



# Ventilator-associated Events and Pediatric Ventilator-associated Events Analysis

**Prachi Patel, MPH**

NHSN Methods and Analytics Team

Division of Healthcare Quality Promotion, CDC

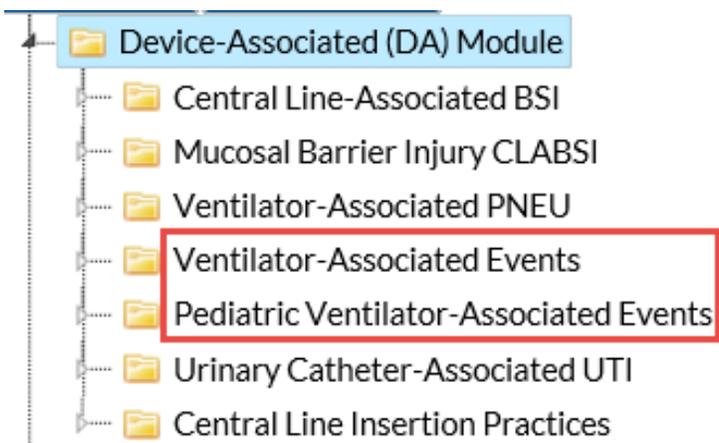
March 27, 2019

# Objectives

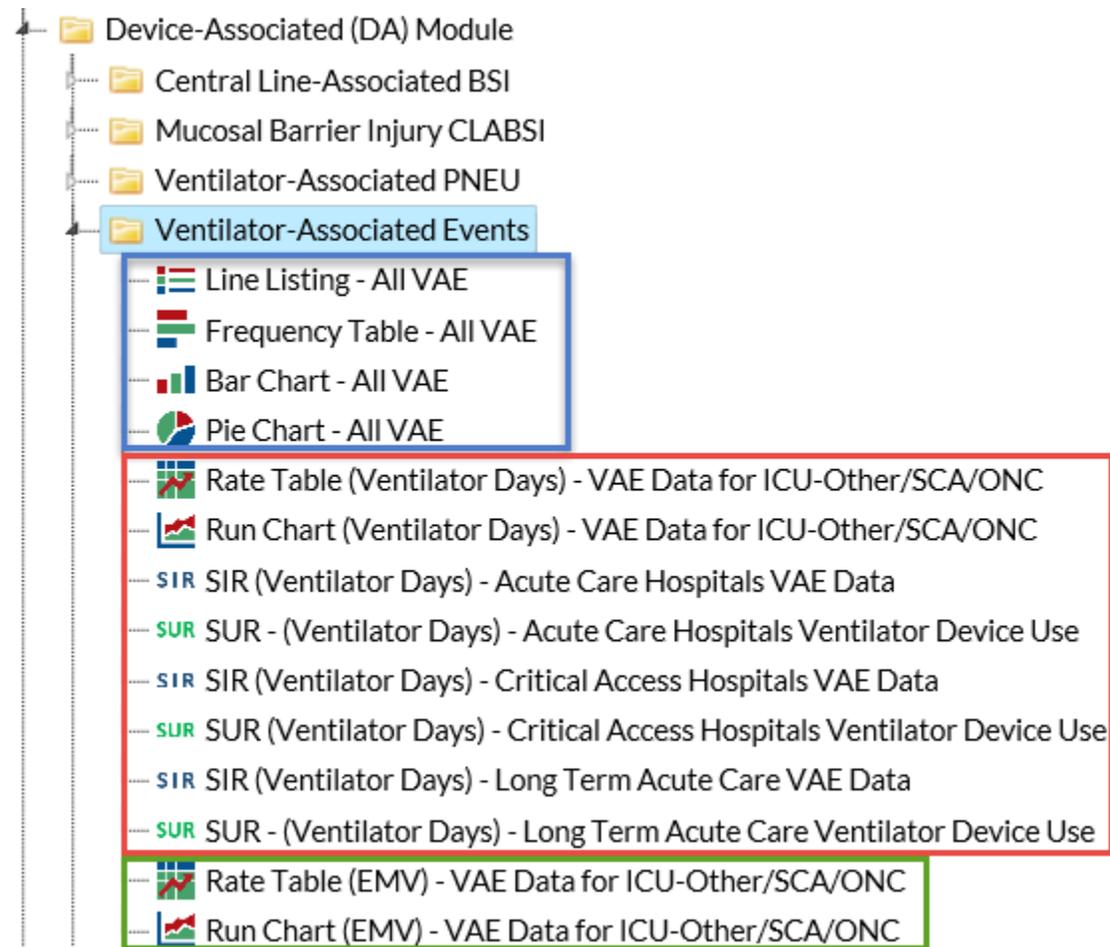
- Understand the standardized infection ratio (SIR) and its use in the interpretation of Ventilator-associated events (VAE)
- Explain the SIR analysis reports and interpretation of the results
- Introduce analysis for the new Pediatric Ventilator-associated events (PedVAE)

