National Center for Emerging and Zoonotic Infectious Diseases



Ventilator Associated Event (VAE) –

Surveillance Guidelines and Protocol Application 2024

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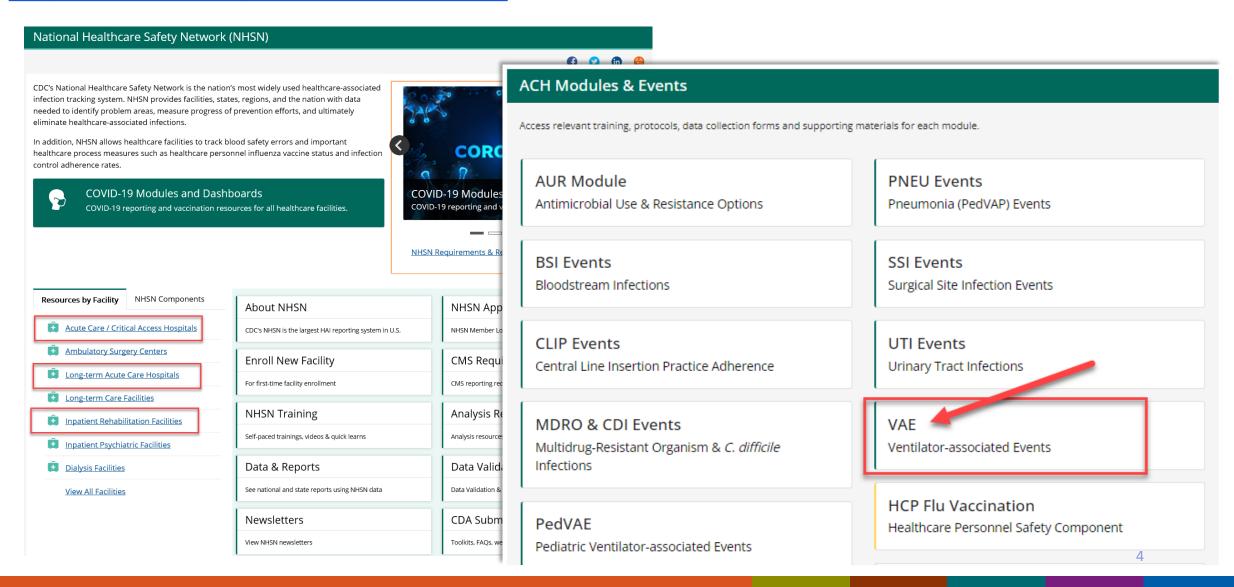
Training Objectives

- At the conclusion of this presentation, participants will be able to
 - Describe the Ventilator Associated Events (VAE) surveillance algorithm
 - Explain VAE key terms
 - Explain criteria for meeting each tier of the VAE algorithm
 - Locate resources for VAE surveillance and reporting

VAE Surveillance – Before you begin

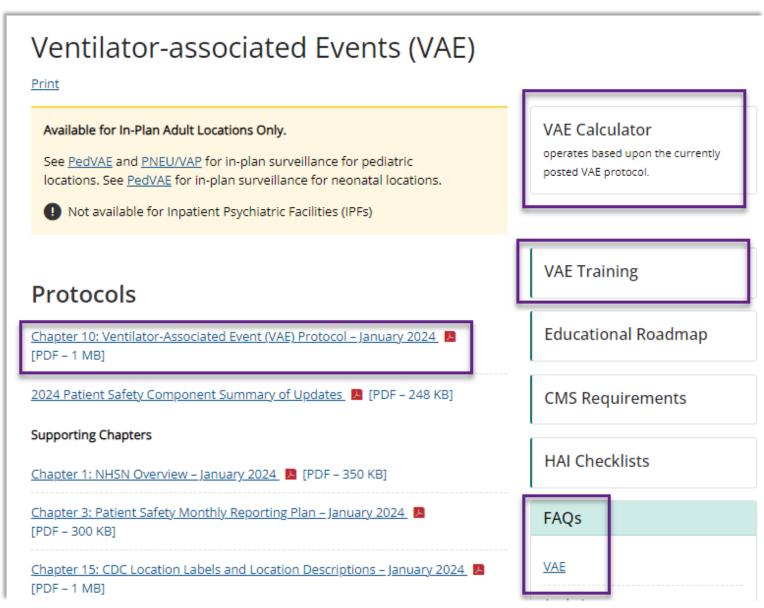
Where Do I Find the VAE Surveillance Guidance?

https://www.cdc.gov/nhsn/index.html



Where Do I Find the VAE Surveillance Guidance? cont.

https://www.cdc. gov/nhsn/psc/vae /index.html



Tools for Visualizing VAE

VAE Calculator and Worksheets:

https://www.cdc.gov/nhsn/p
sc/vae/index.html

VAE Calculator

VAE Calculator

(must have JavaScript enabled)

VAE Data Collection Worksheet –

January 2015 P [PDF – 180 KB]

Customizable Worksheet
 [DOCX – 30 KB]

VAE Antimicrobial Worksheet – January 2015 P [PDF – 75 KB]

- Customizable Worksheet
 [DOCX 40 KB]
- Instructions [A [PDF - 200 KB]

Tools for Visualizing VAE - cont.

VAE Calculator: https://www.cdc.gov/nhsn/vae-calculator/index.html

Ventilator-Associated Event Calculator (Version 9.0)

Print

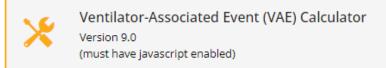
Welcome to Version 9.0 of the VAE Calculator. Version 9.0 operates based upon the currently posted VAE protocol.

The Calculator is a web-based tool that is designed to help you learn how the VAE surveillance definition algorithm works and assist you in making VAE determinations.

Please note that the VAE Calculator will not ask you to enter any patient identifiers (other than dates of mechanical ventilation, which you can change as you see fit).

The VAE Calculator does not store any patient data that you enter, and it will not report any data that you enter or any VAE determinations to the NHSN. You will not be able to export data entered into the Calculator.

If you have questions or suggestions about the Calculator, please feel free to send them to the NHSN mailbox, nhsn@cdc.gov.



VAE Calculator

https://www.cdc.gov/nhsn/vae-calculator/index.html

- Available as a tool to assist with making VAE determinations
 - Operates based on the VAE algorithm
- The "Explain" button in the calculator will pop up an explanation as to how the "calculation" for the case determination was made
- The calculator runs locally on your computer and none of the data you enter is reported, uploaded, or stored
 - Experiment with it put in test scenarios to see what happens
- Remember the correct determination by the calculator is dependent upon the correct data being entered
 - It is not a substitute for you knowing and understanding the rules for entering the values into the designated data fields

Tools for Visualizing VAE – cont'd

VAE Worksheet:

https://www.cdc.gov/nhsn/pdfs/vae/VAE DataCollectionWorksheet FINAL .pdf

Ventilator-Associated Event Data Collection Worksheet
PATIENT ID

		Step 1: VAC (change in A or B)		Step 2: IVAC (VAC, plus C <u>or</u> D, and E)				E)	Step 3: PVAP (IVAC, plus F or G or H)					
		A.	В.	c.		D.		E.	F.	G.	н.*			
Date	Vent Day	PEEP Min	FiO ₂ Min	Temp Temp Min Max [<36°C] [>38°]		WBC Min [≤4K)	WBC Max [≥12K]	QAD (✔)	Meets semi-quant or quant criteria (BAL, PSB, ETA, lung tissue cx) ^{a.b.c} (√)	Purulent respiratory secretions ^d AND Sputum cx, or cx of BAL, ETA, PSB, lung tissue not meeting the semi-quant or quant criteria ^c (✔)	Pleural fluid Path (✔) (✔)		Legionella or viral diagnostic (✔)	VAE (VAC, IVAC, PVAP)

Tools for Visualizing VAE – Example Manual Worksheet

Vent Day	PEEP min	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	QAD	Spec	Polys/ Epis	Org
1	10	60								
2	5	40								
3	5	40	36.9	37.6	12.1	12.1	None	Pleural Fluid		Candida albicans
4	8	60	38.1	39.2	14.5	16.8	Yes			
5	8	50	38.4	38.9	12.6	15.9	Yes			
6	7	40	36.5	37.8	11.1	13.6	Yes			
7	5	40					Yes			
8	5	40								

VAE Surveillance – Primer

Ventilator Definition

Ventilator is defined as a device used to support, assist, or control respiration (inclusive of the weaning period) through the application of positive pressure to the airway when delivered via an artificial airway, specifically oral/nasal endotracheal or tracheostomy tube.

Note: Ventilation and lung expansion devices that deliver positive pressure to the airway (for example, CPAP, BiPAP, Bilevel, IPPB, and PEEP) via non-invasive means (for example, nasal prongs, nasal mask, full face mask, total mask, etc.) are not considered ventilators unless positive pressure is delivered via an artificial airway (oral/nasal endotracheal or tracheostomy tube).

Why Perform Surveillance for Patients Receiving Mechanical Ventilation?

- 2015 CDC point-prevalence survey determined that of the 427 healthcare associated infections identified in a sample of acute care hospitals in the U.S., pneumonia was the most common infection, with 35% of those being <u>ventilator</u> <u>associated</u>*
- Ventilator-associated pneumonia (VAP) is an important complication of mechanical ventilation, but other <u>adverse events</u> also happen to ventilated patients
 - Acute Respiratory Distress Syndrome (ARDS), sepsis, pulmonary embolism,
 barotrauma, and pulmonary edema, among other complications

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^{*}Magill SS, O'Leary E, Janelle SJ, et al. Changes in prevalence of healthcare-associated infections in US hospitals. New England Journal of Medicine 2018; 379:1732-1744.

VAE - Ventilator "Associated" Event

- An adverse event "associated" with the use of a mechanical ventilator
- Detection of VAE may be related to:
 - Infection respiratory or another site
 - Fluid overload
 - ARDS
 - Atelectasis
 - Provider preference in adjusting settings
 - Other
- "Surveillance is information for action"
 - Address duration of mechanical ventilation
 - Address issues found to be "associated" with VAE detection

VAE - Ventilator "Associated" Event

- VAE Surveillance Working Group convened in 2011
- Currently (as of January 2013)
 - Ventilator-Associated Event (VAE) is the only event available for <u>in-plan</u> surveillance in <u>adult locations</u>
 - Focus on objectivity, reliability, and ability to automate
 - Identify a broad range of conditions and complications occurring in mechanically ventilated adult patients (pneumonia, ARDS, atelectasis, pulmonary edema, etc.) which may be preventable
 - Enhance ability to use surveillance data to drive improvements in patient care and safety

VAE ≠ VAP(PNEU) & PVAP ≠ VAP(PNEU)

- VAE and PNEU protocols detect two separate and distinct events
 - It is possible to meet VAE and PNEU
 - It is possible to meet VAE and not PNEU
 - It is possible to meet PNEU and not VAE
 - May not meet either
- Educate your clinicians to dispel the myth!
- VAE is designed to detect more than VAP

VAP – Ventilator-associated Pneumonia (PNEU definition)

PVAP – Possible Ventilatorassociated Pneumonia (VAE definition)

NOTE: Both VAE and PNEU are available for secondary BSI assignment when conducting BSI surveillance

VAE Surveillance Inclusion Criteria: Settings

- Inpatients of acute care hospitals, long term acute care hospitals, inpatient rehabilitation facilities
- Patients in <u>adult locations</u> are eligible for VAE surveillance
 - Pediatric patients in adult locations included in VAE surveillance
 - Adults in pediatric locations included in pedVAP surveillance
- Patients must be receiving support with mechanical ventilation
 - Patients must be mechanically ventilated for more than 2 calendar days to be eligible for VAE

Note: It is NOT recommended to include in VAE surveillance young children housed in adult ICU locations who are not thought to be physiologically similar to the location's adult patient population (consider virtual location).

