

## 2021 National Electronic Health Records Survey (NEHRS)

### Public Use File Documentation

May 6, 2022

## ABSTRACT

This material provides documentation for users of the public use micro-data file for the 2021 National Electronic Health Records Survey (NEHRS). This is the third NEHRS public use file (PUF). The purpose of NEHRS is to collect information on both office-based physicians' adoption and use of electronic health record (EHR) systems, and progress towards meeting policy goals of the Health Information Technology for Economic and Clinical Health Act (HITECH Act). The 2021 NEHRS reduced the questionnaire length from 8-pages to 4-pages and removed the computer assisted telephone interview data collection mode in an effort to improve response. The 2021 NEHRS collects some of the information that was collected in the 2019 NEHRS. However, it has new questions on the use of telemedicine technology and changes in terminology for some questions. Content common to the 2019 and 2021 NEHRS includes information on practice characteristics, prescribing practices for controlled substances, use of health information exchanges, and documentation associated with medical record systems and physician burden associated with the use of them. NEHRS is sponsored by the Office of the National Coordinator for Health Information Technology (ONC). NEHRS is conducted by the Division of Health Care Statistics (DHCS), National Center for Health Statistics (NCHS). Additional information about the history of NEHRS is available [here](#).

The NEHRS public use file includes data from office-based physicians. No patient level data were collected. This documentation describes the PUF produced from data collected in NEHRS.

Section I, "Description of the National Electronic Health Records Survey" includes information on the scope of the survey, the sampling design, field activities, data collection procedures, weighting and estimation measures, and sampling errors. Section II, "Codebook Location and Physician Specialty List" provides the location of the codebook and a list of physician specialty groups represented in the survey. Appendix I contains information on standard errors and variance estimation that is useful when analyzing the 2021 NEHRS PUF data.

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## I. DESCRIPTION OF THE NATIONAL ELECTRONIC HEALTH RECORDS SURVEY

### A. INTRODUCTION

The National Electronic Health Records Survey (NEHRS) is a nationally representative probability sample survey of office-based physicians. The survey assesses physician adoption and use of electronic health record (EHR) systems, and progress towards meeting the policy goals of the Health Information Technology for Economic and Clinical Health Act (HITECH Act). NEHRS was conducted by the Division of Health Care Statistics, National Center for Health Statistics (NCHS). Data in this file must be weighted to produce national estimates that describe EHR adoption and use, practice information, prescribing practices for controlled substances, use of health information exchanges, use of telemedicine technology, and documentation associated with medical record systems and physician burden associated with the use of them among office-based physicians in the United States.

Two modes of data collection were used for the 2021 NEHRS: (1) electronic submission via a self-administered web-based instrument, and (2) mail submission via a self-administered paper instrument. Most respondents completed the paper instrument.

A total of 1,875 completed questionnaires were received from physicians who participated in the 2021 NEHRS. Brief descriptions of the survey design and data collection procedures are below.

Please note the following important points concerning analysis of the 2021 NEHRS PUF:

- **PHYSICIAN WEIGHT**  
Micro-data file users should be fully aware of the importance and proper use of the physician weight (MAILWGT), and how it must be used. Information about physician weight is on page 9.
- **RELIABILITY OF ESTIMATES**  
Data users should also be aware of the reliability of survey estimates, particularly smaller estimates. NCHS has published [standards](#) for the assessment of reliability and presentation of proportional estimates. For frequencies and rates, NCHS considers an estimate reliable if it has a relative standard error of 30 percent or less (i.e., the standard error is no more than 30 percent of the estimate). It should be noted that estimates of frequencies and rates based on fewer than 30 records are also considered unreliable, regardless of the magnitude of the relative standard error. For presentation or publication of NEHRS estimates, we recommend estimates be rounded to the nearest thousand.

### B. SAMPLING FRAME AND SIZE OF SAMPLE

The basic sampling unit for NEHRS is the physician. The sampling frame for the 2021 NEHRS was composed of Master files for all American physicians who met the following criteria:

- Office-based;
- Principally engaged in patient care activities;
- Non-federally employed;
- Not in specialties of anesthesiology, pathology, or radiology; and
- Younger than 85 years of age at the time of the survey.

The 2021 NEHRS sample included 10,302 physicians. Sampled physicians were asked eligibility questions to ensure that they met the above-mentioned criteria. Of these 10,302 physicians, 913 physicians did not meet the inclusion criteria and were ruled ineligible (out-of-scope) for the survey (Table 1 final disposition, 3). The most frequent reasons for ineligibility included that the physician was no longer in practice or retired, that the physician did not work in an eligible setting or that the physician did not provide outpatient, office-based care. An additional 2,264 physicians were deemed ineligible because they could not be located despite active searches (Table 1 final disposition, 4). Eligibility status for 5,088 physicians could not be determined, including physicians who refused or partially completed the survey, but did not complete the eligibility questions (Table 1 final disposition, 5). Of the 2,037 physicians confirmed eligible (Table 1 final disposition, 1 + 2 + 6), 2,002 participated in the study by completing one or more subject matter item(s) on the questionnaire (Table 1 final disposition, 1 + 6); of these, 1,875 physicians participated completely by responding to all the key items on the survey (Table 1 final disposition, 1).