# Ventilator-associated Events (VAE)

# Ventilator-associated Reports

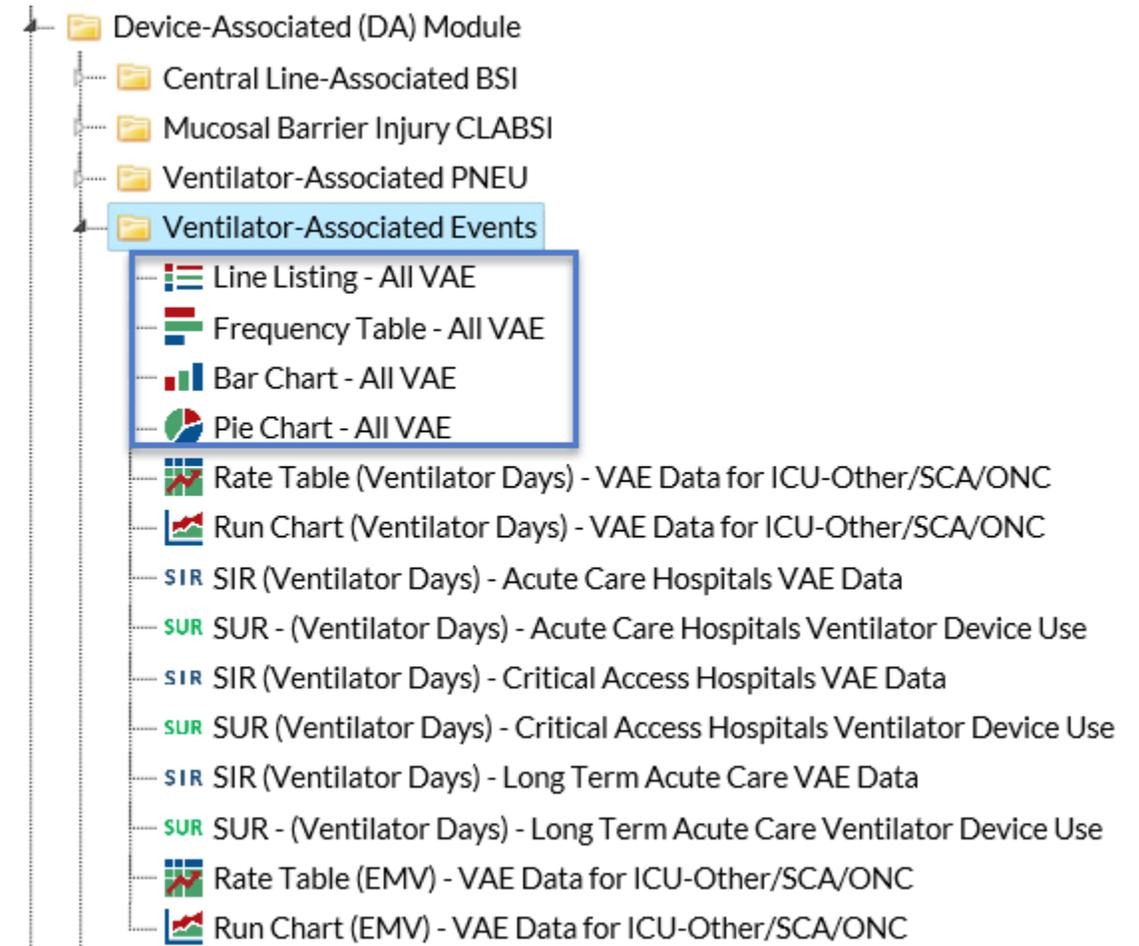


- Ventilator-associated reports located within the Device-associated Module



# VAE Analysis Options

- Line Lists
  - Gives event level details
- Frequency Table
  - Provides location level event counts for specific events: Infection-related Ventilator-Associated Complication (IVAC), Possible Ventilator- Associated Pneumonia (PVAP), Ventilator-Associated Condition (VAC), and total VAE
- Bar Chart and Pie Chart
  - Graphical representation of VAE data



# VAE Analysis Options – Frequency Table

- Location specific event count
- Columns by VAE category - IVAC, PVAP, VAC, and total
- Provides total event count

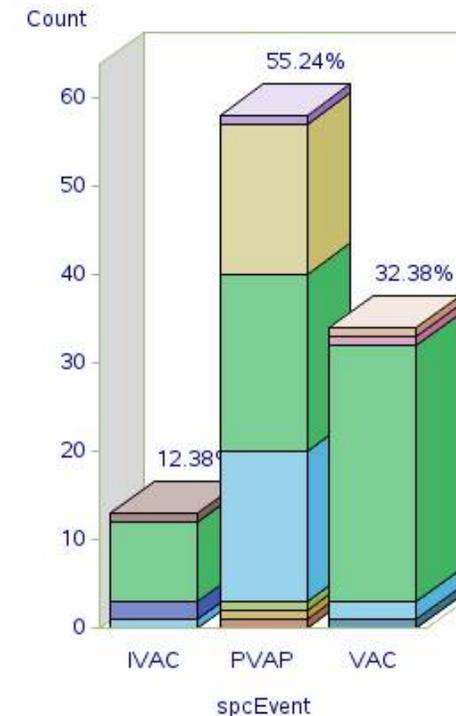
National Healthcare Safety Network  
 Frequency Table for All Ventilator-Associated Events  
 As of: February 26, 2019 at 10:43 AM  
 Date Range: VA\_EVENTS evntDateYr 2018 to 2018