VAE Surveillance Inclusion Criteria: Adjunct Therapies or Alternative Modes of Mechanical Ventilation

- INCLUDE patients who are receiving a conventional mode of mechanical ventilation
 - while in the prone position
 - while receiving nitric oxide therapy, helium-oxygen mixtures (heliox), or epoprostenol therapy
- INCLUDE patients on Airway Pressure Release Ventilation (APRV) or related modes
 - A mode of mechanical ventilation characterized by continuous application of positive airway pressure with an intermittent pressure release phase
 - Other names: BiLevel, Bi Vent, BiPhasic, PCV+, DuoPAP

VAE Surveillance Exclusion Criteria

- Patients on high frequency ventilation (HFV), paracorporeal membrane oxygenation, or extracorporeal life support (ECLS) are <u>not</u> <u>eligible</u> for VAE surveillance (during the time they are receiving those therapies)
- Patients in non-acute care locations in an acute care setting (such as a chronic care unit)
- Adult patients in non-adult or pediatric locations
 - Adults in pediatric locations included in pedVAP surveillance

Who is not Eligible to meet VAE?

- Patients meeting inclusion criteria for VAE surveillance cannot meet VAE criteria if they have been ventilated less than 3 days
- The first two days of ventilation can be used to establish the baseline period of stability or improvement, but the earliest date of event for VAE is day 3 of mechanical ventilation

Episode of Mechanical Ventilation

- A period of days during which the patient was mechanically ventilated for some portion of each consecutive day.
- A break in mechanical ventilation of <u>at least one full calendar day</u>, followed by reintubation and/or re-initiation of mechanical ventilation during the same hospitalization, defines a <u>new episode of</u> mechanical ventilation.

VAE Algorithm Overview

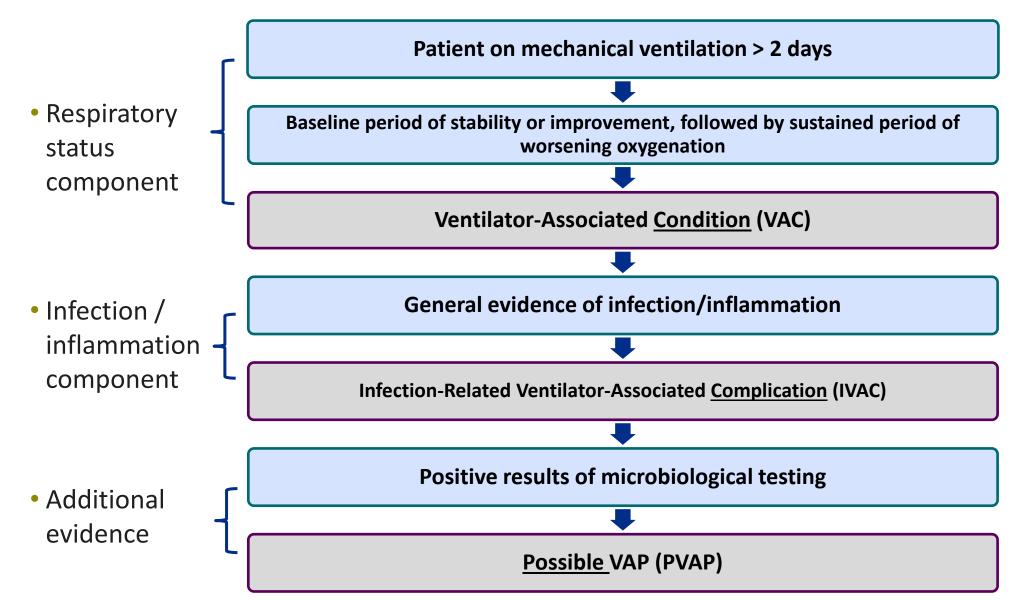
Note that these are NOT clinical definitions and are not intended for use in the management of patients.

NHSN Chapter 2 Definitions - Identifying Healthcareassociated Infections (HAI) for NHSN Surveillance

Do not apply to VAE

	1			
Concept	SSI	LabID	VAE	PedVAE
Infection Window Pood	le	e	a	<u>o</u>
Date of Event	licabl	ap	ap	licab
Present on Admission	plic	Applicable	Applicable	plic
Healthcare-associ 2d Infect	Appl	Ap	Api	Api
Repeat Infection Timeframe	Not	Not	Not	ot
Secondary BSI Attribution Period	_	_		_
	1	,		

VAE Definition Algorithm Summary



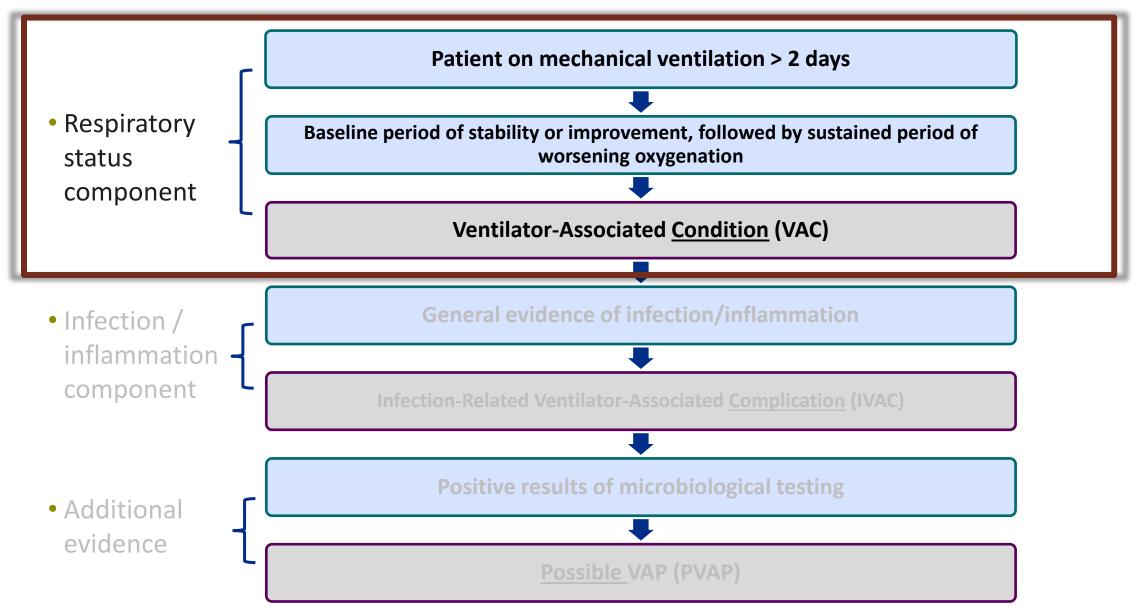
VAE Algorithm

- Algorithm is progressive in terms of criteria to be met
 - VAC → IVAC → PVAP
 - Each subsequent tier is not more significant than the one before
 - All events start with VAC
 - IVAC is not necessarily "worse" than having VAC
 - PVAP is not necessarily "worse" than having IVAC

VAE Algorithmco - cont.

- The fundamental definition within the algorithm is the VAC, which
 is defined on the basis of respiratory deterioration
 - All events start with VAC evidence of <u>respiratory deterioration</u>
 - IVAC additional evidence that the event <u>may be infectious vs. non-infectious</u>
 - PVAP additional evidence the infection <u>may be respiratory related</u>
- The VAE is reported at the highest tier of the algorithm that is met

Respiratory Status Component of VAE Algorithm



Oxygenation – FiO₂ and PEEP

- A patient's oxygenation needs can be addressed by adjusting the FiO₂ and PEEP settings on the ventilator
- **FiO**₂ the fraction of oxygen in inspired air
 - For example, the FiO₂ of room air is 0.21
 - The oxygen concentration of room air is 21%
 - 0.21 is equivalent to 21%
- PEEP positive end-expiratory pressure the alveolar pressure above atmospheric pressure at the end of exhalation
 - Achieved by the introduction of mechanical impedance to exhalation
 - Expressed in cmH₂O

Oxygenation – FiO₂ and PEEP - cont.

Figure 1: Ventilator-Associated Events (VAE) Surveillance Algorithm

Patient has a baseline period of stability or improvement on the ventilator, defined by ≥ 2 calendar days of stable or decreasing daily minimum* FiO₂ or PEEP values. The baseline period is defined as the 2 calendar days immediately preceding the first day of increased daily minimum PEEP or FiO₂.

Daily minimum defined by lowest value of FiO₂ or PEEP during a calendar day that is maintained for > 1 hour.

After a period of stability or improvement on the ventilator, the patient has at least one of the following indicators of worsening oxygenation:

- Increase in daily minimum^{*} FiO₂ of ≥ 0.20 (20 points) over the daily minimum FiO₂ of the first day in the baseline period, sustained for ≥ 2 calendar days.
- Increase in daily minimum* PEEP values of ≥ 3 cmH₂O over the daily minimum PEEP of the first day in the baseline period[†], sustained for ≥ 2 calendar days.
- *Daily minimum defined by lowest value of FiO₂ or PEEP during a calendar day that is maintained for > 1 hour.
- *Daily minimum PEEP values of 0-5 cmH₂O are considered equivalent for the purposes of VAE surveillance.

Ventilator-Associated Condition (VAC)

Daily Minimum FiO₂ and PEEP

- **Daily Minimum FiO₂** the lowest value of FiO₂ during a calendar day that is set on the ventilator and *maintained for* > 1 hour
- **Daily Minimum PEEP** the lowest value of PEEP during a calendar day that is set on the ventilator and *maintained for > 1 hour*
 - Daily minimum PEEP values of 0-5 cmH₂O are considered equivalent (equal to 5 cmH₂O) for the purposes of VAE surveillance.

Eligible FiO₂ and PEEP Settings

- The daily minimum FiO₂ and PEEP values are determined using all <u>eligible</u> FiO₂ and PEEP <u>settings</u> that are documented throughout the <u>calendar day</u> during times when the patient is <u>receiving support from an eligible mode of mechanical ventilation</u> in an <u>inpatient location</u>
 - All conventional mechanical ventilation settings are to be used
 - Include settings collected during weaning/mechanical ventilation liberation trials if the patient is receiving ventilator support during those trials
 - Include conventional MV settings during times when a patient is intermittently on an excluded mode of ventilation or support throughout a calendar day
 - Do NOT include settings from the Emergency Department or other prehospital/pre-inpatient locations

Ineligible FiO₂ and PEEP Settings

- Settings not eligible for use
 - Periods of time when the patient is on high frequency ventilation, extracorporeal life support or paracorporeal membrane oxygenation.
 - Periods of time when the patient is not receiving mechanical ventilation support (for example, a T-piece trial, or a trach collar trial, where the patient continues to receive supplemental oxygen, but is receiving no additional support from the mechanical ventilator).
 - Periods of time when the patient is being mechanically-ventilated using APRV or a related strategy (for example, BiLevel, BiVent, BiPhasic, PCV+ and DuoPAP)
 - Only review FiO₂ data (PEEP settings are not eligible for use).