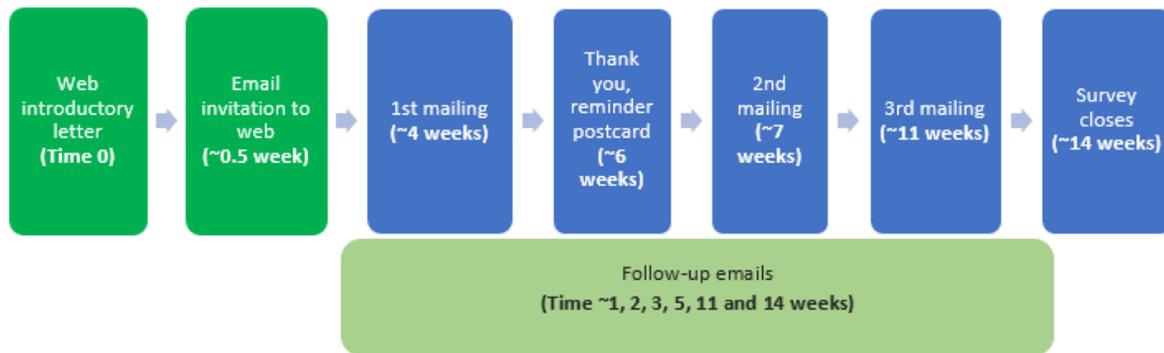
**Table 1: Final disposition of the sampled physicians: NEHRS, 2021**

Final Dispositions	Sample size, n	Unweighted Percent %
1. Eligible respondent, complete	1,875	18.2
2. Eligible, refused	35	0.3
3. Ineligible	913	8.9
4. Ineligible, not locatable	2,264	22.0
5. Unknown eligibility refusal & partial	5,088	49.4
6. Eligible, partially complete	127	1.2
Total	10,302	

The unweighted rate for determining eligibility status was 50.6 percent (49.9 percent weighted), based on the number of physicians whose eligibility status was determined (n=2,037). The unweighted response rate was 46.6 percent (45.9 percent weighted), based on the full responders (n=1,875) who provided non-blank responses to pre-determined items. The unweighted overall participation rate was 25.3 percent (24.6 percent weighted) which is the product of rates for determining eligibility status and full response.

C. FIELD ACTIVITIES

**Figure 1. Timeline for the 2021 NEHRS fielding activities**



RTI International (Research Triangle Park, NC) was the data collection contractor for the 2021 NEHRS. The 2021 NEHRS was fielded from March 18, 2021 to July 26, 2021. The first attempt to contact the sampled physician was through an introductory letter from the NCHS Director. The introductory letter invited physicians to participate via the web-based questionnaire, informed them of the voluntary nature of the survey, protection of data confidentiality, and provided login instructions for the web version of the survey. For the 5,575 physicians for whom we had an email address, a similar introductory email message was also sent about four days after the introductory letter was mailed. Both invitations provided physicians with login instructions for the electronic version of the survey, along with the elements of informed consent. Follow-up emails were sent about 1 week, 2 weeks, 3 weeks, 5 weeks, 11 weeks, and 14 weeks after the initial contact to physicians for whom we had email addresses.

About 4 weeks after the initial contact, the contractor mailed an introductory letter, a 2021 NEHRS questionnaire, a sticky note pad with the Department of Health and Human Services logo and NEHRS name and website address, an NCHS flyer, and a postage paid self-addressed return envelope to non-responding physicians. Approximately 6 weeks after the initial contact, all sampled physicians were sent a postcard thanking them for their participation or reminding them that their participation was still needed. The postcard also allowed sampled physicians to request additional information or another copy of the survey instrument. About 7 weeks after the initial contact, non-responding physicians were sent a second mailing, which included a modified introductory letter, a paper questionnaire, and a postage paid self-addressed return envelope. About 11 weeks after the initial contact, non-responding physicians were sent a third mailing that included a modified introductory letter, the paper questionnaire, and a postage paid self-addressed return envelope. All letters informed respondents of the voluntary nature of the survey and protection of data confidentiality. The survey closed approximately 14 weeks after the initial contact.

D. DATA COLLECTION

The survey used mixed-mode data collection that included self-administered web questionnaire (n=425, 22.7%), and self-administered mail paper questionnaire (n=1,450, 77.3%). The preferred

respondent was the sampled physician (n=1,556, 83.0%); however, proxy respondents were allowed (n=319, 17.1%). Proxies were the sampled physician's office manager or another staff member of the sampled physician's office knowledgeable about general practice administration. The 2021 NEHRS instrument can be found on the [NEHRS web page](#).

#### E. DISCLOSURE RISK EVALUATION

Prior to the release of the public use micro-data file, NCHS conducted an extensive disclosure risk analysis to minimize the chance of any inadvertent disclosure. Based on research conducted by NCHS for the 2021 NEHRS, some variables were subject to masking and others were top coded in accordance with NCHS confidentiality requirements. Masking was performed in such a way to cause minimal impact on the data. Data users who wish to use unmasked data can submit a proposal to the NCHS [Research Data Center](#).