| Frequency<br>Percent<br>Row Pct<br>Col Pct | Table of location by spcEvent |          |        |
|--|-------------------------------|----------|--------|
|  | location                      | spcEvent |        |
|  |                               | PVAP     | VAC    |
| 3 CENTRAL                                  | 1                             | 3        | 4      |
|  | 5.26                          | 15.79    | 21.05  |
|  | 25.00                         | 75.00    |        |
|  | 12.50                         | 27.27    |        |
| 3W   | 4                             | 1        | 5      |
|  | 21.05                         | 5.26     | 26.32  |
|  | 80.00                         | 20.00    |        |
|  | 50.00                         | 9.09     |        |
| 5 WESTT                                    | 0                             | 3        | 3      |
|  | 0.00                          | 15.79    | 15.79  |
|  | 0.00                          | 100.00   |        |
|  | 0.00                          | 27.27    |        |
| CARDCRIT                                   | 2                             | 0        | 2      |
|  | 10.53                         | 0.00     | 10.53  |
|  | 100.00                        | 0.00     |        |
|  | 25.00                         | 0.00     |        |
| CC_ONC                                     | 0                             | 1        | 1      |
|  | 0.00                          | 5.26     | 5.26   |
|  | 0.00                          | 100.00   |        |
|  | 0.00                          | 9.09     |        |
| MED ICU                                    | 1                             | 3        | 4      |
|  | 5.26                          | 15.79    | 21.05  |
|  | 25.00                         | 75.00    |        |
|  | 12.50                         | 27.27    |        |
| Total                                      | 8                             | 11       | 19     |
|  | 42.11                         | 57.89    | 100.00 |

# VAE Analysis Options – Bar Chart

- Graphical representation of VAE data by location and VAE category

**National Healthcare Safety Network**  
 Bar Chart for All Ventilator-Associated Events  
 As of: February 26, 2019 at 1:07 PM  
 Date Range: All VA\_EVENTS

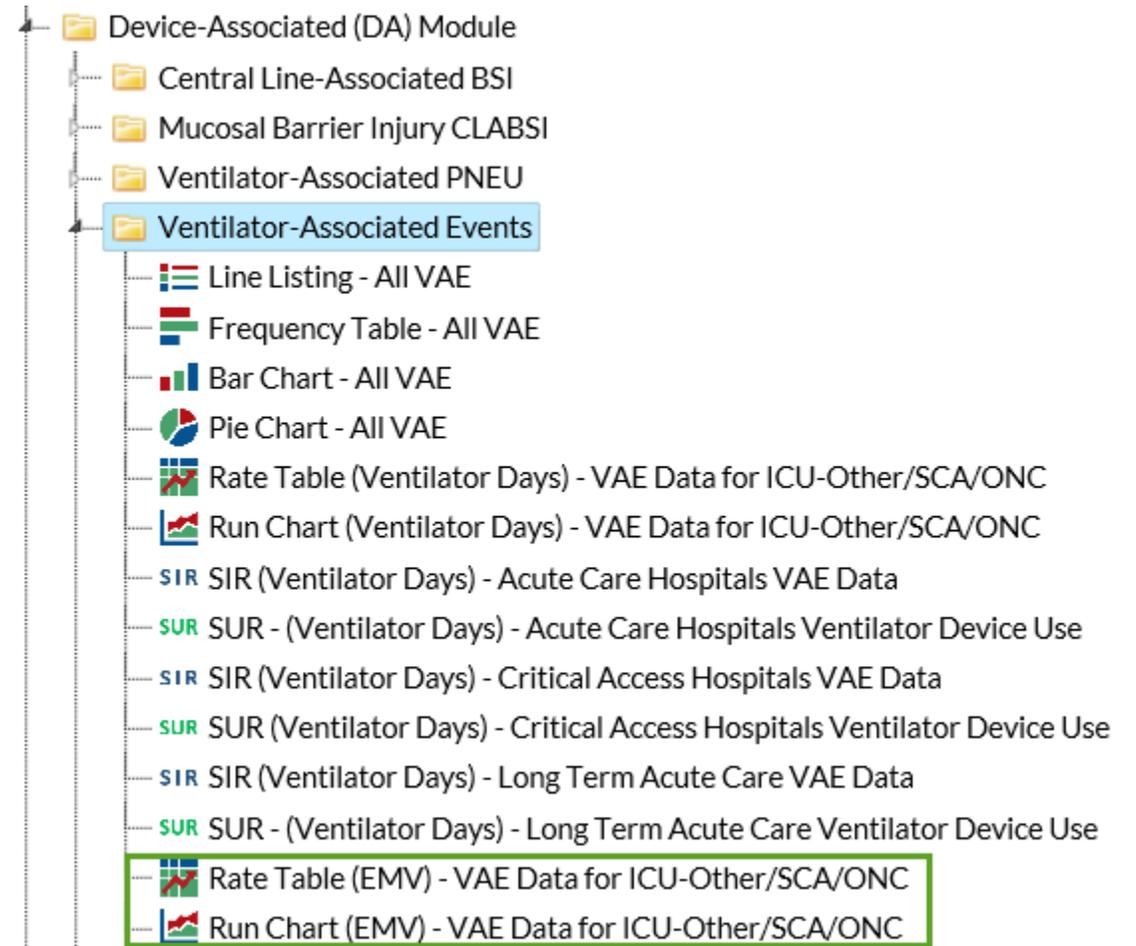


| location | 1029-8   | 10NORTH | 10NORTHA   | 1152PBHVN |
|----------|----------|---------|------------|-----------|
|          | CARDCRIT | ICU     | ICU-A      | ICU-D     |
|          | MEDWARDB | ONCGEN  | SCA2'EDIT' | SSMS      |

Data contained in this report were last generated on February 22, 2019 at 9:48 AM.