Determining Daily Minimum FiO₂ and PEEP

- From the eligible documented settings, use the lowest FiO₂ and PEEP setting during the calendar day that was maintained for greater than 1 hour
- In the event there is no value that has been maintained for greater than 1 hour, then select the lowest value available regardless of the period of time in which the setting was maintained
 - When might there be no FiO₂ and PEEP setting during the calendar day that was maintained for greater than 1 hour?
 - Ventilation initiated late in the calendar day
 - Ventilation discontinued early in the calendar day
 - Ventilator settings very unstable throughout the day

Knowledge Check: Select the lowest value recorded for FiO₂ and PEEP for

the calendar day that is maintained for > one hour

- FiO₂ of 1; PEEP of 8
- FiO₂ of 0.80; PEEP of 5
- FiO₂ of 0.75; PEEP of 5
- FiO₂ of 0.70; PEEP of 5

	Monday 12am	3am	6am	9am	12pm	3pm	6pm	9pm
MV mode	ACV	ACV	ACV	ACV	ACV	ACV	ACV	ACV
FiO ₂	1.0	1.0	0.80	0.70	0.75	0.75	0.80	0.80
PEEP	8	8	8	8	8	5	8	8

Knowledge Check: Select the lowest value recorded for FiO₂ and PEEP for

the calendar day that is maintained for > one hour

- FiO₂ of 1; PEEP of 8
- FiO₂ of 0.80; PEEP of 5
- FiO₂ of 0.75; PEEP of 5
- FiO₂ of 0.70; PEEP of 5

	Monday 12am	3am	6am	9am	12pm	3pm	6pm	9pm
MV mode	ACV	ACV	ACV	ACV	ACV	ACV	ACV	ACV
FiO ₂	1.0	1.0	0.80	0.70	0.75	0.75	0.80	0.80
PEEP	8	8	8	8	8	5	8	8

Guidance for Determining Daily Minimum PEEP and FiO₂ when Settings are Recorded Every Hour or More Frequently

- Specific guidance is found in the protocol
- Must be sufficient documentation of consecutive recordings to meet the minimum required duration of > 1 hour
 - If tracking every 15 minutes, 5 consecutive recordings at the same setting would be needed (e.g., at 09:00, 09:15, 09:30, 09:45 and 10:00)
 - If tracking every 30 minutes, 3 consecutive recordings at the same setting would be needed (e.g., at 09:00, 09:30, and 10:00)
 - If tracking every hour, 2 consecutive recordings at the same setting would be needed (e.g., at 09:00 and 10:00)
- Provides standardization

Identifying the Daily Minimum FiO₂ and PEEP

(Select the lowest value recorded for each calendar day that is maintained for >1 hour)

	Monday 12am	3am	4am	6am	9am	12pm	3pm	9pm
MV mode	ACV	ACV	ACV	ACV	ACV	ACV	ACV	ACV
FiO ₂	0.80	0.70	0.90	0.80	0.80	0.75	0.75	0.75
PEEP	8	8	8	8	8	8	8	8

0.70 is the lowest FiO_2 value for the calendar day - but it was not maintained for > 1 hour. 0.75 is the next lowest FiO_2 value that was maintained for > 1 hour.

Identifying the Daily Minimum FiO₂ and PEEP - cont.

(Ventilation is initiated late in the calendar day)

	Monday 2300	2330	Tuesday 2400 (midnight)	0100	0300	0600	0900	1200
MV mode	ACV	ACV	ACV	ACV	ACV	ACV	ACV	ACV
FiO ₂	0.80	0.70	0.80	0.80	0.80	0.75	0.75	0.75
PEEP	8	5	8	8	8	8	8	8

FiO₂ of 0.70 and PEEP of 5 are the lowest values for Monday because no value was maintained for > 1 hour

Baseline Period of Stability or Improvement

- A period of stability or improvement, defined by ≥ 2 calendar days of stable or decreasing daily minimum FiO₂ values or stable or decreasing daily minimum PEEP values.
- The baseline period is defined as the two calendar days <u>immediately</u> <u>preceding</u> the first day of increased daily minimum FiO₂ or PEEP (Evidence of worsening oxygenation)

Evidence of Worsening Oxygenation

- After an identified period of stability or improvement there is evidence of worsening oxygenation in the same parameter
 - Increase in daily minimum* FiO_2 of ≥ 0.20 (20 points) over the daily minimum FiO_2 of the first day in the baseline period, sustained for ≥ 2 calendar days.

OR

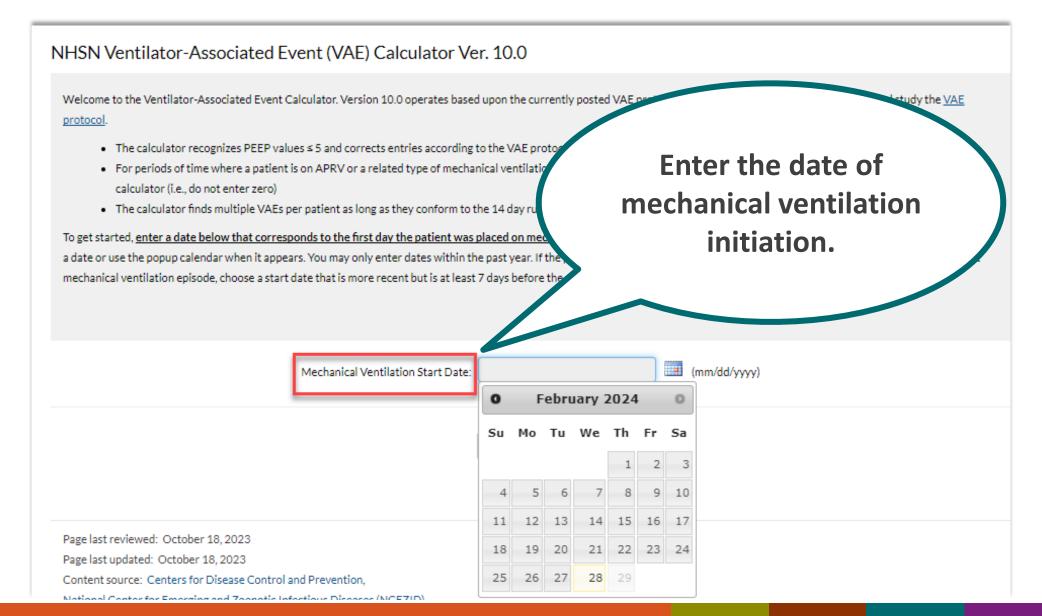
Increase in daily minimum* PEEP values of ≥ 3 cmH2O over the daily minimum PEEP of the first day in the baseline period†, sustained for ≥ 2 calendar days

^{*}Daily minimum defined by lowest value of FiO_2 or PEEP during a calendar day that is maintained for > 1 hour. †Daily minimum PEEP values of 0-5 cmH2O are considered equivalent for the purposes of VAE surveillance.

Meeting the VAC Definition

- Use the daily minimum FiO₂ and PEEP values when assessing for both the period of stability or improvement and the period that indicates worsening oxygenation.
- Do not compare values that occur within a calendar day to determine stability, improvement, or worsening.
- The baseline period and the evidence of worsening oxygenation must occur in the same parameter
- Each parameter is assessed independently of the other VAC may be met in the FiO₂ parameter, or in the PEEP parameter, or in both parameters

Meeting VAC – Examples Using the VAE Calculator



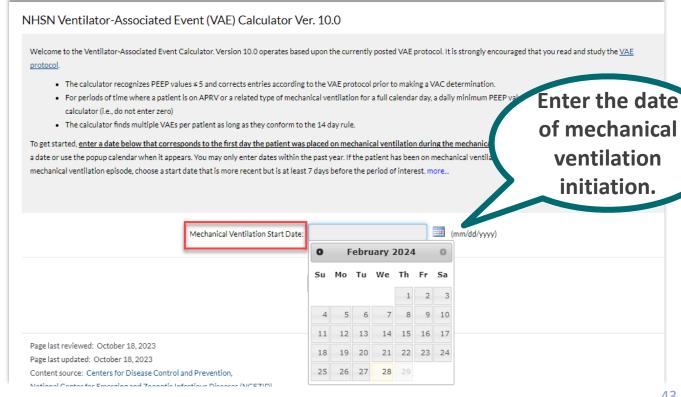
Date of Mechanical Ventilation Initiation

 Actual date of mechanical ventilation initiation, not the date of admission to the facility

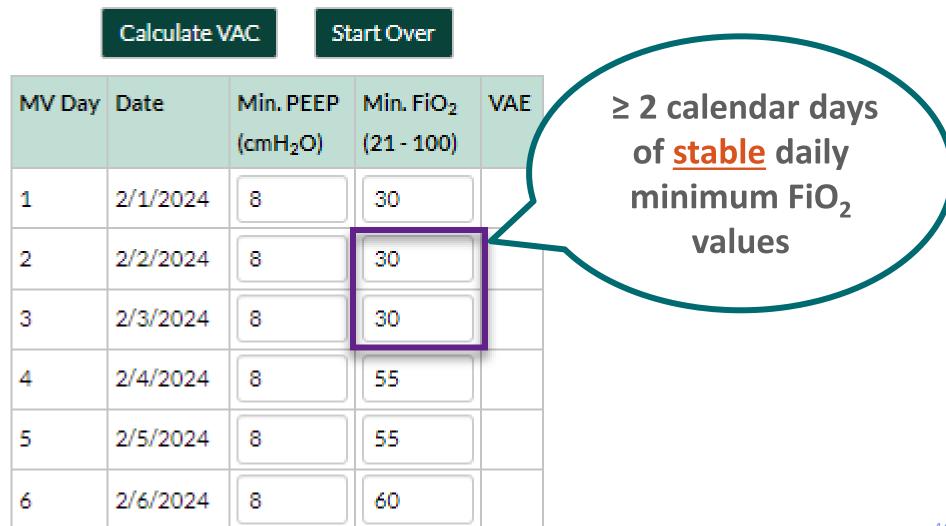
Estimate of the actual date of mechanical ventilation initiation can be used if

needed

Only if the actual date or an estimate of the actual date cannot be determined will the date of mechanical ventilation initiation default to the date of admission to the facility



Meeting VAC – Baseline Period of Stability



Meeting VAC – Baseline Period of Improvement

Calculate VAC

Start Over

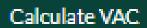
MV Day	Date	Min. PEEP (cmH ₂ O)	Min. FiO ₂ (21 - 100)	VAE
1	2/1/2024	8	35	
2	2/2/2024	8	35	
3	2/3/2024	8	30	
4	2/4/2024	8	55	
5	2/5/2024	8	55	
6	2/6/2024	8	60	

≥ 2 calendar days of improving daily minimum FiO₂ values

Meeting VAC

2-day period of stability (PEEP or FiO2)

2-day period of worsening (PEEP parameter)