#### F. DATA PROCESSING

##### 1. EDITS

RTI reviewed all mailed questionnaires for potential errors as they were received. After review, the questionnaires were sent to data capture using TeleForm. TeleForm is a software product that electronically scans forms and captures the data without manual data entry. As questionnaires were scanned, the program flagged any entries outside the norm of expected responses. A person then performed a visual review of the flagged entries and decided the appropriate response for the item. RTI staff referred to the 2021 NEHRS Processing Instructions developed by NCHS staff for guidance on editing the questionnaires. Some questionnaires required editing to clarify and standardize ambiguous or inconsistent responses. If a question arose outside of the standard editing guidance, RTI conferred with NCHS for a final determination, and the processing instructions were updated as needed.

Specifications for checking, configuring, and transmitting the data files were developed by NCHS and RTI, and applied to the electronic data from the web-based questionnaires. Files containing data from the paper and electronic questionnaires were combined and transmitted to NCHS for further processing. At NCHS, the data underwent multiple consistency checks and review before additional cleaning and editing.

##### 2. QUALITY CONTROL

All mailed questionnaires were scanned; RTI staff performed quality checks of the TeleForm data, including checking 10% of the scanned forms against the stored data to ensure that data were captured accurately. Any discrepancies were logged, reported, and amended in the "cleaned" dataset.

### 3. ITEM NONRESPONSE

Unweighted item nonresponse rates that exceed five percent are typically reported. There were zero items on the 2021 NEHRS public use file that exceeded five percent item nonresponse. Due to the nature of the questions, imputation was not used.

## G. ESTIMATION PROCEDURES

The 2021 NEHRS data file contains a physician-level analysis weight (MAILWGT) for producing unbiased national estimates from the sample data. This is a vital component of the survey data, and micro-data file users should understand how to use and apply it correctly. Each record on the data file represents one physician in the sample, and that single physician represents many physicians within his/her geographic area and specialty group.

Statistics produced from the 2021 NEHRS use a multistage estimation procedure. The procedure has three components: (1) inflation by reciprocals of the selection probabilities, (2) adjustment for nonresponse, and (3) a calibration ratio adjustment to fixed totals. Each of these components is described below.

### 1. INFLATION BY RECIPROCAL OF SELECTION PROBABILITIES

The sampling methodology in the 2021 NEHRS uses a list sample. The first weight component is the sampling weight or reciprocal of the physician's selection probability. Because the survey used a one-stage sample design, the sampling probabilities were determined by sampling strata defined by geographic area. For each sampling stratum, the selection probability is the number of sampled physicians in the stratum divided by the total number of physicians listed in the sampling frame for that stratum.

### 2. ADJUSTMENT FOR NONRESPONSE

NEHRS estimates were adjusted to account for nonresponse in two steps: (1) adjustments were made first to account for those physicians whose eligibility for the survey was not determined, and then (2) adjustments were made to account for eligible physicians who did not participate in the survey or did not complete the questionnaire if they did participate.

Adjustments for nonresponse were made by shifting the weights of non-respondent physicians to those who were deemed respondents within the same geographic area, specialty type (primary care, surgical, medical care), and specialty group when practical. If response within a group defined by geographic area/specialty type/specialty group was insufficient, the group was collapsed with another for the adjustments. In the first adjustment which included physicians whose eligibility status was never determined, weights were shifted to only locatable physicians under the assumption that the physicians with unknown eligibility status could be either eligible or ineligible, unlike the unlocatable physicians who were all deemed to be ineligible.

### 3. RATIO ADJUSTMENT

A post-ratio adjustment was made to the sampling weights within each combination of the geographic area and physician specialty group in order to adjust for changes in the physician population represented in the sampling frame between the time of sample selection and when the survey was conducted. The ratio adjustment is a multiplication factor which consists of the number of physicians eligible for the sampling frame in each combination of geographic area and physician specialty group as the numerator, and the estimated number of physicians in that combination of geographical area and specialty group as the denominator. The numerator was based on figures obtained from the physician master files for the survey period, and the denominator was the estimate of the numerator based on the sample.

### H. PHYSICIAN WEIGHT

The 2021 NEHRS PUF contains a weight (MAILWGT) for producing national estimates from sample data. As stated before, this is a vital component of the survey data and data users should understand how to use and apply it correctly.

The information contained in the PUF reflects both adoption and use of EHR systems, as well as progress towards meeting the policy goals of the HITECH Act, among office-based physicians in the U.S. Each record on the PUF represents one physician in the sample. In order to obtain national estimates from survey data, each record is assigned an inflation factor called MAILWGT. By aggregating the weights contained in the MAILWGT variable on the 1,875 sample records for 2021, the user can obtain the estimated total of 403,013 office-based physicians in the U.S.

These weights allow data users to calculate physician-level estimates and the associated variances (see example SAS, SUDAAN, Stata and SPSS code in Appendix I). There is one weight for each physician who met the definition of a complete responder.