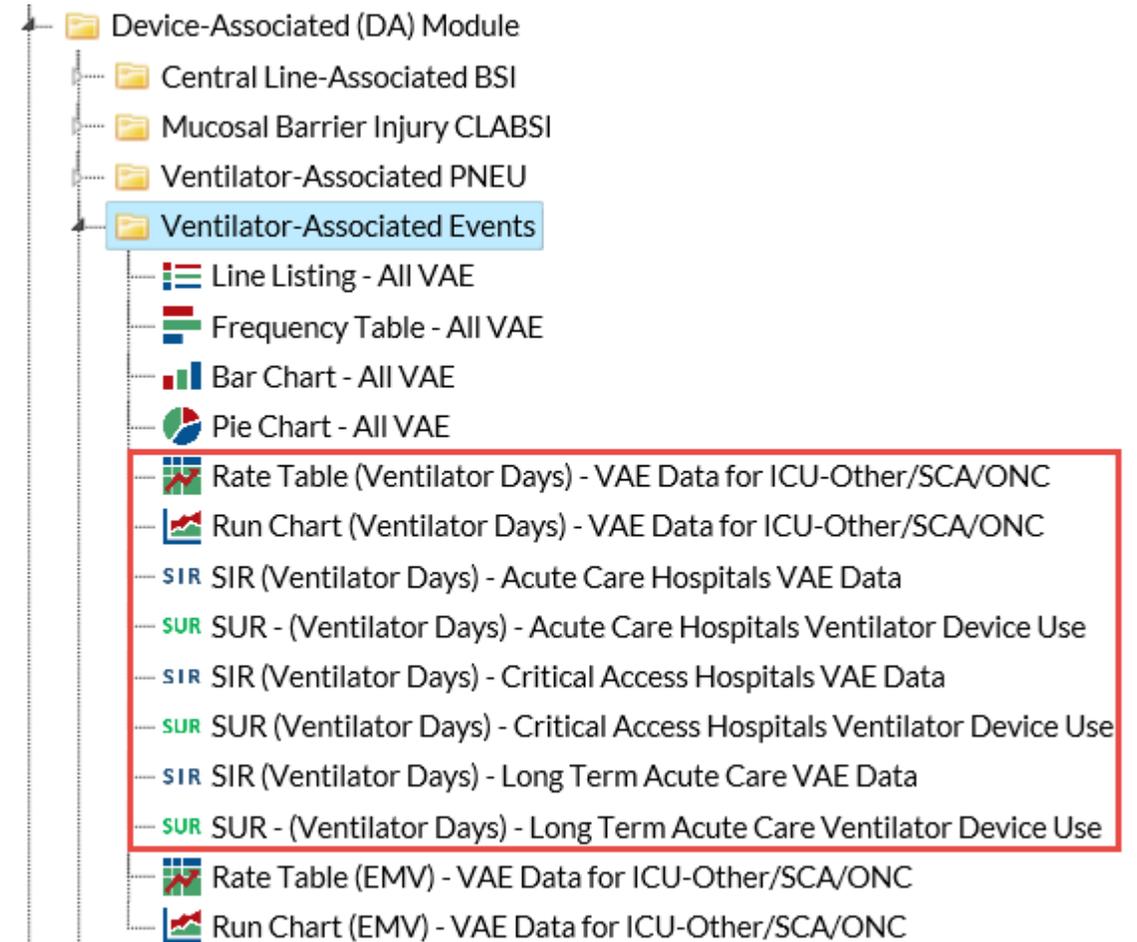
# VAE Analysis Options

- EMV Rate Table
  - Location level rate data for Episodes for Mechanical Ventilation (EMV)
  - EMV denominator represents the sum of the number of episodes of mechanical ventilation that occurred in that location during the month
  - VAE EMV Rate
    - $(\text{VAEs} / \text{Number of EMV}) * 100$



# VAE Analysis Options

- Ventilator Days SIR, SUR, and rate table options
  - Standardized Infection Ratio
    - Acute Care Hospitals
    - Critical Access Hospitals
    - Long Term Acute Care Hospitals
  - Standardized Utilization Ratio
  - Rate tables
    - ICU-Other/SCA/ONC



# VAE Analysis Options – Rate Table

- VAE Rate Table
  - Provides location level event counts, device days, patient days, device utilization, and VAE category
  - VAE Rate
    - $(\text{VAE Count} / \text{Vent Days}) * 1000$
  - Ventilator Utilization Ratio
    - $\text{Vent Days} / \text{Patient Days}$