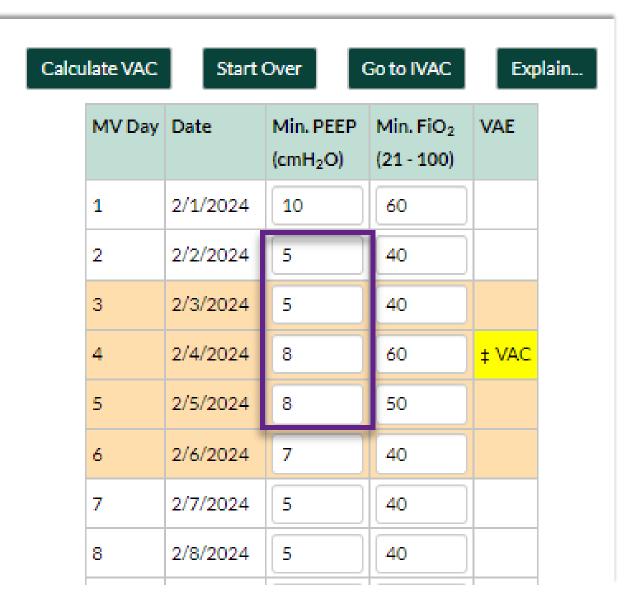


Start Over

Date	Min. PEEP (cmH ₂ O)	Min. FiO ₂ (21 - 100)	VAE
2/1/2024	10	60	
2/2/2024	5	40	
2/3/2024	5	40	
2/4/2024	8	60	
2/5/2024	8	50	
2/6/2024	7	40	
2/7/2024	5	40	
2/8/2024	5	40	
	2/1/2024 2/2/2024 2/3/2024 2/4/2024 2/5/2024 2/6/2024 2/7/2024	(cmH2O) 2/1/2024 10 2/2/2024 5 2/3/2024 5 2/4/2024 8 2/5/2024 8 2/6/2024 7 2/7/2024 5	(cmH2O) (21-100) 2/1/2024 10 60 2/2/2024 5 40 2/3/2024 5 40 2/4/2024 8 60 2/5/2024 8 50 2/6/2024 7 40 2/7/2024 5 40

Meeting VAC

- VAC is met in the PEEP parameter
 - Baseline period of ≥ 2 calendar days of stable daily minimum PEEP values
 - Increase in daily minimum
 PEEP values of ≥ 3 cmH₂O over
 the daily minimum PEEP of the
 first day in the baseline period



Meeting VAC

- VAC is NOT met in the FiO₂ parameter
 - Baseline period of ≥ 2 calendar days of improving daily minimum PEEP values
 - However, the increase in daily minimum FiO₂ values of ≥ 0.20 (20 points) over the daily minimum FiO₂ of the <u>first day</u> in the baseline period is NOT sustained at the required threshold for at least 2 days (50 on MV day 5).

Calculate VAC

Start Over

MV Day	Date	Min. PEEP (cmH ₂ O)	Min. FiO ₂ (21 - 100)	VAE
1	2/1/2024	10	60	
2	2/2/2024	5	40	
3	2/3/2024	5	40	
4	2/4/2024	8	60	
5	2/5/2024	8	50	
6	2/6/2024	7	40	
7	2/7/2024	5	40	
8	2/8/2024	5	40	
				/1.0

NHSN Ventilator-Associated Event (VAE) Calculator Ver. 9.0

Meeting VAC - cont.

No VAE detected. Click on the "Explain" button to see an explanation of the VAC definition.

- VAC is NOT met in the PEEP parameter
 - Baseline period of ≥ 2 calendar days of improving daily minimum PEEP values
 - Increase in daily minimum PEEP values does not meet worsening oxygenation criteria of ≥ 3 cmH₂O over the daily minimum PEEP of the first day in the baseline period.



MV Day	Date	Min. PEEP (cmH ₂ O)	Min. FiO ₂ (21 - 100)	VAE
1	2/1/2024	10		
2	2/2/2024	7		
3	2/3/2024	5		
4	2/4/2024	8		
5	2/5/2024	8		
6	2/6/2024	7		
7	2/7/2024	5		
8	2/8/2024	5		

NHSN Ventilator-Associated Event (VAE) Calculator Ver. 9.0

Meeting VAC - cont.

- VAC is met in the PEEP parameter
 - Baseline period of ≥ 2
 calendar days of stable daily
 minimum PEEP values
 - Increase in daily minimum
 PEEP values of ≥ 3 cmH₂O over
 the daily minimum PEEP of
 the <u>first day</u> in the baseline
 period is maintained for at
 least 2 days

A Ventilator-Associated Condition (VAC) based on PEEP values occurred on 2/4/2023

Click on the Go To IVAC button to move to the next part of the protocol or click on the "Explain" button to see how this determination was made.

Calculate VAC Start Over Go to IVAC Explain...

MV Day	Date	Min. PEEP (cmH ₂ O)	Min. FiO ₂ (21 - 100)	VAE
1	2/1/2024	10		
2	2/2/2024	5		
3	2/3/2024	5		
4	2/4/2024	10		‡ VAC
5	2/5/2024	8		
6	2/6/2024	7		
7	2/7/2024	5		
8	2/8/2024	5		

Date of Event

- The date of onset of worsening oxygenation (day 1 of the required ≥ 2-day period of worsening oxygenation following a ≥ 2-day period of stability or improvement on the ventilator).
 - It is <u>not</u> the date on which all VAE criteria are met.
 - It is <u>not</u> the date of the first day of the baseline period.
- Earliest date of event for VAE is mechanical ventilation day 3 (first day of worsening oxygenation)
- First possible day that VAC criteria can be <u>fulfilled</u> is mechanical ventilation day 4

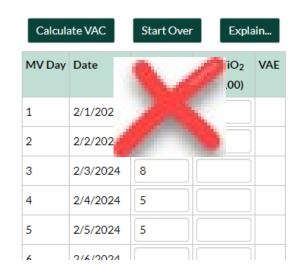
Back to the VAE calculator!

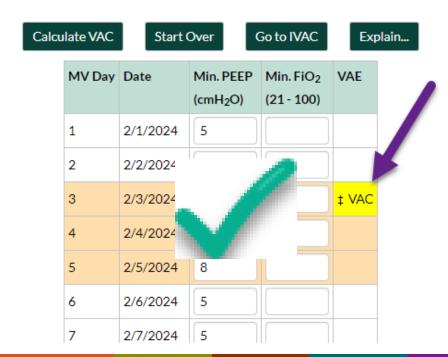
While the earliest date VAE can be met is MV day 3, the first two days of ventilator settings can still be used to establish the baseline period of stability or improvement. DMV for MV days 1 and 2 should included when assessing for VAE:

MV Day	1	2	3	4	5	6	7
PEEP	5	5	8	8	8	5	5

NHSN Ventilator-Associated Event (VAE) Calculator Ver. 10.0







Date of Event = Vent Day 4

(first day of worsening

oxygenation)



Calculate VAC

Start Over

Go to IVAC

Explain...

MV Day	Date	Min. PEEP (cmH ₂ O)	Min. FiO ₂ (21 - 100)	VAE
1	2/1/2024	10		
2	2/2/2024	5		
3	2/3/2024	5		
4	2/4/2024	10		‡ VAC
5	2/5/2024	8		
6	2/6/2024	7		
7	2/7/2024	5		
8	2/8/2024	5		

Why is the Date of Event Important?

- Defines the VAE Window Period
 - Period during which criteria for other events—IVAC, PVAP—must be met
- Sets the VAE 14-day Event Period
 - Day 1 is the Date of Event—so if June 1 is date of onset of worsening oxygenation and a
 VAC is reported, a second VAE cannot be detected and reported until June 15.
 - May not "upgrade" a VAE based on data collected outside the VAE Window Period but within the 14-day event period.
 - May not report a new VAE until that 14-day period has elapsed (keep in mind that 14-day period is event date to event date—so baseline period can occur during previous event period).
 - Blood cultures must be collected within the 14-day event period for a BSI to be secondary to VAE

VAE Window Period

 This is the period of days around the Date of Event (specifically, the day of onset of worsening oxygenation) within which other VAE criteria must be met.

It is usually a 5-day period and includes the 2 days before, the day of, and the 2 days after the VAE date of event.

Date of Event 2 days before Date of **VAE Window Period** 2 days after Date of Event **Event MV** Day 11 12 13 14 15 16 10 Day 2 of Day 1 of Day 2 of Day 1 of stability or **Worsening oxygenation** Stability or worsening worsening improvement improvement oxygenation oxygenation **Temperature or WBC** ←Documented within this shaded period→ abnormality ←Started on within this shaded period, and then continued for at least 4 **Antimicrobial agent** $QADs \rightarrow$ **Purulent respiratory** secretions, positive ←Collected within this shaded period→ culture, positive histopathology

VAE Window Period - cont.



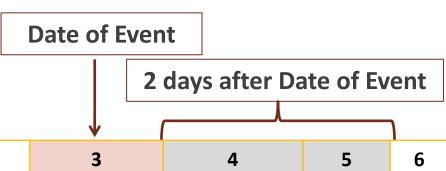
MV Day	Date	Min. PEEP (cmH ₂ O)	Min. FiO ₂ (21 - 100)	VAE
1	2/1/2024	5		
2	2/2/2024	5		
3	2/3/2024	5		
4	2/4/2024	5		
5	2/5/2024	5		
6	2/6/2024	5		
7	2/7/2024	8		‡ VAC
8	2/8/2024	8		
9	2/9/2024	8		
10	2/10/2024	5		
11	2/11/2024			

VAE Window Period: Important Note

- There is an exception in which the VAE Window Period is only 3 or 4 days
- In cases where the VAE event date corresponds to MV day 3 or day 4, the VAE Window Period may only be a 3-day or a 4-day window, because it can NOT include any days before the 3rd day of MV.
 - If the VAE event date is MV day 3, then the window period includes only the day of VAE onset and the 2 days after VAE onset (because the 2 days before VAE onset are before the 3rd day of MV).
 - If the VAE event date is MV day 4, then the window period includes only the day before, the day of, and the 2 days after the day of VAE onset

Exception to VAE Window Period

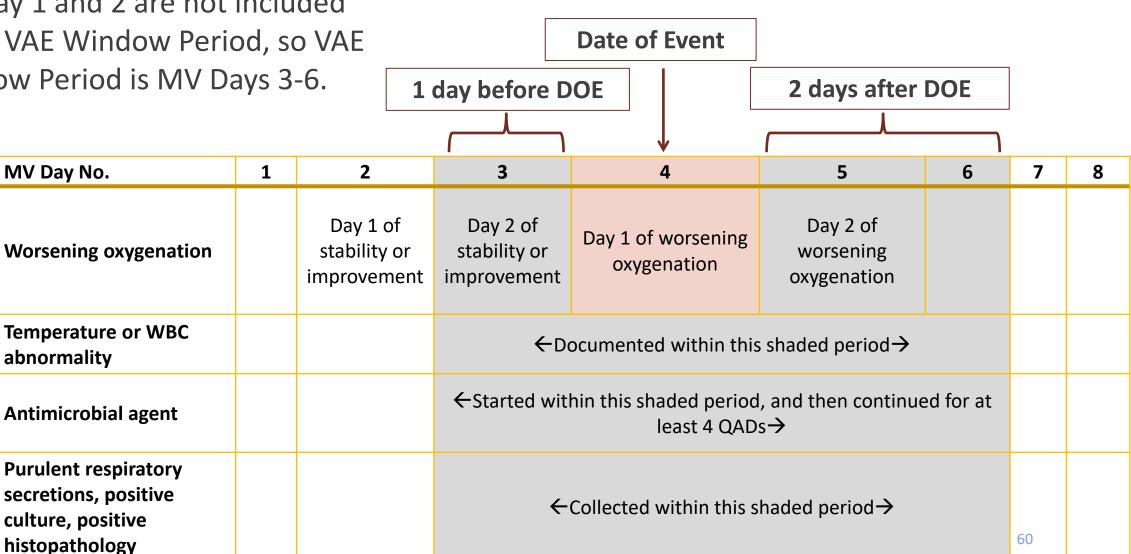
Date of Event is MV day 3. MV Day 1 and 2 are not included in the VAE Window Period, so VAE Window Period is MV Days 3-5.