### REFERENCES

1. Parker JD, Talih M, Malec DJ, et al. National Center for Health Statistics Data Presentation Standards for Proportions. National Center for Health Statistics. Vital Health Stat 2(175). 2017. Available from: [https://www.cdc.gov/nchs/data/series/sr\\_02/sr02\\_175.pdf](https://www.cdc.gov/nchs/data/series/sr_02/sr02_175.pdf)

II. CODEBOOK LOCATION AND PHYSICIAN SPECIALTY LIST

A. CODEBOOK LOCATION

The codebook can be found [here](#).

## B. PHYSICIAN SPECIALTY LIST

The following 14 physician specialty groups were developed based on information from the American Medical Association (AMA). The listed AMA specialties were eligible for selection to the NEHRS sample.

### GENERAL AND FAMILY PRACTICE (Primary Care)

AMF	Adolescent Medicine (Family Practice)
AMI	Adolescent Medicine (Internal Medicine)
EFM	Emergency Medicine/Family Medicine
FM	Family Medicine
FMP	Family Medicine/Preventive Medicine
FP	Family Practice
FPG	Geriatric Medicine (Family Practice)
GP	General Practice
HPF	Hospice & Palliative Medicine (Family Medicine)
IFP	Internal Medicine/Family Practice
IMG	Geriatric Medicine (Internal Medicine)
IPM	Internal Medicine/Preventive Medicine

### INTERNAL MEDICINE (Primary Care)

IM	Internal Medicine
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### PEDIATRICS (Primary Care)

ADL	Adolescent Medicine (Pediatrics)
MPD	Internal Medicine/Pediatrics
PD	Pediatrics
PSM	Pediatric Sports Medicine

### PEDIATRICS (Medical)

CAP	Child Abuse Pediatrics
CCP	Pediatric Critical Care Medicine
DBP	Developmental – Behavioral Pediatrics
EMP	Pediatrics – Emergency Medicine
HPP	Hospice & Palliative Medicine (Pediatrics)
NDN	Neurodevelopmental Disabilities (Psychiatry & Neurology)
NDP	Neurodevelopmental Disabilities (Pediatrics)
NPM	Neonatal-Perinatal Medicine
PDA	Pediatric Allergy
PDC	Pediatric Cardiology
PDE	Pediatric Endocrinology
PDI	Pediatric Infectious Diseases
PDP	Pediatric Pulmonology
PDT	Medical Toxicology (Pediatrics)
PEM	Pediatric Emergency Medicine (Pediatrics)
PG	Pediatric Gastroenterology
PHO	Pediatric Hematology/Oncology
PMG	Pediatrics/Medical Genetics
PN	Pediatric Nephrology

### PEDIATRICS (Medical)

PPR	Pediatric Rheumatology
PTP	Pediatric Transplant Hepatology

### GENERAL SURGERY (Surgical)

GS	General Surgery
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### OBSTETRICS AND GYNECOLOGY (Primary Care)

GYN	Gynecology
OBG	Obstetrics and Gynecology
OBS	Obstetrics

### OBSTETRICS AND GYNECOLOGY (Surgical)

FPR	Female Pelvic Medicine and Reconstructive Surgery (Obstetrics and Gynecology)
GO	Gynecological Oncology
HPO	Hospice & Palliative Medicine (Obstetrics & Gynecology)
MFM	Maternal & Fetal Medicine
OCC	Critical Care Medicine (Obstetrics & Gynecology)
UPR	Female Pelvic Medicine & Reconstructive Surgery (Urology)

### ORTHOPEDIC SURGERY (Surgical)

HSO	Hand Surgery
OAR	Adult Reconstructive Orthopedics
OFA	Foot and Ankle Orthopedics
OMO	Musculoskeletal Oncology
OP	Pediatric Orthopedics
ORS	Orthopedic Surgery
OSM	Sports Medicine (Orthopedic Surgery)
OSS	Orthopedic Surgery of the Spine
OTR	Orthopedic Trauma

### CARDIOVASCULAR DISEASES (Medical)

CD	Cardiovascular Diseases
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### DERMATOLOGY (Medical)

D	Dermatology
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### UROLOGY (Surgical)

U	Urology
UP	Pediatric Urology

**PSYCHIATRY (Medical)**

ADP Addiction Psychiatry  
 CHP Child and Adolescent Psychiatry  
 CPP Pediatrics/Psychiatry/Child & Adolescent Psychiatry  
 NUP Neuropsychiatry  
 P Psychiatry  
 PFP Forensic Psychiatry  
 PYA Psychoanalysis  
 PYG Geriatric Psychiatry  
 PYM Psychosomatic Medicine

**NEUROLOGY (Medical)**

CHN Child Neurology  
 CN Clinical Neurophysiology  
 ENR Endovascular Surgical Neuroradiology (Neurology)  
 EPL Epilepsy  
 ESN Endovascular Surgical Neuroradiology  
 N Neurology  
 NRN Neurology/Diagnostic Radiology/Neuroradiology  
 VN Vascular Neurology

**OPHTHALMOLOGY (Surgical)**

OPH Ophthalmology  
 OPR Ophthalmic Plastic and Reconstructive Surgery  
 PO Pediatric Ophthalmology