## National Healthcare Safety Network

### Rate Table (Ventilator Days) for Ventilator-Associated Event Data for ICU-Other/SCA/ONC Total VAE

As of: January 23, 2019 at 10:32 AM  
Date Range: All B S2\_VAE\_RATE SICU\_SCA

orgid=10000 ccn=32M22222 loccdc=IN:ACUTE:CC:C

| location | summaryYM | VAECount | numVentDays | VAERate | numPatDays | VentDU | vaeCategory |
|----------|-----------|----------|-------------|---------|------------|--------|-------------|
| CMICU_N  | 2017M04   | 0        | 0           | .       | 880        | 0.000  | Total VAE   |
| CMICU_N  | 2017M05   | 1        | 35          | 28.571  | 900        | 0.039  | Total VAE   |
| CMICU_N  | 2017M06   | 0        | 0           | .       | 400        | 0.000  | Total VAE   |

## National Healthcare Safety Network

### Rate Table (Ventilator Days) for Ventilator-Associated Event Data for ICU-Other/SCA/ONC Total VAE

As of: January 23, 2019 at 10:32 AM  
Date Range: All B S2\_VAE\_RATE SICU\_SCA

orgid=10000 ccn=32M22222 loccdc=IN:ACUTE:CC:M

| location | summaryYM | VAECount | numVentDays | VAERate | numPatDays | VentDU | vaeCategory |
|----------|-----------|----------|-------------|---------|------------|--------|-------------|
| FICU     | 2017M03   | 0        | 2           | 0.000   | 100        | 0.020  | Total VAE   |
| MED ICU  | 2018M05   | 1        | 100         | 10.000  | 200        | 0.500  | Total VAE   |
| MICU-2   | 2017M01   | 1        | 75          | 13.333  | 405        | 0.185  | Total VAE   |

# VAE Analysis Options – Rate Table

- Stratified by VAE category
  - Total VAE
  - Total IVAC Plus
  - Total VAP

## National Healthcare Safety Network

### Rate Table (Ventilator Days) for Ventilator-Associated Event Data for ICU-Other/SCA/ONC Total VAE

As of: February 26, 2019 at 9:43 AM

Date Range: BS2\_VAE\_RATE\$ICU\_SCA summaryYr 2018 to 2019

orgid=10018 ccn=12345 loccdc=IN:ACUTE:CC:S

| location | summaryYM | VAECount | numVentDays | VAERate | numPatDays | VentDU | vaeCategory |
|----------|-----------|----------|-------------|---------|------------|--------|-------------|
| ICU-A    | 2018M07   | 1        | 45          | 22.222  | 450        | 0.100  | Total VAE   |

Data contained in this report were last generated on February 26, 2019 at 9:36 AM.

## National Healthcare Safety Network

### Rate Table (Ventilator Days) for Ventilator-Associated Event Data for ICU-Other/SCA/ONC Total IVAC Plus

As of: February 26, 2019 at 9:43 AM

Date Range: BS2\_VAE\_RATE\$ICU\_SCA summaryYr 2018 to 2019

orgid=10018 ccn=12345 loccdc=IN:ACUTE:CC:S

| location | summaryYM | VAECount | numVentDays | VAERate | numPatDays | VentDU | vaeCategory     |
|----------|-----------|----------|-------------|---------|------------|--------|-----------------|
| ICU-A    | 2018M07   | 0        | 45          | 0.000   | 450        | 0.100  | Total IVAC Plus |

Data contained in this report were last generated on February 26, 2019 at 9:36 AM.

# A Review: The Standardized Infection Ratio (SIR)

- **SIR** – A summary statistic that compares the number of healthcare-associated infections (HAIs) that were reported to the number of HAIs that were predicted to occur, based on a calculation using data for HAI events that occurred in a given referent time period

$$\text{SIR} = \frac{\# \text{ observed HAIs}}{\# \text{ predicted HAIs}}$$

# Total VAE SIR - Number Predicted

- Negative Binomial Regression Model

$$\log(\lambda) = \alpha + \beta_1 X_1 + \beta_2 X_2 + \dots + \beta_i X_i, \text{ where:}$$

$\alpha$  = Intercept

$\beta_i$  = Parameter Estimate

$X_i$  = Value of Risk Factor (Categorical variables: 1 if present, 0 if not present)

$i$  = Number of Predictors

# Risk Factors in the Total VAE Model for Facility Types

| Facility Type                           | Risk Factors   |
|---|--|
| Acute Care Hospitals                    | <ul style="list-style-type: none"><li>• CDC Location</li><li>• Medical School affiliation and facility bed size<sup>1</sup></li><li>• Facility Type</li></ul>  |
| Critical Access Hospitals (CAH)         | Intercept-only model   |
| Long-Term Acute Care Hospitals (LTACHs) | <ul style="list-style-type: none"><li>• CDC Location</li><li>• Facility bed size, Proportion of admissions on hemodialysis, Proportion of admissions on ventilator, and Average length of stay</li></ul> |

- Proportion of annual admissions on a ventilator (or hemodialysis) is calculated as:  $\text{number of admissions on a ventilator (or hemodialysis)} / \text{total \# of annual admissions}$
- Average length of stay is calculated as:  $\# \text{ annual patient days} / \# \text{ annual admissions}$