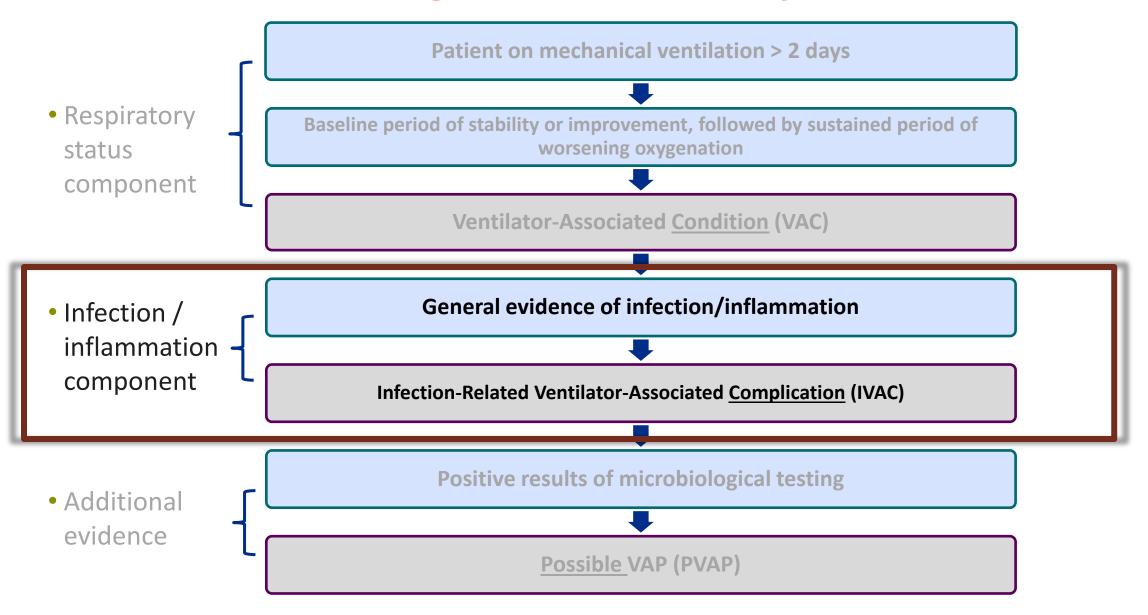
MV Day No.	1	2	3 4 5				7
Worsening oxygenation	Day 1 of Stability or improvement	Day 2 of stability or improvement	Day 1 of worsening oxygenation	Day 2 of worsening oxygenation			
Temperature or WBC abnormality			←Documer				
Antimicrobial agent			←Started wi and then c				
Purulent respiratory secretions, positive culture, positive histopathology			QADs→ ←Collected within this shaded period→				

Exception to VAE Window Period - cont.

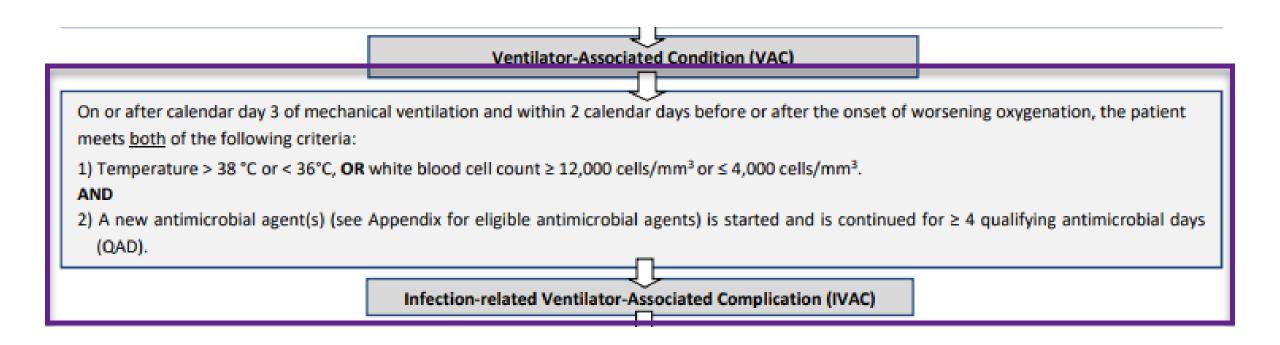
- Date of Event (DOE) is MV day 4.
- MV Day 1 and 2 are not included in the VAE Window Period, so VAE Window Period is MV Days 3-6.



VAE Definition Algorithm Summary - cont.



Tier 2: IVAC



Temperature and White Blood Cell (WBC) Count

As long as there is an abnormal temperature (> 38 °C or < 36°C) <u>OR</u> abnormal WBC count (≥ 12,000 or ≤ 4,000 cells/mm3) documented during the VAE Window Period, it should be used in determining whether the patient meets the IVAC definition, <u>regardless</u> of whether an abnormal temperature or abnormal WBC count was also present on admission or outside the VAE Window Period.

Look for Abnormal Temperature or Abnormal WBC Count During VAE Window Period

Vent Day	PEEP min	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	Abx	Spec	Polys /Epis	Org
1	10	60								
2	5	40								
3	5	40	35.8	37.6	7.1	10.3				
4	5	60	36.1	39.2	3.6	4.2				
5	8	50	38.4	38.9	12.6	15.9				
6	8	40	36.5	37.8	11.1	13.6				
7	8	40	36.6	37.7	5.6	8.0				
8	5	40								

What is a "New" Antimicrobial Agent:

- New antimicrobial agent: Defined as any agent listed in the protocol
 <u>Appendix</u> that is <u>initiated</u> on or after the third calendar day of mechanical
 ventilation AND in the VAE Window Period
 - The agent is considered "new" if it was NOT given to the patient on either of the
 2 days preceding the current start date
 - The new agent must be <u>administered</u> IV, IM, via digestive tract, or via respiratory tract
 - A new agent must be continued for ≥ 4 qualifying antimicrobial days

Qualifying Antimicrobial Days (QAD)

- QAD is a day on which the patient was <u>administered</u> an antimicrobial agent that was determined to be "new" within the VAE Window Period.
- Four consecutive QADs are needed to meet the IVAC antimicrobial criterion
 - Days between administrations of a new antimicrobial agent also count as QADs as long as there is a gap of no more than 1 calendar day between administrations
 - There is no requirement that the <u>same</u> antimicrobial agent be given on the 4 qualifying antimicrobial days
 - QADs can accrue outside the VAE Window Period

Date of Initiation of Antimicrobial Agent is Important

NHSN Ventilator-Associated Event (VAE) Calculator Ver. 10.0

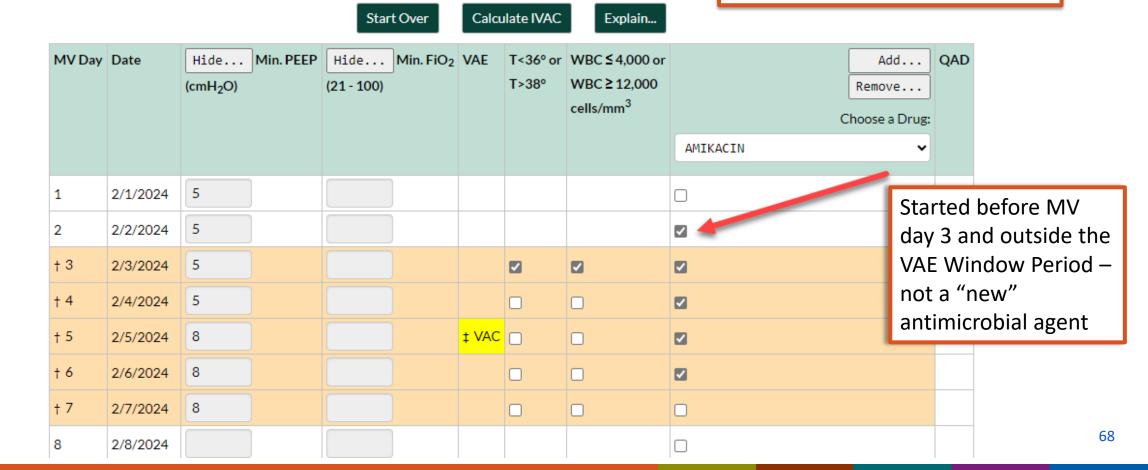
Now that a VAC determination has been made, enter yes (check) or no (leave box unchecked) if the patient has had a temperature > 38° C or < 36° C or a WBC $\geq 12,000$ cells/mm³ or $\leq 4,000$ cells/mm³ within the VAE Window Period. Choose a drug from the drop down list and check all the corresponding days shown on the screen that the agent was administered. If more than one drug was given over the course of treatment, click on the "Add..." button in the drug column header and do the same. Once all data have been entered, click the "Calculate IVAC" button.

				Star	t Over	Calcu	ılate IVAC	Explain			
MV Day	Date	(cmH ₂ O)	Min. PEEP	Hide (21 - 100)	Min. FiO ₂	VAE	T<36° or T>38°	WBC ≤ 4,000 or WBC ≥ 12,000 cells/mm ³	hoose a Drug	Add Remove Choose a Drug:	QAD
1	2/1/2024	5									
2	2/2/2024	5									
† 3	2/3/2024	5									
† 4	2/4/2024	8				‡ VAC					
† 5	2/5/2024	8									
† 6	2/6/2024	8									
7	2/7/2024										

No QADs – VAC Determination

No IVACs were found for this patient. You should report the event as a VAC. Click on the "Explain..." button for an explanation of ho

NEW: Initiated on or after the third calendar day of mechanical ventilation and in the VAE Window Period



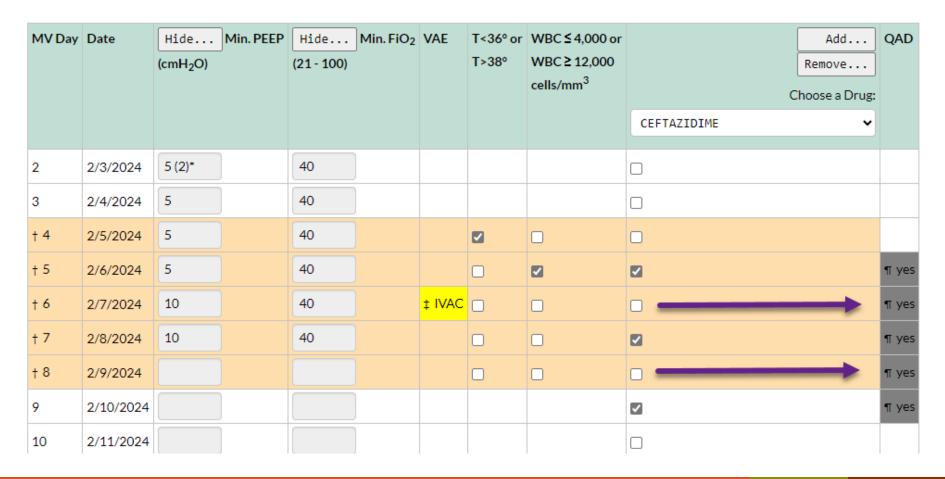
Qualifying Antimicrobial Days

Hide... Min. PEEP MV Day Date Hide... Min. FiO₂ VAE T<36° or WBC ≤ 4,000 or T>38° WBC ≥ 12,000 (cmH₂O)(21 - 100)cells/mm³ Choose a Drug: CEFTAZIDIME 2/3/2024 5 (2)* 40 2/4/2024 40 † 4 2/5/2024 40 † 5 2/6/2024 40 ‡ IVAC 🗆 † 6 2/7/2024 10 40 † 7 2/8/2024 10 40 \checkmark ¶ yes † 8 2/9/2024 \checkmark ¶ yes 2/10/2024 9 \checkmark ¶ yes 10 2/11/2024 \checkmark ¶ yes 11 2/12/2024 12 2/13/2024

NEW: Initiated on or after the third calendar day of mechanical ventilation and in the VAE Window Period

QADs: Same Agent

 Days between administrations of the <u>SAME</u> new antimicrobial agent also count as QADs as long as there is a gap of <u>no more than 1 calendar day</u> between administrations of the <u>same drug</u>.