**OTOLARYNGOLOGY (Surgical)**

NO Neurotology (Otolaryngology)  
 OTO Otolaryngology  
 PDO Pediatric Otolaryngology  
 PSO Plastic Surgery within the Head & Neck (Otolaryngology)  
 SMO Sleep Medicine (Otolaryngology)

**ALL OTHER (Surgical)**

AS Abdominal Surgery  
 ASO Advanced Surgical Oncology  
 CCS Surgical Critical Care (Surgery)  
 CFS Craniofacial Surgery  
 CHS Congenital Cardiac Surgery (Thoracic Surgery)  
 CRS Colon & Rectal Surgery  
 CS Cosmetic Surgery  
 DS Dermatologic Surgery  
 ES Endovascular Surgical Neuroradiology (Neurological Surgery)  
 FPS Facial Plastic Surgery

**ALL OTHER (Surgical)**

HNS Head & Neck Surgery  
 HPS Hospice and Palliative Medicine (Surgery)  
 HS Hand Surgery  
 HSP Hand Surgery (Plastic Surgery)  
 HSS Hand Surgery (Surgery)  
 NS Neurological Surgery  
 NSP Pediatric Surgery (Neurology)  
 OMF Oral & Maxillofacial Surgery  
 PCS Pediatric Cardiothoracic Surgery  
 PDS Pediatric Surgery (Surgery)  
 PRD Procedural Dermatology  
 PS Plastic Surgery  
 PSH Plastic Surgery within the Head & Neck  
 PSI Plastic Surgery—Integrated  
 PSP Plastic Surgery within the Head & Neck (Plastic Surgery)  
 SO Surgical Oncology  
 TRS Traumatic Surgery  
 TS Thoracic Surgery  
 TSI Thoracic Surgery—Integrated  
 TTS Transplant Surgery  
 VS Vascular Surgery  
 VSI Vascular Surgery-Integrated

**ALL OTHER (Medical)**

A Allergy  
 ADM Addiction Medicine  
 AHF Advanced Heart Failure and Transplant Cardiology  
 AI Allergy and Immunology  
 ALI Clinical Laboratory Immunology (Allergy & Immunology)  
 AM Aerospace Medicine  
 BIN Brain Injury Medicine (Psychiatry & Neurology)  
 BIP Brain Injury Medicine (Physical Medicine and Rehabilitation)  
 CBG Clinical Biochemical Genetics  
 CCG Clinical Cytogenetics  
 CCM Critical Care Medicine (Internal Medicine)  
 CG Clinical Genetics  
 CHD Adult Congenital Heart Disease (Internal Medicine)  
 CID Clinical Informatics (Pediatrics)  
 CIE Clinical Informatics (Emergency Medicine)  
 CIF Clinical Informatics (Family Medicine)  
 CIM Clinical Informatics (Preventive Medicine)  
 CLI Clinical Informatics (Internal Medicine)  
 CMG Clinical Molecular Genetics  
 DDL Clinical and Laboratory Dermatological Immunology  
 DIA Diabetes  
 EM Emergency Medicine  
 END Endocrinology, Diabetes and Metabolism

**ALL OTHER (Medical)**

EP Epidemiology  
 ESM Sports Medicine (Emergency Medicine)  
 ETX Medical Toxicology (Emergency Medicine)  
 FPP Psychiatry/Family Practice  
 FSM Family Practice/Sports Medicine  
 GE Gastroenterology  
 GPM General Preventive Medicine  
 HEM Hematology (Internal Medicine)  
 HEP Hepatology  
 HO Hematology/Oncology  
 HPE Hospice & Palliative Medicine (Emergency Medicine)  
 HPI Hospice & Palliative Medicine (Internal Medicine)  
 HPM Hospice & Palliative Medicine  
 HPN Hospice & Palliative Medicine (Psychiatry & Neurology)  
 HPR Hospice & Palliative Medicine (Physical Medicine)  
 IC Interventional Cardiology  
 ICE Clinical Cardiac Electrophysiology  
 ID Infectious Disease  
 IEC Internal Medicine/Emergency Medicine/Critical Care Medicine  
 IG Immunology  
 ILI Clinical and Laboratory Immunology (Internal Medicine)  
 IMD Internal Medicine/Dermatology  
 IRI Interventional Radiology—Integrated  
 ISM Internal Medicine – Sports Medicine  
 LM Legal Medicine  
 MDM Medical Management  
 MEM Internal Medicine/Emergency Medicine  
 MG Medical Genetics  
 MBG Medical Biochemical Genetics  
 MDG Internal Medicine/Medical Genetics  
 MN Internal Medicine/Neurology  
 MP Internal Medicine/Psychiatry  
 MPM Internal Medicine/Physical Medicine and Rehabilitation  
 NC Nuclear Cardiology  
 NEP Nephrology  
 NMN Neuromuscular Medicine  
 NMP Neuromuscular Medicine (Physical Medicine & Rehabilitation)

