity for Mr. Drosche? 10

A. It's possible.

Q. Do you know what the Miller predicted values 12 12

13 would say about Mr. Drosche?

A. No, I do not.

Q. If I represented to you that if we used the

16 Miller predicted values, we would get a DICO in the eighty percentile, then he would not have an abnormal 17 17

diffusion capacity, correct? 18

A. I'll have to take your word for it.

Q. So we could be explaining his reduced

diffusion capacity because of a couple of reasons, 21

22 just to wrap this up for us. One could be because we

were doing the lung volume test and the diffusion 23

capacity test too closely together; is that right? 24

A. That's possible.

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A. Okay.

for some other time.

Q. Doctor, I notice you are making notes during 2 this deposition; is that correct? 3

understand they're not trial cases, so I'll defer that

25 questions. Just quickly I want to check some notes.

I'm going to let other counsel ask you some

A. Yes.

Q. Do you mind if I photocopy those today?

A. Sure.

Q. That way I hope you took the notes of the items we're going to ask for?

9 A. Yes.

Q. If you don't mind, may I have those today?

A. You would like them now? 11

> O. Yes. We'll make a photocopy and mark it as an exhibit. How's that?

A. All right.

Q. You agreed, I believe, on these three individuals to find the work and medical history forms, exam form, that's all one document, correct?

A. Yes.

O. For the three individuals we talked about; the quality assurance reports?

A. Yes.

O. If they exist, unacceptable test forms? 22

24 O. And I believe the consent forms for these

25 three individuals? Page 169

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20	ERRATA SHEET STATE OF MISSISSIPPI COUNTY OF I, JEFFREY H. BASS, M.D., the un Deponent, having read the foregoing depo numbered 6 through 172, find the same to correct transcription of the proceedings tal time and place indicated therein, except as (if any): PAGE LINE WHERE IT READS:	sition, pages be a true and ken at the follows, SHOULD READ:		
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