 Ceftazidime is administered on MV days 5,
 7, 9 but not MV days 6 and 8.
 This represents
 5 consecutive
 QADs.

QADs: Different Agents

The requirement for 4 QADs can be met with multiple antimicrobial agents,
 as long as each antimicrobial agent was determined to be new.

MV Day	Date	Hide Min. PEEP (cmH ₂ O)	Hide Min. FiO ₂ (21-100)	VAE	T<36° or T>38°	WBC ≤ 4,000 or WBC ≥ 12,000	Add Remove Choose a Drug:		QAD
						cells/mm ³	CEFTAZIDINE	MEROPENEM	
2	2/3/2024	5 (2)*	40						
3	2/4/2024	5	40						
† 4	2/5/2024	5	40						¶ yes
† 5	2/6/2024	5	40						¶ yes
† 6	2/7/2024	10	40	‡ IVAC					¶ yes
† 7	2/8/2024	10	40						¶ yes
† 8	2/9/2024								¶ yes
9	2/10/2024								¶ yes
10	2/11/2024								
11	2/12/2024								
12	2/13/2024								71

QADs: Different Agents - cont.

 Days between administration of <u>DIFFERENT</u> antimicrobial agents do NOT count as QADs Ceftazidime is administered MV days 4 and 5, and there is a gap on MV day 6 between different agents. Meropenem is administered MV days 7-9.

MV day 6 does not count as a QAD.
 Therefore, the 4 QAD criterion is NOT met.

MV	Date	Hide Min.	Hide Min.	VAE	T<36°	WBC ≤ 4,000			,		
Day		PEEP	FiO ₂		or	or		Remove		Remove	
		(cmH ₂ O)	(21 - 100)		T>38°	WBC ≥ 12,000 cells/mm ³		Choose a Drug:		Choose a Drug:	
						Cells/IIIII1	CEFTAZIDIME	~	MEROPENEM	~	
2	2/3/2024	5 (2)*	40								
3	2/4/2024	5	40				0				
† 4	2/5/2024	5	40								¶ yes
† 5	2/6/2024	5	40								¶ yes
† 6	2/7/2024	10	40	‡ VAC						\rightarrow	
† 7	2/8/2024	10	40								¶ yes
† 8	2/9/2024										¶ yes
9	2/10/2024										¶ yes
10	2/11/2024										
11	2/12/2024										
12	2/13/2024										72

FAQ: Do you count an antimicrobial agent as "new" if it is new as a result of de-escalation or simply a switch from one agent to another in the same drug class?

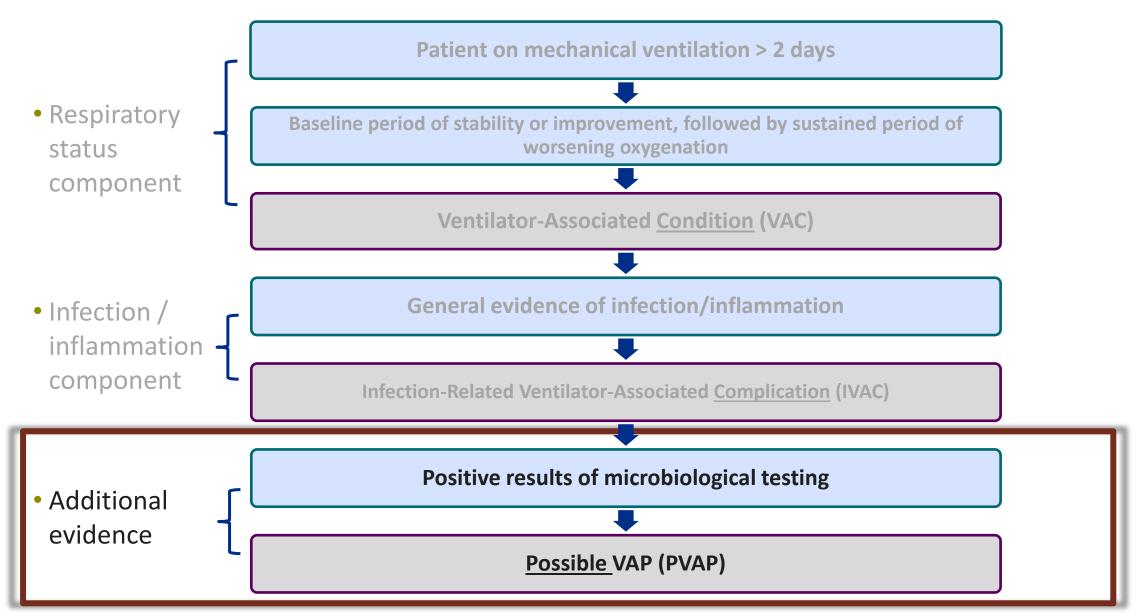
Yes

To avoid additional substantial complexity, there are not rules or exceptions for changes that represent narrowing of spectrum/deescalation, switches to other agents in the same class, etc. These kinds of situations are very difficult to operationalize in a way that is understandable, standardized, and implementable by any facility that might decide to do VAE surveillance.

IVAC and Antimicrobial Agents

- Meeting the Infection-related Ventilator-Associated Complication (IVAC)
 definition does not mean that the "infection related" event is necessarily
 respiratory in origin.
- The IVAC antimicrobial list was refined by removing selected antimicrobial agents that would not be used, or would be unlikely to be used, in treating a lower respiratory infection in a critically ill patient.
 - It is still possible that an existing agent may have dual purposes and not necessarily be treating a respiratory infection.
- No need to discern the reason for the administration of the antimicrobial.
 - Prophylaxis, de-escalation, change within a class of antimicrobials, etc. is not a reason for exclusion

VAE Definition Algorithm Summary - cont'd.



Tier 3: PVAP

On or after calendar day 3 of mechanical ventilation and within 2 calendar days before or after the onset of worsening oxygenation, ONE of the following criteria is met (taking into account organism exclusions specified in the protocol):

- Criterion 1: Positive culture of one of the following specimens, meeting quantitative or semi-quantitative thresholds[†] as outlined in protocol, <u>without</u> requirement for purulent respiratory secretions:
 - Endotracheal aspirate, ≥ 10⁵ CFU/ml or corresponding semi-quantitative result
 - Bronchoalveolar lavage, ≥ 10⁴ CFU/ml or corresponding semi-quantitative result
 - Lung tissue, ≥ 10⁴ CFU/g or corresponding semi-quantitative result
 - Protected specimen brush, ≥ 10³ CFU/ml or corresponding semi-quantitative result
- 2) Criterion 2: Purulent respiratory secretions (defined as secretions from the lungs, bronchi, or trachea that contain ≥ 25 neutrophils and ≤ 10 squamous epithelial cells per low power field [lpf, x100])[†] PLUS organism identified from one of the following specimens (to include qualitative culture, or quantitative/semi-quantitative culture without sufficient growth to meet Criterion #1):
 - Sputum
 - Endotracheal aspirate
 - Bronchoalveolar lavage
 - Lung tissue
 - · Protected specimen brush
- 3) Criterion 3: One of the following positive tests:
 - Organism identified from pleural fluid (where specimen was obtained during thoracentesis or within 24 hours of chest tube
 placement; pleural fluid specimens collected after a chest tube is repositioned or from a chest tube in place > 24 hours are not
 eligible for PVAP)
 - Lung histopathology, defined as: 1) abscess formation or foci of consolidation with intense neutrophil accumulation in bronchioles and alveoli; 2) evidence of lung parenchyma invasion by fungi (hyphae, pseudohyphae, or yeast forms); 3) evidence of infection with the viral pathogens listed below based on results of immunohistochemical assays, cytology, or microscopy performed on lung tissue
 - Diagnostic test for Legionella species
 - Diagnostic test on respiratory secretions for influenza virus, respiratory syncytial virus, adenovirus, parainfluenza virus, rhinovirus, human metapneumovirus, coronavirus

[†] If the laboratory reports semi-quantitative results, those results must correspond to the quantitative thresholds. Refer to Table 2 and 3.

PVAP – Criterion 1

Positive culture of one of the following specimens, meeting quantitative <u>or</u> semi-quantitative thresholds as outlined in protocol, <u>without</u> requirement for purulent respiratory secretions:

- Endotracheal aspirate (ETA), ≥ 10⁵ CFU/ml or corresponding semi-quantitative result
- Bronchoalveolar lavage (BAL), ≥ 10⁴ CFU/ml or corresponding semi-quantitative result
- Lung tissue, ≥ 10⁴ CFU/g or corresponding semi-quantitative result
- Protected specimen brush (PSB), ≥ 10³ CFU/ml or corresponding semiquantitative result

How do I relate my lab's semi-quantitative culture result reporting to the quantitative thresholds in the algorithm?

- Ask your laboratory manager/director they may be able to provide guidance
- If your laboratory does not have this information:
 - For the purposes of VAE surveillance, a semi-quantitative result of "moderate" "many" "numerous" or "heavy" growth, or 2+, 3+ or 4+ growth, meets the PVAP definition (Criterion 1).
- See FAQ no. 24 in the VAE Protocol

PVAP – Criterion 2

Purulent respiratory secretions (defined as secretions from the lungs, bronchi, or trachea that contain \geq 25 neutrophils and \leq 10 squamous epithelial cells per low power field [lpf, x100])

AND

A positive culture of one of the following specimens (qualitative culture, or quantitative/semi-quantitative culture without sufficient growth to meet Criterion #1):

- Sputum
- Endotracheal aspirate
- Bronchoalveolar lavage
- Lung tissue
- Protected specimen brush

What if my laboratory reports Gram stain / direct exam results in a manner that does not quantitate neutrophils and squamous epithelial cells as the definition is written?

- Check with the laboratory for direction in interpreting your facility's reporting method
- If your laboratory cannot provide guidance on how to correlate your facility's reporting method to the purulent respiratory secretions quantitative definition, refer to **Table 2** or **FAQ no. 19** in the VAE protocol

Table 2

Some clinical laboratories use different result reporting formats for respiratory secretion direct examination results.

Table 2: Instructions for using the purulent respiratory secretions criterion, based on laboratory reporting of respiratory secretion direct examination results.