# Total VAE in Long-Term Acute Care Hospitals (LTACHs)

- The number of predicted “Total VAE” events calculated under the 2015 baseline is risk adjusted based on the following variables found to be statistically significant predictors of Total VAE incidence
- As of October 1, 2018, the LTCHQR program no longer requires LTACHs to submit VAE data

| Parameter   | Parameter Estimate |
|---|--------------------|
| Intercept   | -8.3689            |
| Facility bed size: $\geq 32$ beds                     | 0.4645             |
| Facility bed size: $< 32$ beds                        | REFERENT           |
| Proportion of admissions on hemodialysis: $> 0.11$    | -0.4098            |
| Proportion of admissions on hemodialysis: $\leq 0.11$ | REFERENT           |
| Proportion of admissions on ventilator: $> 0.18$      | 0.9313             |
| Proportion of admissions on ventilator: $\leq 0.18$   | REFERENT           |
| Location type: ICU                                    | 0.4118             |
| Location type: Ward                                   | REFERENT           |
| Average length of stay: $\geq 25$ days                | 1.0940             |
| Average length of stay: $< 25$ days                   | REFERENT           |

# Risk Factors in the IVAC Plus Model for Facility Types

| Facility Type                           | Risk Factors  |
|---|---|
| Acute Care Hospitals                    | <ul style="list-style-type: none"><li>• CDC Location</li><li>• Medical School affiliation and facility bed size<sup>1</sup></li></ul>   |
| Long-Term Acute Care Hospitals (LTACHs) | <ul style="list-style-type: none"><li>• Facility bed size, Proportion of admissions on ventilator, and Average length of stay</li></ul> |

- Proportion of annual admissions on a ventilator is calculated as: number of admissions on a ventilator / total # of annual admissions
- Average length of stay is calculated as: # annual patient days/ # annual admissions

# IVAC Plus - Number Predicted

- Negative Binomial Regression Model – same as Total VAE
- Model for IVAC Plus in Long-Term Acute Care Hospitals (LTACHs)

| Parameter  | Parameter Estimate |
|--|--------------------|
| Intercept  | -9.9593            |
| Facility bed size: $\geq 32$ beds                        | 1.1201             |
| Facility bed size: $< 32$ beds                           | REFERENT           |
| Proportion of admissions on a ventilator:<br>$> 0.18$    | 0.7130             |
| Proportion of admissions on a ventilator:<br>$\leq 0.18$ | REFERENT           |
| Average length of stay: $\geq 25$ days                   | 0.8166             |
| Average length of stay: $< 25$ days                      | REFERENT           |

# VAE SIR Report

## National Healthcare Safety Network

### SIR for Ventilator-Associated Event Data for Acute Care Hospitals (2015 Baseline) - By OrgID

As of: January 30, 2019 at 11:36 AM

Date Range: BS2\_VAE\_RATESICU\_SCA summaryYr 2018 to 2018

vaeCategory=Total vae orgid=10000 medType=''

| orgid | ccn      | summaryYr | infCount | numPred | numventdays | SIR   | SIR_pval | SIR95CI      | vaeCategory |
|-------|----------|-----------|----------|---------|-------------|-------|----------|--------------|-------------|
| 10000 | 32M22222 | 2018      | 1        | 2.264   | 740         | 0.441 | 0.1078   | 0.738, 7.896 | Total VAE   |

1. This report includes in-plan VAE data from acute care hospitals for 2015 and forward. Excludes Chronic Care locations.
2. The SIR is only calculated if the number predicted (numPred) is  $\geq 1$ . Lower bound of 95% Confidence Interval only calculated when number of observed events  $> 0$ .
3. The number of predicted events is calculated based on national aggregate NHSN data from 2015. It is risk adjusted for CDC location, hospital beds, length of stay, and proportion of admissions on hemodialysis, and proportion of admissions on a ventilator.
4. If the risk factor data are missing, the record will be excluded from the SIR.

Source of aggregate data: 2015 NHSN VAE Data

Data contained in this report were last generated on January 22, 2019 at 10:00 AM.

# Total VAE SIR

- The “Total VAE” SIR includes events identified as ventilator-associated condition (VAC), infection-related ventilator-associated complication (IVAC), and possible ventilator-associated pneumonia (pVAP)

## National Healthcare Safety Network

### SIR for Ventilator-Associated Event Data for Acute Care Hospitals (2015 Baseline) - By OrgID

As of: February 26, 2019 at 9:53 AM

Date Range: All BS2\_VAE\_RATE\_SICU\_SCA

vaeCategory=Total VAE orgid=10018 medType=G

| orgid | ccn   | summaryYr | infCount | numPred | numventdays | SIR   | SIR_pval | SIR95CI      | vaeCategory |
|-------|-------|-----------|----------|---------|-------------|-------|----------|--------------|-------------|
| 10018 | 12345 | 2018      | 5        | 1.974   | 283         | 2.532 | 0.0660   | 0.928, 5.613 | Total VAE   |

# IVAC Plus SIR

- The “IVAC Plus” SIR includes events identified as IVAC and possible ventilator-associated pneumonia (pVAP)