**ALL OTHER (Medical)**

NTR Nutrition  
 OM Occupational Medicine  
 OMM Osteopathic Manipulative Medicine  
 ON Medical Oncology  
 OS Other Specialty  
 PA Clinical Pharmacology  
 PCC Pulmonary Critical Care Medicine  
 PDD Pediatric Dermatology  
 PDM Pediatric/Dermatology  
 PE Pediatric Emergency Medicine (Emergency Medicine)  
 PHL Phlebology  
 PHM Pharmaceutical Medicine  
 PHP Public Health and General Preventive Medicine  
 PLI Clinical and Laboratory Immunology (Pediatrics)  
 PLM Palliative Medicine  
 PM Physical Medicine and Rehabilitation  
 PME Pain Management  
 PMM Pain Medicine  
 PMN Pain Medicine (Neurology)  
 PMP Pain Management (Physical Medicine and Rehabilitation)  
 PPM Pediatrics/Physical Medicine & Rehabilitation  
 PPN Pain Medicine (Psychiatry)  
 PRO Proctology  
 PRS Sports Medicine (Physical Medicine and Rehabilitation)  
 PTX Medical Toxicology (Preventive Medicine)  
 PUD Pulmonary Disease  
 PYN Psychiatry (Neurology)  
 REN Reproductive Endocrinology and Infertility  
 RHU Rheumatology  
 RPM Pediatric Rehabilitation Medicine  
 SCI Spinal Cord Injury Medicine  
 SME Sleep Medicine  
 SMI Sleep Medicine (Internal Medicine)  
 SMN Sleep Medicine (Psychiatry & Neurology)  
 SMP Sleep Medicine (Pediatrics)  
 THP Transplant Hepatology (Internal Medicine)  
 UCM Urgent Care Medicine  
 UM Underseas Medicine (Preventive Medicine)  
 UME Underseas Medicine (Emergency Medicine)  
 VM Vascular Medicine  
 US Unspecified

## C. PHYSICIAN SPECIALTIES REGROUPED INTO PRIMARY CARE, SURGICAL, AND MEDICAL SPECIALTIES

Below is a list of the AMA physician specialties regrouped into primary care, surgical, and medical specialties for analytic purposes (see SPECCAT variable on the file layout).

**PRIMARY CARE SPECIALTIES**

ADL	Adolescent Medicine (Pediatrics)
AMF	Adolescent Medicine (Family Practice)
AMI	Adolescent Medicine (Internal Medicine)
EFM	Emergency Medicine/Family Medicine
FM	Family Medicine
FMP	Family Medicine/Preventive Medicine
FP	Family Practice
FPG	Geriatric Medicine (Family Practice)
GP	General Practice
GYN	Gynecology
HPF	Hospice & Palliative Medicine (Family Medicine)
IFP	Internal Medicine/Family Practice
IM	Internal Medicine
IMG	Geriatric Medicine (Internal Medicine)
IPM	Internal Medicine/Preventive Medicine
MPD	Internal Medicine/Pediatrics
OBG	Obstetrics & Gynecology
OBS	Obstetrics
PD	Pediatrics
PSM	Pediatric Sports Medicine

**SURGICAL SPECIALTIES**

AS	Abdominal Surgery
ASO	Advanced Surgical Oncology
CCS	Surgical Critical Care (Surgery)
CFS	Craniofacial Surgery
CHS	Congenital Cardiac Surgery (Thoracic Surgery)
CRS	Colon & Rectal Surgery
CS	Cosmetic Surgery
DS	Dermatologic Surgery
ES	Endovascular Surgical Neuroradiology (Neurological Surgery)
FPR	Female Pelvic Medicine and Reconstructive Surgery
FPS	Facial Plastic Surgery
GO	Gynecological Oncology
GS	General Surgery
HNS	Head & Neck Surgery
HPO	Hospice and Palliative Medicine (Obstetrics & Gynecology)
HPS	Hospice and Palliative Medicine (Surgery)
HS	Hand Surgery

**SURGICAL SPECIALTIES**

HSO	Hand Surgery (Orthopedics)
HSP	Hand Surgery (Plastic Surgery)
HSS	Hand Surgery (Surgery)
MFM	Maternal & Fetal Medicine
NO	Neurotology (Otolaryngology)
NS	Neurological Surgery
NSP	Pediatric Surgery (Neurology)
OAR	Adult Reconstructive Orthopedics
OCC	Critical Care Medicine (Obstetrics & Gynecology)
OFA	Foot and Ankle, Orthopedics
OMF	Oral and Maxillofacial Surgery
OMO	Musculoskeletal Oncology
OP	Pediatric Orthopedics
OPH	Ophthalmology
OPR	Ophthalmic Plastic and Reconstructive Surgery
ORS	Orthopedic Surgery
OSM	Sports Medicine (Orthopedic Surgery)
OSS	Orthopedic Surgery of the Spine
OTO	Otolaryngology
OTR	Orthopedic Trauma
PDO	Pediatric Cardiothoracic Surgery
PO	Pediatric Ophthalmology
PS	Plastic Surgery
PSI	Plastic Surgery—Integrated
PSH	Plastic Surgery within the Head & Neck
PSO	Plastic Surgery within the head & neck (Otolaryngology)
SMO	Sleep Medicine (Otolaryngology)
SO	Surgical Oncology
TRS	Trauma Surgery
TS	Thoracic Surgery
TSI	Thoracic Surgery—Integrated
TTS	Transplant Surgery
U	Urology
UP	Pediatric Urology
UPR	Female Pelvic Medicine & Reconstructive Surgery (Urology)
VS	Vascular Surgery
VSI	Vascular Surgery-Integrated