How do I use the purulent respiratory secretions criterion if	Instruction
My laboratory reports counts of "white blood cells" or "polymorphonuclear leukocytes" or "leukocytes" rather than counts of "neutrophils"?	Assume that counts of cells identified by these other descriptors (for example, "white blood cells") are equivalent to counts of neutrophils, unless the laboratory tells you this is not the case.
My laboratory reports semi-quantitative results (not quantitative results) for numbers of neutrophils and squamous epithelial cells?	Check with the laboratory to get information about what quantitative ranges the semi- quantitative reports correspond to.
My laboratory cannot provide additional information on how its semi-quantitative reporting corresponds to quantitative reporting ranges for neutrophils and squamous epithelial cells?	Use the following direct examination results to meet the purulent respiratory secretions criterion: many, heavy, numerous, 4+, or \geq 25 neutrophils per low power field (lpf) [x100], AND no, rare, occasional, few, 1+ or 2+, or \leq 10 squamous epithelial cells per lpf [x100] [20].
My laboratory reports <u>only</u> the numbers of neutrophils present, without reporting the number of squamous epithelial cells?	In this situation, the purulent secretions criterion may be met using the specified quantitative and semi-quantitative thresholds for neutrophils alone (specifically many, heavy, numerous, 4+, or ≥ 25 neutrophils per lpf [x100]).
My laboratory uses different reporting thresholds for neutrophils and squamous epithelial cells (for example, maximum report of ≥ 20 neutrophils per low power field [x100], or minimum report of ≤ 15 squamous epithelial cells per low power field [x100])?	In this situation, the purulent secretions criterion may be met using the laboratory's specified maximum quantitative threshold for neutrophils, and/or minimum quantitative threshold for squamous epithelial cells.
My laboratory processes respiratory specimens such as bronchoalveolar lavage fluid using a centrifugation procedure (for example, "cytospin"), and there is no quantitation or semi-quantitation of neutrophils or white blood cells in the direct examination report?	In this situation, a report indicating the presence of white blood cells, without quantitation, is sufficient to meet the purulent secretions criterion.

PVAP – Criterion 3

One of the following positive tests:

- Organism identified from pleural fluid (where specimen was obtained during thoracentesis or within 24 hours of chest tube placement; pleural fluid specimens collected after a chest tube is repositioned or from a chest tube in place > 24 hours are not eligible for PVAP)
- Lung histopathology, defined as:
 - 1. abscess formation or foci of consolidation with intense neutrophil accumulation in bronchioles and alveoli
 - 2. evidence of lung parenchyma invasion by fungi (hyphae, pseudo hyphae or yeast forms)
 - 3. evidence of infection with the viral pathogens listed below based on results of immunohistochemical assays, cytology, or microscopy performed on lung tissue

PVAP – Criterion 3 (continued)

One of the following positive tests:

- Diagnostic test for Legionella species
- Diagnostic test on respiratory secretions for influenza virus, respiratory syncytial virus, adenovirus, parainfluenza virus, rhinovirus, human metapneumovirus, coronavirus

Pathogen Reporting

- Pathogens may only be reported for PVAP events
 - Exception: excluded pathogens (see next slide)
- Pathogens are not reported for VAC or for IVAC

Pathogen Exclusions

- "Normal respiratory flora," "normal oral flora," "mixed respiratory flora," "mixed oral flora," "altered oral flora" or other similar results indicating isolation of commensal flora of the oral cavity or upper respiratory tract
- Candida species or yeast not otherwise specified, coagulase-negative
 Staphylococcus species, and Enterococcus species only available for use as
 PVAP pathogens when isolated from lung tissue or pleural fluid
 - Cannot be used to meet PVAP definition when identified from sputum, endotracheal aspirates, bronchoalveolar lavage, or protected specimen brushings

What if I have a BAL culture report similar to this:

"Normal Flora with many *Pseudomonas aeruginosa* and moderate *Candida* species"

Can I use this report to meet Criterion 1 of the PVAP definition?

Yes

- An eligible pathogen accompanied by an ineligible pathogen may be used to satisfy the PVAP criteria.
- Note the report is not a quantitative report; however, the "Many" quantity is acceptable as a semi-quantitative equivalent.

What if a pathogen is identified outside the VAE Window Period and then during the VAE Window Period the same pathogen is identified again. Can I use that pathogen identification to meet a PVAP criterion?

Yes

• It does not matter if the patient had previous positive cultures for certain organisms—if an eligible pathogen is recovered from an eligible specimen with a collection date during the VAE window period, it should be used in determining if PVAP is met.

Positive Quantitative or Semi-Quantitative* ETA Culture (meeting specified threshold)

Vent Day	PEEPm in	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	QAD	Spec	Polys /Epis	Org	
1	10	60									
2	5	40					None				
3	5	40	36.9	37.6	12.1	12.1	None	ETA		10 ⁵ CFU/ml S. aureus	
4	8	60	38.1	39.2	14.5	16.8	Yes				
5	8	50	38.4	38.9	12.6	15.9	Yes		PVAP (Criterion met.	1 1 is
6	7	40	36.5	37.8	11.1	13.6	Yes				
7	5	40					Yes				

^{*}semi-quantitative result of "moderate" "many" "numerous" or "heavy" growth, or 2+, 3+ or 4+ growth (in a culture of lung tissue, BAL, PSB, or ETA) meets the PVAP surveillance definition.

Meeting PVAP Criterion 2

Vent Day	PEEPm in	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	QAD	Spec	Polys/ Epis	Org	
1	10	60 P	urulent ı culture	respirato e positive	_						
2	5	40		ng the sp		-			y v	Y	1
3	5	40	36.9	37.6	12.1	12.1	None	ETA	>25/ <10	S. aureus	
4	8	60	38.1	39.2	14.5	16.8	Yes	PV	AP Cri	terion 2	is
5	8	50	38.4	38.9	12.6	15.9	Yes		m	et.	
6	7	40	36.5	37.8	11.1	13.6	Yes				
7	5	40					Yes				
8	5	40									

Meeting PVAP Criterion 3

Vent Day	PEEPm in	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	QAD	Spec	Polys/ Epis	Org	
1	10	60		 Positive		_	•	.	/,		
2	5	40	L	Le	gionella 	or viral	test resu	ılt			
3	5	40	36.9	37.6	12.1	12.1	None	Pleural Fluid		Candida albicans	
4	8	60	38.1	39.2	14.5	16.8	Yes				
5	8	50	38.4	38.9	12.6	15.9	Yes	PVA	P Crite	rion 3 is	met.
6	7	40	36.5	37.8	11.1	13.6	Yes				
7	5	40					Yes				
8	5	40									

What about positive blood cultures that occur around the same time as a VAE?

- Secondary BSIs are not reported for VAC or IVAC
- Secondary BSI may only be reported for PVAP
 - When at least one eligible organism from the blood culture specimen <u>matches</u> an eligible organism from an appropriate respiratory tract specimen collected during the VAE Window Period
 - When the blood culture was collected within the 14-day event period (VAE Date of Event is Day 1 of the 14-day event period)
- Secondary BSI may not be reported for PVAP:
 - In cases where PVAP is met with only the histopathology criterion and no culture or nonculture-based testing is performed on an eligible respiratory specimen
 - In cases where a culture or non-culture-based testing of respiratory secretions, pleural fluid, or lung tissue is performed and does not identify an organism that matches an organism identified from blood

Location of Attribution

The inpatient location where the patient was assigned on the date of the VAE (date of onset of worsening oxygenation).

Transfer Rule

the day of transfer or the day following transfer from one inpatient location to another in the same facility or to a new facility, the event is attributed to the transferring location.

Q23. How does one use ventilator data obtained in pre-hospital or Emergency Department (ED) settings, or in other transferring units within the same hospital, or in transferring hospitals, when making VAE determinations?

See Scenarios A through E below.

Scenario A:

Patient is intubated by the EMS in the field or is intubated in the ED. FiO2 and PEEP data are available from the time the patient spent in the ED, prior to the patient being transferred to the ICU as an inpatient. Should I use the pre-hospital/ED ventilator data when making my VAE determinations for that patient?

No. Ventilator data that is obtained from patients in the Emergency Department or other pre-hospital/pre-inpatient locations should not be included in VAE surveillance. Therefore, VAE surveillance begins for patients who are intubated in the pre-hospital or ED setting upon transfer to an inpatient location where VAE surveillance is being conducted. Day 1 of ventilator data consists of data collected during the first calendar day of inpatient care.

Scenario B:

Patient is intubated and mechanically ventilated in an inpatient unit where VAE surveillance is not occurring. The patient is transferred to another inpatient unit in the same hospital where VAE surveillance is occurring. Do I use ventilator data from the transferring unit, even though VAE surveillance was not occurring in that unit?

Transfer Rule Scenario Examples can be found in VAE FAQ #23:

https://www.cdc.gov/nhsn/faq
s/faq-vae.html

same hospital, and since ventilator data from that transferring unit k 2 calendar days prior to transfer and utilize minimum daily PEEP and ether a VAE has occurred during the first 2 days in the receiving unit. If 1 or 2 in the receiving unit, that VAE would be attributable to the the transferring unit was not doing VAE surveillance).

inpatient unit where VAE surveillance IS occurring. The patient is pital where VAE surveillance is also occurring. Do I use ventilator data

re both participating in VAE surveillance, surveillance should continue patient had a VAE in the transferring unit on August 1, and was AE could not be detected in the receiving unit until the 14-day event 5 in this case).

cenario D:

Patient is intubated and mechanically ventilated in another hospital or healthcare facility and then transferred to my facility. It is unknown whether the transferring facility was performing VAE surveillance or not. Should I use ventilator data from the transferring facility (if available) when making my VAE determinations?