## National Healthcare Safety Network

### SIR for Ventilator-Associated Event Data for Acute Care Hospitals (2015 Baseline) - By OrgID

As of: February 26, 2019 at 9:53 AM

Date Range: All BS2\_VAE\_RATE\_SICU\_SCA

vaeCategory=IVAC Plus orgid=10018 medType=G

| orgid | ccn   | summaryYr | infCount | numPred | numventdays | SIR | SIR_pval | SIR95CI | vaeCategory |
|-------|-------|-----------|----------|---------|-------------|-----|----------|---------|-------------|
| 10018 | 12345 | 2018      | 3        | 0.737   | 283         | .   | .        |         | IVAC Plus   |

**Knowledge Check: VAE Rate tables provide event level, summary data, and device utilization for facility wide and location level VAE data.**

True

False

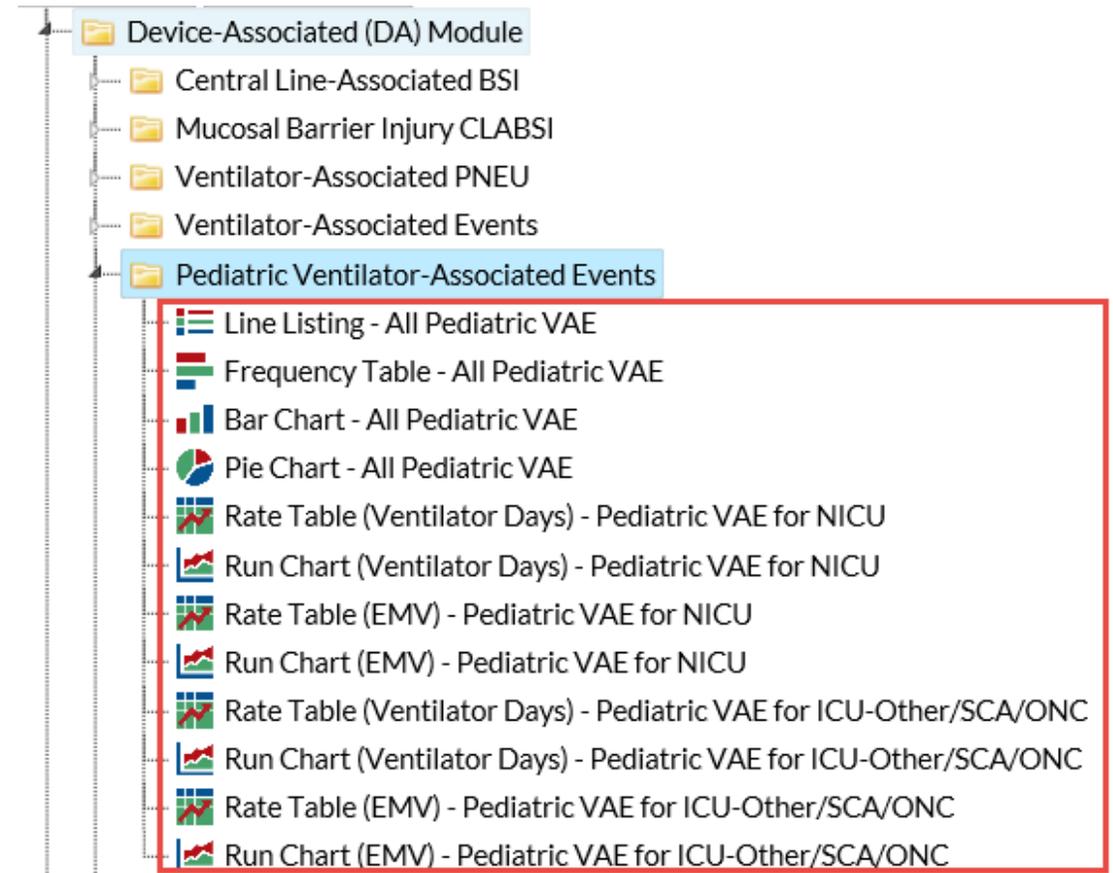
**Knowledge Check: VAE Rate tables provide event level, summary data, and device utilization for facility wide and location level VAE data.**

False: Rate tables only provide data at a location level. SIR reports will analyze and provide facility wide data.

# Pediatric VAE

# PedVAE reports

- Line Listing
- Frequency Table
- Bar/Pie Chart
- Rate tables for Ventilator days and EMV
  - NICU
  - ICU-Other/SCA/ONC



# PedVAE – Rate table

- Report Modification

Modify "Rate Table (Ventilator Days) - Pediatric VAE for NICU"

Show descriptive variable names ([Print List](#))      Analysis Data Set: pedVAE\_RatesNICU    Type: Rate Table    Data Set Generated On: 01/22/2019 10:00:00

**Title/Format**   Time Period   Filters   Display Options

Title:  
Rate Table (Ventilator Days) for Pediatric Ventilator-Associated Event Data for NICU

Format:

**Title/Format**   **Time Period**   Filters   Display Options

Time Period:

| Date Variable | Beginning | Ending |  |
|---------------|-----------|--------|--|
| summaryYr ▼   | 2018      | 2018   | <input type="button" value="Clear Time Period"/> |

Enter Date variable/Time period at the time you click the Run button

**Title/Format**   Time Period   Filters   **Display Options**

Rate Table Options:

Group by: summaryYr ▼

# PedVAE – Ventilator Days Rate Table

- Location specific rate data for Ventilator Days
- PedVAE Rate
  - $\text{PedVAECount} / \text{numVentDays} * 1000$
- This location has a PedVAE rate of 18.182 per 1000 ventilator days
- Ventilator Utilization Ratio
  - $\text{numVentDays} / \text{numPatDays}$

National Healthcare Safety Network

Rate Table (Ventilator Days) for Pediatric Ventilator-Associated Event Data for ICU-Other/SCA/ONC

As of: March 11, 2019 at 2:43 PM

Date Range: PEDVAE RATESICU SCA summaryYM After and Including 2019M01

orgID=10000 loccdc=IN:ACUTE:CC:MS\_PED

| location | summaryYM | pedVAECount | numVentDays | pedVAERate | numPatDays | VentDU |
|----------|-----------|-------------|-------------|------------|------------|--------|
| PICU2    | 2019M01   | 2           | 110         | 18.182     | 130        | 0.846  |

# PedVAE – Ventilator Days Rate Table for NICUs

- Location specific rate data for Ventilator Days for NICUs
- PedVAE Rate
  - $\text{PedVAECount} / \text{numVentDays} * 1000$
- This NICU location for birthweight A has a pedVAE rate of 11.765 per 1000 ventilator days
- Ventilator Utilization Ratio
  - $\text{numVentDays} / \text{numPatDays}$

National Healthcare Safety Network  
 Rate Table (Ventilator Days) for Pediatric Ventilator-Associated Event Data for NICU  
 By Birthweight Code  
 As of: March 11, 2019 at 2:35 PM  
 Date Range: PEDVAE\_RATESNICU\_summaryYM 2019M01 to 2019M01

orgID=10000 loccdc=IN:ACUTE:CC\_STEP:NURS

| location | birthWtCodeDesc | summaryYM | pedVAECount | numVentDays | pedVAERate | numPatDays | VentDU |
|----------|-----------------|-----------|-------------|-------------|------------|------------|--------|
| NICU     | A - <= 750      | 2019M01   | 1           | 85          | 11.765     | 90         | 0.944  |
| NICU     | B - 751 -1000   | 2019M01   | 0           | 77          | 0          | 86         | 0.895  |
| NICU     | C - 1001-1500   | 2019M01   | 0           | 65          | 0          | 79         | 0.823  |
| NICU     | D - 1501 -2500  | 2019M01   | 0           | 40          | 0          | 60         | 0.667  |
| NICU     | E - > 2500      | 2019M01   | 0           | 35          | 0          | 55         | 0.636  |

# PedVAE – EMV Days Rate Table

- Location specific rate data for Episodes for Mechanical Ventilation
- The rate per 100 episodes of mechanical ventilation:
  - $(\text{PedVAECount} / \text{episodes of mechanical ventilation}) * 100$

National Healthcare Safety Network

Rate Table (EMV) for Ventilator-Associated Event Data for ICU-Other/SCA/ONC

As of: March 11, 2019 at 12:52 PM

Date Range: All PEDVAEEMV RATESICU SCA

orgID=10000 loccdc=IN:ACUTE:CC:MS\_PED

| location | summaryYM | pedVAECount | numNewEMV | pedVAEEMVRate |
|----------|-----------|-------------|-----------|---------------|
| PICU2    | 2019M01   | 2           | 3         | 66.667        |
| PICU2    | 2019M02   | 1           | 2         | 50.000        |

# PedVAE – EMV Days Rate Table for NICUs

- NICU Location specific rate data for Episodes for Mechanical Ventilation
- Separated by birth weight
- The rate per 100 episodes of mechanical ventilation:
  - $(\text{PedVAECount} / \text{episodes of mechanical ventilation}) * 100$

National Healthcare Safety Network  
Rate Table (EMV) for Ventilator-Associated Event Data for NICU  
By Birthweight Code

As of: March 11, 2019 at 12:50 PM

Date Range: PEDVAEEMV RATESNICU summaryYM 2019M01 to 2019M01

orgID=10000 loccdc=IN:ACUTE:CC\_STEP:NURS

| location | birthWtCodeDesc | summaryYM | pedVAECount | numNewEMV | pedVAEEMVRate |
|----------|-----------------|-----------|-------------|-----------|---------------|
| NICU     | B - 751 -1000   | 2019M01   | 1           | 3         | 33.333        |
| NICU     | C - 1001-1500   | 2019M01   | 0           | 2         | 0             |
| NICU     | D - 1501 -2500  | 2019M01   | 0           | 0         | .             |
| NICU     | E - > 2500      | 2019M01   | 1           | 2         | 50.000        |

# Resources

- Analysis Reference Guides:

<https://www.cdc.gov/nhsn/ps-analysis-resources/reference-guides.html>

- NHSN Training Website:

<https://www.cdc.gov/nhsn/training/analysis/index.html>

- VAE/PEDVAE protocol information:

<https://www.cdc.gov/nhsn/enrolled-facilities/index.html>

- CMS Requirements:

<https://www.cdc.gov/nhsn/cms/index.html>

For any other questions, please email [NHSN@cdc.gov](mailto:NHSN@cdc.gov)