**MEDICAL SPECIALTIES**

A Allergy  
 ADM Addiction Medicine  
 ADP Addiction Psychiatry  
 AHF Advanced Heart Failure and Transplant  
 Cardiology  
 AI Allergy & Immunology  
 ALI Clinical Laboratory Immunology (Allergy  
 & Immunology  
 AM Aerospace Medicine  
 BIN Brain Injury Medicine (Psychiatry & Neurology)  
 BIP Brain Injury Medicine (Physical Medicine and  
 Rehabilitation)  
 CAP Child Abuse Medicine  
 CBP Clinical Biochemical Genetics  
 CCG Clinical Cytogenetics  
 CCM Critical Care Medicine (Internal Medicine)  
 CCP Pediatric Critical Care Medicine  
 CD Cardiovascular Disease  
 CG Clinical Genetics  
 CHD Adult Congenital Heart Disease (Internal  
 Medicine  
 CHN Child Neurology  
 CHP Child and Adolescent Psychiatry  
 CID Clinical Informatics (Pediatrics)  
 CIE Clinical Informatics (Emergency Medicine)  
 CIF Clinical Informatics (Family Medicine)  
 CIM Clinical Informatics (Preventive Medicine)  
 CLI Clinical Informatics (Internal Medicine)  
 CMG Clinical Molecular Genetics  
 CN Clinical Neurophysiology  
 CPP Pediatrics/Psychiatry/Child & Adolescent  
 Psychiatry  
 D Dermatology  
 DBP Developmental – Behavioral Pediatrics  
 DDL Clinical and Laboratory Dermatology  
 Immunology  
 DIA Diabetes  
 EM Emergency Medicine  
 EMP Pediatrics/Emergency Medicine  
 END Endocrinology, Diabetes and Metabolism  
 ENR Endovascular Surgical Neuroradiology  
 (Neurology)  
 EP Epidemiology  
 EPL Epilepsy  
 ESM Sports Medicine (Emergency Medicine)  
 ESN Endovascular Surgical Neuroradiology  
 ETX Medical Toxicology (Emergency Medicine)  
 FPP Psychiatry/Family Practice  
 FSM Family Practice/Sports Medicine

**MEDICAL SPECIALTIES**

GE Gastroenterology  
 GPM General Preventive Medicine  
 HEM Hematology (Internal Medicine)  
 HEP Hepatology  
 HO Hematology/Oncology  
 HPE Hospice & Palliative Medicine (Emergency  
 Medicine)  
 HPI Hospice & Palliative Medicine (Internal Medicine)  
 HPM Hospice & Palliative Medicine  
 HPN Hospice & Palliative Medicine (Psychiatry  
 & Neurology)  
 HPP Hospice & Palliative Medicine (Pediatrics)  
 HPR Hospice & Palliative Medicine (Physical Medicine)  
 IC Interventional Cardiology  
 ICE Clinical Cardiac Electrophysiology  
 ID Infectious Disease  
 IEC Internal Medicine/Emergency Medicine/Critical  
 Care Medicine  
 IG Immunology  
 ILI Clinical and Laboratory Immunology  
 (Internal Medicine)  
 IMD Internal Medicine/Dermatology  
 IRI Interventional Radiology-Integrated  
 ISM Internal Medicine – Sports Medicine  
 LM Legal Medicine  
 MBG Medical Biochemical Genetics  
 MDG Internal Medicine/Medical Genetics  
 MDM Medical Management  
 MEM Internal Medicine/Emergency Medicine  
 MG Medical Genetics  
 MN Internal Medicine/Neurology  
 MP Internal Medicine/Psychiatry  
 MPM Internal Medicine/Physical Medicine and Rehabilitation  
 N Neurology  
 NC Nuclear Cardiology  
 NDN Neurodevelopmental Disabilities  
 (Psychiatry & Neurology)  
 NDP Neurodevelopmental Disabilities (Pediatrics)  
 NEP Nephrology  
 NMN Neuromuscular Medicine  
 NMP Neuromuscular Medicine (Physical Medicine  
 & Rehabilitation)  
 NPM Neonatal Perinatal Medicine  
 NRN Neurology/Diagnostic Radiology/Neuroradiology  
 NTR Nutrition  
 NUP Neuropsychiatry  
 OM Occupational Medicine  
 OMM Osteopathic Manipulative Medicine  
 ON Medical Oncology