Transfer from MICU to SICU on MV Day 2, Date of Event is MV Day 4 - therefore VAE is Attributed to SICU (more than one day since transfer)

Vent Day	Location	PEEP min	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	Abx	Spec	Poly/E pis	Org
1	MICU	10	60								
2	MICU to SICU	5	40					None			
3	SICU	5	40	36.9	37.6	12.1	12.1	None	Pleural Fluid		Candida albicans
4	SICU	8	60	38.1	39.2	14.5	16.8	Yes			
5	SICU	8	50	38.4	38.9	12.6	15.9	Yes			
6	SICU	7	40	36.5	37.8	11.1	13.6	Yes			
7	SICU	5	40					Yes			
8	SICU	5	40								

Transfer from MICU to SICU on MV Day 3, Date of Event is MV Day 4 - therefore VAE is Attributed to MICU (within 2 days of transfer)

Vent Day	Location	PEEP min	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	Abx	Spec	Poly/Ep is	Org
1	MICU	10	60								
2	MICU	5	40					None			
3	MICU to SICU	5	40	36.9	37.6	12.1	12.1	None	Pleural Fluid		Candida albicans
4	SICU	8	60	38.1	39.2	14.5	16.8	Yes			
5	SICU	8	50	38.4	38.9	12.6	15.9	Yes			
6	SICU	7	40	36.5	37.8	11.1	13.6	Yes			
7	SICU	5	40					Yes			
8	SICU	5	40								

Tips for VAE Surveillance and Reporting

VAE Resources

- Familiarize yourself with the VAE web page: https://www.cdc.gov/nhsn/psc/vae/index.html
 - Review the Supporting Materials section
- Read the protocol: https://www.cdc.gov/nhsn/pdfs/pscmanual/10-vae_final.pdf
- Review the FAQs
 - Protocol FAQs starting on page 10-28
 - FAQs found on the VAE web page: https://www.cdc.gov/nhsn/faqs/faq-vae.html
- VAE training resources: https://www.cdc.gov/nhsn/training/patient-safety-component/vae.html
- Use the VAE Calculator: https://www.cdc.gov/nhsn/vae-calculator/index.html

VAE Reporting – Event Data

- When conducting in-plan reporting (selected in your monthly reporting plan) you must report <u>all events</u> detected and <u>at the highest level</u> of the algorithm that is met.
- Assess patients for ALL events: VAC, IVAC, and PVAP
- Hierarchy of definitions:
 - If a patient meets VAC only, report as VAC
 - If a patient meets criteria for VAC and IVAC, report as IVAC only
 - If a patient meets criteria for VAC, IVAC and PVAP, report PVAP only
- Review the VAE Event Form and Table of Instructions on the VAE webpage

Steps in Monitoring for a VAE

- For every patient receiving mechanical ventilation, determine daily minimum FiO₂
 and PEEP values from the documented ventilator settings
 - https://www.cdc.gov/nhsn/pdfs/vae/VAE_DataCollectionWorksheet_FINAL.pdf
- For patients meeting VAC, determine Date of Event and set VAE Window Period
 - Review medical record for qualifying temperature and WBC counts within VAE window period
 - If temperature/WBC count parameter is met, review MAR for new antimicrobials and QADs
 - https://www.cdc.gov/nhsn/pdfs/vae/VAE AntimicrobialWorksheet FINAL.pdf
- For patients meeting IVAC, review laboratory results for specimens with collection dates during the VAE window period
 - Determine if results from eligible specimens meet a PVAP criterion

Tips for VAE Surveillance

- Establish relationships with Respiratory Therapy and Critical Care colleagues:
 - Share the protocol and FAQs
 - Discuss options for collection of minimum daily PEEP and FiO₂ for each MV day (IP, RT, electronically generated)
 - Inquire about the frequency of use of excluded therapies (HFV, ECLS) and APRV, and how to identify these patients
- Determine your laboratory's approach to Gram stain and culture result reporting
 - Share the protocol and FAQs
 - How does your hospital laboratory report Gram stain results?
 - Does your hospital laboratory report culture results semi-quantitatively?
 - What quantitative ranges correspond to the semi-quantitative reports?
 - Where will you find histopathology/cytology reports?

VAE Reporting – Denominator Data

- Collect device (ventilator) days and patient days at the same time each day
- Patient days
 - Number of patients in the chosen location at the time of the count
- Ventilator days
 - Number of patients in the chosen location who are on a mechanical ventilator at the time of the count
 - All patients (not just those eligible for VAE surveillance) are counted to include those on a ventilator < 3 days, those receiving excluded therapies, etc.
- Review the Denominator Forms and Tables of Instructions on the VAE webpage
- https://www.cdc.gov/nhsn/pdfs/vae/VAEMVtable-current.pdf

National Center for Emerging and Zoonotic Infectious Diseases



Ventilator Associated Event (VAE) – Case Scenario

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Case Example

- The patient presents to the ED at 9pm on 2/1 with an admitting diagnosis of influenza with a suspicion of a complication related to bacterial pneumonia.
- The patient experiences respiratory distress and is intubated and placed on the ventilator in the ED.
- The patient remains in the ED for a couple of hours before admission to an inpatient unit.
- The patient is admitted to an adult inpatient location (ICU) at 11:30pm on 2/1 (same day).
- VAE surveillance is selected in the monthly reporting plan for the adult ICU.

What are the Daily Minimum FiO₂ and PEEP Values for the Patient on 2/1?

- 0.40 and 5
- 0.40 and 8
- 0.40 and 10
- 0.60 and 10

Date and Time	February 1 2100	2200	2330	February 2 2400 (midnight)	0300	1200	1500	2000	2200
Location	ED	ED	ICU	ICU	ICU	ICU	ICU	ICU	ICU
FiO ₂	0.40	0.40	0.60	0.70	0.40	0.40	0.75	0.75	0.75
PEEP	5	8	10	10	8	8	5	8	8

What are the Daily Minimum FiO_2 and PEEP Values for the Patient on 2/1? - cont.

- 0.40 and 5
- 0.40 and 8
- 0.40 and 10
- 0.60 and 10

Date and Time	February 1 2100	2200	2330	February 2 2400 (midnight)	0300	1200	1500	2000	2200
Location	ED	ED	ICU	ICU	ICU	ICU	ICU	ICU	ICU
FiO ₂	0.40	0.40	0.60	0.70	0.40	0.40	0.75	0.75	0.75
PEEP	5	8	10	10	8	8	5	8	8

Explanation: Daily Minimum FiO_2 and PEEP Values for the Patient on 2/1 are FiO_2 of 0.60 and PEEP of 10

- Ventilator data obtained from patients in the Emergency Department or other pre-hospital/pre-inpatient locations should not be included in VAE surveillance. Only ventilator settings that are documented while an admitted patient is located in an adult inpatient location are used to make a VAE determination.
- When no FiO_2 or PEEP value is documented to have been maintained for > 1 hour, the daily minimum FiO_2 or PEEP should default to the lowest setting documented during the calendar day (regardless of how long that setting was maintained).

Date and Time	February 1 2100	2200	2330	February 2 2400 (midnight)	0300	1200	1500	2000	2200
Location	ED	ED	ICU	ICU	ICU	ICU	ICU	ICU	ICU
FiO ₂	0.40	0.40	0.60	0.70	0.40	0.40	0.75	0.75	0.75
PEEP	5	8	10	10	8	8	5	8	8

Case Example - cont.

Vent Day	PEEP min	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	ABX	Spec	Polys /Epis	Org
1	10	60								
2	5	40					Ceftriaxone			
3	5	40	36.9	37.6	12.1	12.1	Ceftriaxone			
4	5	55	38.1	39.2	14.5	16.8	Ceftriaxone	BAL		3+ P. aeruginosa
5	8	50	38.4	38.9	12.6	15.9	Ceftriaxone			
6	8	40	36.5	37.8	11.1	13.6	Ceftriaxone			
7	8	40								
8	5	30								

Is a VAE Identified for this Patient?

- Yes
- No, the patient had pneumonia on admission and is excluded from VAE surveillance

Vent Day	PEEP min	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	АВХ	Spec	Polys /Epis	Org
1	10	60								
2	5	40					Ceftriaxone			
3	5	40	36.9	37.6	12.1	12.1	Ceftriaxone			
4	5	55	38.1	39.2	14.5	16.8	Ceftriaxone	BAL		3+ P. aeruginosa
5	8	50	38.4	38.9	12.6	15.9	Ceftriaxone			
6	8	40	36.5	37.8	11.1	13.6	Ceftriaxone			
7	8	40								
8	5	30								107

Is a VAE Identified for this Patient? - cont.

- Yes VAC is met in the PEEP parameter
- No, the patient had pneumonia on admission and is excluded from VAE surveillance
 - There is no exclusion from meeting a VAE definition (VAC,
 IVAC, or PVAP) based on an underlying condition or diagnosis.

Case Explanation

Vent	PEEP	FiO ₂	Temp	Temp	WBC	WBC	ABX	Spec	Polys	Org
Day	min	min	min	• There	e are 2 cal	endar days	of stable daily			
1	10	60			num PEEP d of stabi		MV day 3 and	4 (base	line	
2	5	40		• On M	IV day 5 th	ne daily mi	nimum PEEP m	eets th	e	
3	5	40	36.9			•	oxygenation wi			
4	5	55	38.1		mum PEEF d (from 5		st day in the ba	aseline		3+ P. aeruginosa
5	8	50	38.4				d for at least 2	calend	ar	
6	8	40	36.5		MV days				- 1	
7	8	40				•	arameter with a of onset of wor		of	
8	5	30		oxyge	enation).					

Let's Continue Looking at the Same Patient:

Vent Day	PEEP min	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	АВХ	Spec	Polys /Epis	Org
1	10	60								
2	5	40					Ceftriaxone			
3	5	40	36.9	37.6	12.1	12.1	Ceftriaxone			
4	5	55	38.1	39.2	14.5	16.8	Ceftriaxone	BAL		3+ P. aeruginosa
5	8	50	38.4	38.9	12.6	15.9	Ceftriaxone			
6	8	40	36.5	37.8	11.1	13.6	Ceftriaxone			
7	8	40								
8	5	30								

What Level of the VAE Algorithm did this Patient Meet?

- VAC
- IVAC
- PVAP

Vent Day	PEEP min	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	ABX	Spec	Polys /Epis	Org
1	10	60								
2	5	40					Ceftriaxone			
3	5	40	36.9	37.6	12.1	12.1	Ceftriaxone			
4	5	55	38.1	39.2	14.5	16.8	Ceftriaxone	BAL		3+ P. aeruginosa
5	8	50	38.4	38.9	12.6	15.9	Ceftriaxone			
6	8	40	36.5	37.8	11.1	13.6	Ceftriaxone			
7	8	40								
8	5	30								

What Level of the VAE Algorithm did this Patient Meet? cont.

VAC

-- IVAC

- Antimicrobial was started outside the VAE Window Period and does not meet the definition of a "new" antimicrobial.
- Although the temperature and WBC criteria for IVAC were met, both temp/WBC and QAD criteria must be met for IVAC.

PVAP

Although the semi-quantitative result of 3+ P. aeruginosa meets PVAP criterion 1 and was collected within the VAE Window Period, remember, the VAE surveillance algorithm is progressive in terms of criteria to be met. VAC must be met to assess for IVAC, IVAC must be met to assess for PVAP.

Case Explanation

Vent Day	PEEP min	FiO ₂ min	Temp min	Temp max	WBC min	WBC max	ABX	Spec	Polys /Epis	Org				
1	10	60												
2	5	40					Ceftriaxone •							
3	5	40	36.9	37.6	12.1	12.1	Ceftriaxone							
4	5	55	38.1	39.2	14.5	16.8	Ceftriaxone	BAL		P. aeruginosa				
5	8	50	38.4	38.9	12.6	15.9	Ceftriayono • Tempe	Temperature > 38 °C OR white blood cell						
6	8	40	36.5	37.8	11.1	13.6	(<u>A</u>	 count ≥ 12,000 cells/mm3 met within the VAE Window Period. Ceftriaxone started outside the VAE Window Period and therefore is not a "new" antimicrobial agent. IVAC not met. 						
7	8	40												
8	5	30												
							• Will no	ot progr	ess to PV	/AP.				

Contact the NHSN Helpdesk with Any Questions

NHSN-ServiceNow to submit questions to the NHSN Help Desk.

The new portal can be accessed at https://servicedesk.cdc.gov/nhsncsp
Users will be authenticated using CDC's Secure Access Management Services (SAMS) the same way you access NHSN. If you do not have a SAMS login, or are unable to access ServiceNow, you can still email the NHSN Help Desk at nhsn@cdc.gov

For more information please contact Centers for Disease Control and Prevention

1600 Clifton Road NE, Atlanta, GA 30333

Telephone, 1-800-CDC-INFO (232-4636)/TTY: 1-888-232-6348

E-mail: cdcinfo@cdc.gov Web: www.cdc.gov