**MEDICAL SPECIALTIES**

OS Other Specialty  
P Psychiatry  
PA Clinical Pharmacology  
PCC Pulmonary Critical Care Medicine  
PDA Pediatric Allergy  
PDC Pediatric Cardiology  
PDD Pediatric Dermatology  
PDE Pediatric Endocrinology  
PDI Pediatric Infectious Disease  
PDM Pediatric/Dermatology  
PDP Pediatric Pulmonology  
PDT Medical Toxicology (Pediatrics)  
PE Pediatric Emergency Medicine  
(Emergency Medicine)  
PEM Pediatric Emergency Medicine (Pediatrics)  
PFP Forensic Psychiatry  
PG Pediatric Gastroenterology  
PHL Phlebology  
PHM Pharmaceutical Medicine  
PHO Pediatric Hematology/Oncology  
PHP Public Health and General Preventive  
Medicine  
PLI Clinical and Laboratory Immunology  
(Pediatrics)  
PLM Palliative Medicine  
PM Physical Medicine & Rehabilitation  
PME Pain Management  
PMG Pediatrics – Medical Genetics  
PMM Pain Medicine  
PMP Pain Management (Physical Medicine &  
Rehabilitation)  
PN Pediatric Nephrology  
PPM Pediatrics/Physical Medicine &  
Rehabilitation  
PPN Pain Medicine (Psychiatry)  
PPR Pediatric Rheumatology  
PRO Proctology  
PRS Sports Medicine (Physical Medicine &  
Rehabilitation)  
PTP Pediatric Transplant Hepatology  
PTX Medical Toxicology (Preventive Medicine)  
PUD Pulmonary Disease  
PYA Psychoanalysis  
PYG Geriatric Psychiatry  
PYM Psychosomatic Medicine  
PYN Psychiatry/Neurology  
REN Reproductive Endocrinology  
RHU Rheumatology  
RPM Pediatric Rehabilitation Medicine  
SCI Spinal Cord Injury Medicine  
SME Sleep Medicine

**MEDICAL SPECIALTIES**

SMI Sleep Medicine (Internal Medicine)  
SMN Sleep Medicine (Psychiatry & Neurology)  
SMP Sleep Medicine (Pediatrics)  
THP Transplant Hepatology (Internal Medicine)  
UCM Urgent Care Medicine  
UM Underseas Medicine (Preventive Medicine)  
UME Underseas Medicine (Emergency Medicine)  
VM Vascular Medicine  
VN Vascular Neurology  
US Unspecified Specialty

## APPENDIX I

### A. STANDARD ERRORS AND VARIANCE ESTIMATION

The standard error is primarily a measure of the sampling variability that occurs by chance because only a sample is surveyed, rather than the entire universe.

The sampling methodology in the 2021 NEHRs uses a list sample. The design variables reflect this sampling methodology. Examples of SUDAAN, SAS, Stata, and SPSS statements that incorporate these design variables for variance estimation are below. All examples use a data set named "NEHRsdata" that represents the 2021 NEHRs PUF.

#### 1. VARIANCE ESTIMATION EXAMPLES IN SUDAAN

The linearized Taylor series procedure in SUDAAN software is used to approximate variances for the 2021 NEHRs estimates. SUDAAN's 1-stage With Out Replacement (WOR) Option is used. This example code provides a WOR ultimate cluster (1-stage) estimate of standard errors for a cross-tabulation with a dataset called NEHRsdata. SAS-callable SUDAAN software requires that the dataset be sorted by the NEST variable prior to analysis.

An example to produce frequency tables using the CROSSTAB procedure in SAS-callable SUDAAN, the following statements are used:

```
PROC CROSSTAB DATA=NEHRsdata filetype=SAS Design=WOR;  
  NEST STRAT_P / MISSUNIT;  
  TOTCNT POPDOC;  
  WEIGHT MAILWGT;  
  CLASS SPECCAT EMEDREC;  
  TABLES SPECCAT*EMEDREC;  
run;
```

#### 2. VARIANCE ESTIMATION EXAMPLE IN SAS

Below is an example of the PROC CROSSTAB SUDAAN analysis (shown above) using the SAS SURVEYFREQ procedure.

```
PROC SURVEYFREQ DATA=NEHRsdata;  
  STRATA STRAT_P;  
  WEIGHT MAILWGT;  
  TABLES SPECCAT*EMEDREC;  
run;
```

#### 3. VARIANCE ESTIMATION EXAMPLES IN Stata

The command as follows: `svyset pweight (mailwgt), stratum (strat_p), and psu (phyid_p)`

Stata 12 and later:

```
svyset phyid_p [pweight=mailwgt], strata(strat_p)
```

#### 4. VARIANCE ESTIMATION EXAMPLES IN SPSS

To obtain variance estimates which take the sample design into account, IBM SPSS Inc.'s Complex Samples module can be used. This description applies to version 24.0. From the main menu, first click on 'Analyze', then 'Complex Samples,' then 'Prepare for Analysis.' The 'Analysis Preparation Wizard' can be used to set STRAT\_P as the stratum variable, PHYID\_P as the cluster variable, and MAILWGT as the weighting variable. The WR design option may be chosen. This will create the PLAN FILE syntax, which should resemble the code below; where PLAN FILE reflects the location you have selected to store the file on your computer:

CSPLAN ANALYSIS

```
/PLAN FILE='DIRECTORY\PLANNAME.CSAPLAN'
```

```
/PLAN VARS ANALYSISWEIGHT=MAILWGT
```

```
/PRINT PLAN
```

```
/DESIGN STAGELABEL= 'ANY LABEL' STRATA=STRAT_P CLUSTER=PHYID_P
```

```
/ESTIMATOR TYPE=WR.
```